

THE OHIO STATE UNIVERSITY  
OFFICIAL PROCEEDINGS OF THE  
ONE THOUSAND FOUR HUNDRED AND TWENTY-SEVENTH MEETING  
OF THE BOARD OF TRUSTEES

Columbus, Ohio, February 1 and 2, 2007

The Board of Trustees met at its regular monthly meeting on Thursday, February 1, and Friday, February 2, 2007, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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## **February 1, and February 2, 2007 meeting, Board of Trustees**

The Chairman, Judge Duncan, called the meeting of the Board of Trustees to order on Thursday, February 1, 2007, at 5:30 pm. He requested the Secretary to call the roll.

Present: Robert M. Duncan, Chairman, Karen L. Hendricks, Dimon R. McFerson, G. Gil Cloyd, John Ong, Douglas G. Borrer, Walden W. O'Dell, Alex Shumate, John C. Fisher, Robert H. Schottenstein, and Christopher Alvarez-Breckenridge.

Judge Duncan:

In a moment we will be taking a roll call vote to go into Executive Session; that vote will conclude all formal Board action for the day beyond recessing the Board meeting until tomorrow morning. The Board will reconvene tomorrow morning at 10:50 am.

I hereby move that the Board recess into Executive Session for the purposes of considering personnel matters regarding appointment and employment; for consulting with legal counsel regarding pending or imminent litigation; and for the purpose of discussing matters required to be kept confidential by State Statute. May I have a second?

Upon motion of Judge Duncan, seconded by Ms. Hendricks, the Board adopted the foregoing motion by unanimous roll call vote, cast by Trustees Duncan, Hendricks, McFerson, Cloyd, Ong, Borrer, O'Dell, Shumate, Fisher, and Schottenstein.

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Judge Duncan reconvened the meeting on Friday, February 2, 2007, at 11:30 am.

Present: Robert M. Duncan, Chairman, Karen L. Hendricks, Dimon R. McFerson, G. Gil Cloyd, Jo Ann Davidson, John Ong, Douglas G. Borrer, Leslie H. Wexner, Walden W. O'Dell, Alex Shumate, Brian K. Hicks, John C. Fisher, Robert H. Schottenstein, Thekla R. Shackelford, Yoonhee P. Ha, and Christopher Alvarez-Breckenridge.

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Judge Duncan:

I would like to begin by welcoming Mr. Robert Boggs to our meeting. I know he was introduced earlier this morning at the Agricultural Affairs Committee meeting, but for the benefit of those who were not in attendance, Mr. Boggs has been appointed as the new director of the Ohio Department of Agriculture by Governor Strickland and as such, serves as the vice chair, ex officio, of our Agricultural Affairs Committee. Mr. Boggs is a longtime resident of Ashtabula County and has had an extensive career in public service. He served for a number of years as county commissioner of Ashtabula County and served as a member of the Ohio House of Representatives and then minority leader of the Ohio Senate. Please join me in welcoming Director Boggs.

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**SEARCH COMMITTEE UPDATE**

Judge Duncan:

I'd like to call on Alex Shumate for an update on our Presidential Search Committee's actions. Alex --

Mr. Shumate:

Thank you, Mr. Chairman. Mr. Chairman and fellow members of the Board of Trustees, the Search Committee has completed a very important step in the process of identifying candidates to be the next president of The Ohio State University. We have completed the development of a profile of the skills, traits, and characteristics desirable in Ohio State's next president and we are presenting to the Board today that profile for approval.

As all of you know, our twenty-four person Search Committee reflects both the tremendous scope and broad diversity that make the University such a great institution. The members of the Committee represent a wide variety of constituencies and bring to our discussion varied backgrounds and distinguished talents. Those many different voices were represented and heard during the workgroup meetings and the full Search Committee meetings in which we discussed, vetted and debated the qualities needed in Ohio State's next president. We also conducted a pair of public forums. This profile reflects the sum total of those activities and discussions, and outlines in clear terms the skills, traits, and characteristics necessary in the next president.

As the Search Committee moves to the next stage of the process, we will continue to generate input and feedback. As an example, we are working now with the Ohio Chamber of Commerce and the Columbus Chamber of Commerce to schedule a public forum for statewide business and civic leader input. We will share the details of that event with you as soon as they are completed.

Our next step in this process is to begin identifying candidates, using this profile as our guide. We will proceed ever mindful of Chairman Duncan's charge, "to conduct a thorough and efficient nationwide search that respects confidentiality and results in having a great leader in place as Ohio State's next president by Autumn Quarter 2007."

Judge Duncan:

Thank you. Is there any discussion of the presidential profile? I believe all of the members of the Board have received a copy of the presidential profile and I will entertain a motion for approval.

**PRESIDENTIAL PROFILE**

Resolution No. 2007-78

Synopsis: Adoption of the Presidential Profile is recommended.

WHEREAS the Board of Trustees' charge to the Search Committee included development of a profile of the qualities, skills and characteristics desired in the next President of the University; and

WHEREAS the Search Committee, in conjunction with the Board of Trustees, has sought and received input from various community and University constituencies in developing a profile statement; and

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WHEREAS the profile statement will be an important element in attracting the very best candidates to seek the Presidency of The Ohio State University; and

WHEREAS the Search Committee has recommended to the Board of Trustees the adoption of the Profile of the President of The Ohio State University, in the form accompanying this Resolution:

NOW THEREFORE

BE IT RESOLVED, That the Profile of the President of The Ohio State University dated February 2, 2007, be formally adopted as the embodiment of the essential qualities, skills and characteristics desired in the next President; and

BE IT FURTHER RESOLVED, That the Profile so adopted be widely distributed and utilized by the Search Committee in fulfilling its responsibility of ultimately recommending to the Board of Trustees one or more candidates for the Presidency of the University.

Upon motion of Dr. Clloyd, seconded by Mrs. Davidson, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

### **PROFILE OF THE PRESIDENT OF THE OHIO STATE UNIVERSITY**

Ohio State takes great pride in being among the top public teaching and research land-grant institutions in the nation. For the past six years, we have operated successfully in support of a strategic academic plan that has taken clearly into account where we were in the year 2000 and where we are committed to being by 2010. We established a set of institutional goals and a matrix for evaluation that, over the course of implementing this plan, have made us confident, not only about our institutional distinctiveness as a world-class university, but also about the brilliance of the paths ahead with regard to: the outstanding academic preparation of undergraduate, graduate, and professional students; cutting-edge research; our remarkable capacity to help build an impressive future for the state of Ohio; and an equally remarkable capacity through teaching, research, and community engagement to provide truly distinctive leadership in addressing pressing issues and critical challenges for the state, the nation, and the world.

#### **Personal Attributes**

The Ohio State University requires a President with exceptional personal qualities. Distinctive among them are the following:

- **Clear and inspirational vision for higher education in the 21<sup>st</sup> century** – The President must be a scholar-administrator who can envision a university that serves its students, state, and nation, as well as the world community; who is actively committed to creating and sustaining a diverse community in which all members can thrive; who can eagerly, enthusiastically, and effectively communicate a compelling vision to a wide variety of publics; who can lead the institution in differentiating itself from its peers; and who is willing and able to confront the complex forces that inhibit change. Such a vision is, by necessity, well-grounded in the goals and aspirations of the university and also in an astute understanding of the contemporary complexities of top tier academic institutions within a national and global context.
- **Exemplary integrity, trustworthiness, and wisdom** – The President must inspire trust, respect, and credibility; embody and convey self-confidence and personal comfort with authority, responsibility, and

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leadership; and demonstrate a capacity to garner advocacy and support and to influence others to accomplish goals.

- **Breadth and depth of intellect and a distinctive record of innovation and scholarly achievement** – The President must understand research and scholarship from various points of view and have a personal record of scholarly achievement; demonstrate a capacity to think beyond just the articulation of problems to a strategic understanding of solutions; and demonstrate as well a dynamic understanding of the impact of strategic decision-making in the lives of those who will be affected by those decisions.
- **Superb interpersonal, communication, and leadership skills** – The President must value diversity with a demonstrated capacity to recognize talents, envision how a diverse community thrives, and envision how a broad range of strengths can be directed toward collective excellence. The President must be articulate, persuasive, diplomatic, and extraordinarily engaging as the key and most visible enthusiast of an exciting top ranking university. The President must also be:
  - a highly skilled communicator who is eager to solicit, listen to and respond to the perspectives and concerns of others and greatly energized to engage a broad range of individuals and groups in accomplishing university goals;
  - highly accomplished in setting and leading an ambitious fundraising agenda;
  - a consensus builder who is inclusive, fair, and decisive;
  - able to think creatively and innovatively yet decisively;
  - capable of working effectively and productively in various settings (academic, governmental, profit, non-profit) in establishing critical relationships;
  - capable of envisioning what needs to be done and why; accomplishing well-articulated goals; leading by example rather than fiat; and conveying both vision and operational processes with transparency to various internal and external constituencies; and
  - astute in the management of fiscal and business affairs and capable of managing dynamic change.
- **High level of energy** – The President must be endowed with great energy, enthusiasm, and an intensely positive attitude that will inspire others to work at similar levels of commitment.

### Areas of Demonstrated Leadership

The Ohio State University requires a President who has a demonstrated record of experience and success in a number of areas, most notably in:

- Establishing and articulating for both internal and external audiences the mission and value of an academic research university, including experience in conveying a clear understanding of:
  - the mission of a comprehensive teaching and research university that is simultaneously a public land-grant institution;
  - the roles, responsibilities, and relationships of a metropolitan university that is intricately connected to its immediate community, the city, and the state;

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- the necessity of integrating highly innovative research vibrantly into highly successful teaching and outreach missions; and
  - the university (in its being a highly successful and highly visible public institution with national and international influence) as a key site of investment for the health and prosperity of the state.
- Generating substantial investment in the university from both private and public sectors and leading a world-class development effort.
- Attracting and retaining highly talented people -- outstanding faculty and staff, as well as high achieving undergraduate, graduate and professional students.
- Fostering intellectual vibrancy and excellence through diversity in all of its forms and manifestations.
- Creating, leading, and sustaining an effective operational system, including building highly productive teams and leading strong partnerships in the successful accomplishment of goals.
- Acknowledging the voices and viewpoints of students at all levels and setting a model for others in actively engaging students.
- Working effectively with various internal and external constituencies.
- Managing governance within an academic environment and creating transparent processes that are inclusive of university constituencies.
- Leading and fostering innovation and engaging and aligning a large complex organization with a broad array of stakeholders in order to effect change.

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## PRESIDENT'S REPORT

President Karen A. Holbrook:

Today I would like to focus my remarks around a brainstorming session Governor Strickland convened early last week with the presidents of all of Ohio's two-year and four-year colleges and universities to talk about higher education. I think it is very encouraging when we have a new governor who is willing to commit five hours of his time to engage in discussions on higher education and to listen. He sent a very strong signal that higher education will have a prominent place on the issue agenda at the Statehouse and he values higher education in its role in stimulating the state's economy. It was also very notable that Speaker Jon Husted, House Minority Leader Joyce Beatty, and several other Senate leaders and members of the Board of Regents spent their time with us.

The sessions focused on three areas and you have probably seen the working papers that were presented: 1) access and student success; 2) workforce development; and 3) research and innovation. The conversations were very lively, and were held in open session and breakout groups, with reporting out at the end of discussion that engaged the Governor and his thinking as well.

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To improve access and student success, the Governor is encouraging Ohio's institutions to become more student-centered, to offer students, faculty, and staff the resources and support required for success. In each one of these issues the Governor presented two key goals for consideration. The key goals included increasing the number of Ohioans enrolled in the state's public and private colleges, and the number of students who graduate from those institutions.

The bright spotlight on this topic provides us, Ohio State, with opportunities to demonstrate the progress that we have already made in the past several years through a significantly enhanced undergraduate experience, through increased class availability, better scheduling and advising, expanded and renovated classrooms and residence halls space, as well as freshman seminars and increased research opportunities.

One of the most visible signs of progress, and you talked about it already this morning in the Fiscal Affairs Committee meeting, is that our applications are up 25% over the same time last year. The credentials of the incoming students, in terms of their ACT scores and their positions within their high school classes, are significantly improved and by far the best of any of our classes entering The Ohio State University to date.

Another indication of the positive atmosphere at Ohio State recently reported was the result of a survey that was conducted among junior faculty from a couple of dozen institutions. The survey body was a group called the Collaborative on Academic Careers in Higher Education. It is a body organized at Harvard University and the acronym for it is "COACHE." Last week in *The Chronicle of Higher Education*, the results were published and what it showed was that the junior faculty at Ohio State rated their University as exemplary on four out of seven dimensions of faculty work life, including tenure clarity, policy effectiveness, compensation, and work and family. Four other universities and one college ranked at the same level as Ohio State, but no other university scored higher than we did.

The second area that the Governor talked about was research and innovation as one of the keys to enhancing the future of this state. One of the goals was to double the amount of research funding that was obtained from federal and private sources over the next several years. Our committee realized that this was probably an unrealistic goal considering the constraint on the federal budget right now, earmarks are going away, and the fact that many universities are well ahead of us already and are not going to want to be preempted as Ohio State moves up to knock them out. We suggested they down size that goal to one that was a little more realistic, although we liked it as an aspirational goal. We also suggested that other measures be used to recognize what we do in research innovation besides simply counting the number of patents but to put other measures in place. I think it was a very robust discussion and, I believe, he will go back and revise some of the goals that were put in place with that.

Again, I would say Ohio State is certainly doing its role to help improve the research and innovation scene in this state. All of you have had the opportunity to look at today's *Columbus Dispatch* and see the wonderful data that we learned only a couple of days ago. In the National Science Foundation rankings of research expenditures, Ohio State has moved from fifteenth to twelfth. Among public universities, we have moved from position nine to position eight. These rankings are based on 2005 research expenditure numbers and we have continued to go up since then. I think we were at about \$609 million at that time and this year we recorded \$652 million in research funding. I am certainly optimistic that that will continue to

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grow and contribute enormously to the state of Ohio, as well as to the kinds of things we can do at The Ohio State University.

Finally, the last area of discussion was workforce development and training. It centered on the fact that workforce training should be tightly linked to the economic needs of the state, the regions, and the industry. The goal here was to increase the number of workers in high-demand fields such as health care, engineering, and information technology, to bridge the current shortages that exist. Again, I would say Ohio State with its stamina, initiatives, and where it is going will help foster those goals.

I think in the end, Ohio State will certainly do all it can to help the Governor make progress on the ambitious goals that he has laid out. We support them, they are very important, and they are ones where we can be major contributors. We also realize we have a unique responsibility to provide leadership and expertise in each of these areas with a bright spotlight now on higher education. We will continue to be challenged to reduce costs, improve services, and increase our performance in as many ways as possible, as we do every single year. We are certainly open to new ideas.

Thank you, Mr. Chairman.

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### **STUDENT RECOGNITION AWARD**

Mr. Alvarez-Breckenridge:

The Student Recognition Award is presented each month by the Board of Trustees to a student in honor of their achievements in his or her area of study, service to the University and/or community, and research achievements that have been a credit to the college and the University.

This month's recipient Laura Connell, from Springfield, Ohio, was nominated by Dean Bob Brueggemeier, from the College of Pharmacy. Laura is a fourth-year student, pursuing dual degrees in pharmaceutical sciences and biology. Today Laura is accompanied by Dean Bob Brueggemeier and Assistant Dean Ken Hale.

Laura's achievements prior to arriving at Ohio State were numerous and provided the basis for her remarkable accomplishments upon enrolling at OSU and the College of Pharmacy. After graduating from Catholic Central as the salutatorian of her senior class, she continued her academic success at the collegiate-level while balancing extensive extra-curricular activities, service, and research.

From day one at OSU, Laura has academically excelled in all of her coursework and is a candidate for graduation with both honors and distinction in pharmaceutical sciences this coming spring. While pursuing such rigorous coursework in both the classroom and the research lab, she has been able to maintain an excellent academic standing. This has been most evident with her recognition on the Dean's List six times and the Dean's Honor Roll for 2005-2006. Additionally, Laura has been recognized by the University with its University Scholarship, the College of Pharmacy's Undergraduate Research Scholarship, and the Biological Sciences' Sharp Memorial Scholarship.

In the current educational environment, an increasing number of students are seeking the benefits that can be garnered by studying away from main campus. Not surprisingly, Laura decided to take full advantage of this



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extracurricular learning initiative in Merced, California, last year. This experience involved reading Anne Fadiman's, *The Spirit Catches You and You Fall Down*, engaging in a discussion with the author, and visiting the Hmong health center in Merced. From this experience, Laura was able to benefit in several ways. First, she has developed a significant understanding of cultural competency issues in health care. Additionally, she was able to develop her public speaking skills by presenting her perspective from this project to the Pre-Pharmacy Club, the Midwest Asian-American Student Union Conference, the Pharmacy 400 Class, and the Helix Tri-Beta Induction Ceremony.

During her time at OSU, Laura has clearly learned that the educational forum regularly extends beyond the classroom. Beyond her study abroad experience, she has experienced this lesson by pursuing undergraduate research in the laboratory of Dr. Lane Wallace, from the Department of Pharmacology. In his lab, Laura has used a computational approach to studying the mechanism by which amphetamine alters the amount of dopamine in the brain.

While Laura's successes have been recognized by the faculty and staff in the College of Pharmacy, she is equally respected by her student peers. She has been heavily involved in the Pre-Pharmacy Club and was elected by the student membership as the vice president last year and is currently serving a term as the organization's president. Additionally, she has served as the membership chair of Helix Tri-Beta Biological Sciences Honorary, has worked as a Biological Sciences recruitment leader, an American Language program conversation partner, and State Science Day judge in addition to raising \$500 for the Healthy House in Merced, California.

In short, Laura is making great strides as a scholar, scientist, and leader within the College of Pharmacy. Additionally, she has done a remarkable job of balancing her academic obligations with her numerous co-curricular activities. She has truly made an indelible mark on her College of Pharmacy and the University at large. These accomplishments and experiences will undoubtedly serve her well when this fall she will be attending the Doctor of Pharmacy program at Ohio State in preparation to become a pharmacist.

Laura, on behalf of the Board of Trustees, I am very pleased to present this award to you today. Your achievements are truly remarkable and you are indeed an outstanding student. Congratulations and best of luck in your future endeavors!

Ms. Laura Connell:

I would first like to start off by saying a sincere thank you to the Board of Trustees for this award and I am extremely honored and grateful to receive it. I would also like to thank Dean Brueggemeier, from the College of Pharmacy, for nominating me and Assistant Dean Ken Hale for his continuous support. Even though my parents could not be here today, I would like to acknowledge them for the unconditional love and guidance that they have provided me over the past twenty-two years.

As I think about my past three and a half years at Ohio State, I realize I have gained an abundant amount of knowledge. However, it is worthwhile to note that the wisdom that I acquired did not come solely from textbooks, rather, my undergraduate education has been an assortment of lessons learned from professors, advisors, fellow students, coworkers, research, and involvement in school and extracurricular activities. The Ohio State University presents endless opportunities for learning on many different

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levels, which I will continue to explore for the next four years in Ohio State's doctorate of pharmacy program. As a pharmaceutical sciences and biology undergraduate student, I have been exposed to a variety of areas from the dynamics of dinosaurs to professional ethics. As a student researcher, I have strengthened my problem solving skills and contributed to understanding a mechanism that may underlie drug addiction. As an organization leader, I have acquired strategies to bring a group together. Most importantly, as an Ohio State student, I have witnessed true diversity and the need to embrace and appreciate cultural differences in my daily interactions.

My endeavors outside of the classroom have allowed me to explore and reflect upon what it means to serve and care for others in a culturally competent manner. In December 2006, I took part in an alternative winter break trip to Merced, California. A group of students and I spent time there with Hmong refugees, gained first-hand knowledge about the Hmong culture, and learned numerous approaches that a pharmacist, or anyone for that matter, can take to serve marginalized communities. One such strategy is simply to learn about the community's cultural backgrounds and beliefs. This knowledge enables you to view services through the eyes of someone else and then take action to foster an atmosphere that is responsive to that individual's needs. The trip inspired me to spread awareness about the Hmong population and the crucial need for cultural mediators not only in pharmacy, but in all healthcare settings. I share this experience through formal presentations as Christopher has mentioned.

Similarly as a pharmacist, I will seek to strengthen pharmaceutical care by promoting diversity and building cultural competency through interactions with other healthcare professionals and patients. If we all tried to learn about and accept each others differences, we can be excellent, cross-cultural communicators; however, if we learn about each others' differences and embrace those through our actions, our potential to better the lives of those around us become infinite.

Again, I would like to say thank you to the Board of Trustees for the Student Recognition Award. I am thankful for all of the opportunities that The Ohio State University has offered me and will strive to promote the lessons I have learned here throughout the rest of my life. Thank you.

Judge Duncan:

Thank you very much for all of the hard work you have put in to get the extreme high honors you mentioned. You bring honor to your University.

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## **FACULTY LEADERSHIP REPORT**

Provost Barbara J. Snyder:

It is an honor to introduce our Senate leaders this year to make a presentation. I think the bulk of the presentation will be done by Dr. Allan Silverman, chair of Faculty Council, from the College of Humanities, Department of Philosophy. He will be assisted by Dr. Harald Vaessin, chair of the Senate Steering Committee and professor in the College of Biological Sciences, and who serves on the Presidential Search Committee; and Dr. Robert Perry, from the College of Mathematical and Physical Sciences, Department of Physics, who is chair-elect of Faculty Council and will be taking over for Allan this summer.

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Professor Allan J. Silverman:

On behalf of the faculty of Ohio State, I would like to thank Chairman Duncan and the Board for the opportunity to address what we see as major issues facing the University and the faculty, as well as to bring you up-to-date on the activities of the Faculty Council and the University Senate.

Before turning to specifics, permit us some general remarks. First and foremost, the top priority of the faculty is to help Ohio State become a world-class research university. The faculty at Ohio State has changed greatly over the last fifteen years, during which time the majority of us have been hired. Most of us produce nationally and internationally recognized research, as well as teach undergraduate and graduate students. Most of us have been trained at the top research universities in the United States. We believe that there is no way that Ohio State can become world-class without emulating the practices of these best institutions whether public or private. We are keenly aware that the statistics used to measure the academic quality of faculty, departments, colleges, and universities are all flawed, that perception usually lags reality. Nonetheless, we do have a fair sense of where we stand at Ohio State.

By and large we are a good research university, probably even a very good one. The Ohio State University today is a better academic institution than it was ten years ago. We are grateful that, especially over the last few years, salaries have improved, though we are not yet back to the competitive levels we enjoyed in the 1980s. We are fully cognizant and appreciate that the undergraduate population has steadily improved, most markedly this last year.

Yet it is fair to say that while we think Ohio State has made steady, if incremental, progress towards the goal of becoming world-class, we believe that right now Ohio State is at a crucial inflection point. We will either plateau and then inevitably decline or we will break through what one dean calls "the killing zone" -- where ambitions die -- to become an ever more self-sustaining, world-class research university. The faculty appreciates just how difficult and expensive it is to become and remain a world-class research institution.

Fortunately, we believe the confluence of events that have occurred over the last year, on balance, provide the opportunity to bring about dramatic changes that will accelerate our progress towards our goal. The growing awareness of the centrality of a great university for the economic well-being of the state, following a path where great universities have been the engines of economic development for other states, makes us cautiously optimistic that the new governor and legislature will stop cutting the state's contribution to universities. With luck, perhaps they will even take steps to provide additional resources, especially for needy students who are qualified to matriculate to Ohio State and other universities.

We believe the new Board structure will allow the fifteen of you to better serve the University, to devote more energy to strategic planning for Ohio State, and to promote greater interaction between the Board and the faculty of the University. In this regard, we want to express our thanks to Chairman Duncan and his predecessor, Dan Slane, for the new practice of quarterly meetings with faculty leadership and the provost. We look forward to continuing to meet with you.

Though we are understandably anxious about losing a president and a provost who have worked tirelessly and successfully for a better Ohio State, we look forward to new faces and new approaches to advancing the crucial

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initiatives that have been set in motion by President Holbrook and Provost Snyder. Let us now turn to those initiatives.

In the eyes of the faculty, the two initiatives most crucial to our future are competitive compensation and reform of the Graduate School and graduate programs at Ohio State. As some of you may know, I joined the Senate for the first time two-and-a-half years ago convinced that the most important step Ohio State could take to create a world-class research university was to focus on the faculty, fundamentally by increasing the number of faculty to achieve a student-to-faculty ratio roughly equal to that of our best peers.

The size of the faculty has three direct benefits: 1) a low student-to-faculty ratio resulting in better classroom and research experiences, which in turn allow the great universities to attract the best students; 2) large numbers of excellent graduate students; today's senior graduate student is tomorrow's professor and attracting the best is important to the undergraduate experience as well as to the research profile of the University; and 3) a synergistic increase in research, which in turn attracts additional outside dollars from private corporations and public agencies such as the National Institutes of Health, the National Science Foundation, or the National Endowment for the Humanities.

I still believe that we will have to continue to increase the size of our faculty, especially in key colleges such as engineering. Our engineering faculty numbers approximately 267; Illinois has well over 400; Michigan well over 300; and Purdue is adding 70 new faculty. If OSU hopes to achieve the goals of the Academic Plan and place ten or twenty departments in the top ten, additional regular tenure-track faculty will almost certainly be required. A quick glance at Harvard's expansion plans of growing their faculty of arts and sciences by almost 50%, or the strategic goals of the Universities of Texas or Virginia, reveals that the race for talent will be fierce. Let us not be misunderstood. It should go without saying that we will add faculty of the highest quality, not faculty just for the sake of numbers, with due attention given to strategic departments and changing enrollment patterns.

But ultimately as important as increasing the size of the faculty will be, the provost has persuaded us that the more immediate need is to improve the salaries of the faculty presently at Ohio State. Ohio State finds itself 38<sup>th</sup> among the AAU and, at best, at the mid-point in comparison to our benchmark peers. We will never become world-class if our salaries remain mediocre. The academic community is keenly aware of where universities stand with respect to salaries. With mediocre salaries, we will lose out on the race to hire the best and we will not be able to keep those whom we do hire. Reputational ranking by one's peers, which is a significant part of overall rankings, will not improve until and unless we continue to make progress on salaries. For instance, we can set goals for becoming 30<sup>th</sup> among the AAU, but it will take a commitment on the part of the deans, provost, president, and Board to make them a reality.

Here we believe that the provost has it right: we urge in the strongest possible way that the University administration declare as its principal aim annual raises of 1% over the benchmark average for at least the next five years. Again, let us not be misunderstood. We are not asking for across-the-board raises nor are we even recommending that each college achieve the same raise pool. We believe that it is up to the deans and chairs to award raises according to merit in research, teaching and service, and up to the provost to ensure that the overall raises meet the goal of 1% over benchmark average. On the other hand, we do think that special attention needs to be given to ensuring that high-quality faculty at Ohio State be compensated equitably. The equity problem is not unique to Ohio State, but

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unless it is solved our strongest programs will continue either to lose faculty or, almost as bad, suffer from deteriorating morale among its best and often most loyal faculty.

The second initiative is the reform of graduate education and graduate programs at Ohio State. These reforms are absolutely crucial if we are to become a world-class university. At the core of every great university are graduate programs of the highest quality, especially in the arts and sciences. Broadly speaking, the issues before us involve emphasizing the research environment for faculty and graduate students. This, we believe, may well pose a challenge for Ohio State, since it will be hard to explain why the undergraduate experience will improve if we emphasize faculty research and graduate education, and why the short-term costs to the state will result in long-term returns for all of its citizens. Attracting and educating the best and brightest graduate students cannot help but improve the educational opportunities for all of our students, including our undergraduates. Moreover, the research done by these students will, in the long run, provide jobs and opportunities for all Ohioans.

We endorse the view of the reports of Deans Freeman and Beck, and the position of the provost, that some weak programs will have to be defunded, and that the doctoral subsidies will have to be redistributed in a manner that enables both our better programs to flourish and the programs most strategic for the future of Ohio State to grow. We will have to find the nuts and bolts everyday resources that Harvard or Berkeley or Michigan, or now increasingly UCLA and Texas, provide for graduate education and research; competitive multi-year fellowship offers to recruit graduate students to OSU; reduced graduate tuitions upon passage of generals; ensuring that our graduate students teach no more here than they do at the best research institutions; more colloquia, conferences, and visitors, with all their attendant costs in room, board and honoraria; more money for start-up packages in the sciences and engineering; more money for research accounts in the arts, social sciences, and humanities; and competitive leave policies to pursue one's research. Whether or not the money currently directed towards graduate education is adequate to meet these needs remains to be seen.

The faculty looks forward to hearing Dean Osmer's preliminary assessment of how much is needed to address the ambitious goals of the University, as well as how much money is currently available to meet those same goals. I have a nickel bet with Barbara that the \$17 million in annual rate that the Office of Academic Affairs currently allocates will have to be increased. I hope I will owe her a nickel, but either way, we believe that there is nothing more critical to the future of Ohio State and the State of Ohio than improving the graduate programs at Ohio State.

Let us turn to the third major initiative of the Office of Academic Affairs -- economic access. The faculty at Ohio State believe in our bones that knowledge is power. That the pursuit of it is the key both to flourishing as a human being and, equally, flourishing of the community. We do not believe that Ohio State should be open to every student who wishes to matriculate. Realizing as we do that only students with high academic potential have the opportunity to flourish here and that failing others, as we have done in the past, is neither good for them or for us. We do believe that no student who is academically qualified to be admitted to Ohio State should be prevented from enrolling due to lack of resources.

While the vast majority of us believe that the state government, for prudential if not moral reasons, should provide the monies to these students, we are resigned to the reality that tuition hikes are needed if Ohio

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State is to achieve its goal of becoming a world-class university. However, we hope that through a collaborative effort between the administration and state and federal government, we can find a formula that asks those families that can afford to pay, to pay a tuition commensurate with the quality of education they receive, and allocate sufficient resources to those who cannot afford to pay to enable them to come to Ohio State. The quid pro quo that we the faculty can promise to all families is that for every step we take towards achieving our goal of becoming world-class, your child's education will be that much better, their degree will be that much more valuable, and the economic environment of the state will be that much more vibrant, so that the jobs for which they will be qualified will be available here in Ohio.

Competitive compensation, graduate education, and economic access are all priorities. In the light of the budgetary environment at the state and federal levels, we believe that the next administration will have to focus on these priorities. At present, we may very well not have the resources to accomplish all three. Currently, the Office of Academic Affairs spends \$71 million in annual rate for student financial aid and merit aid. To date, we do not know what percentage of that figure goes towards merit. Preliminary indications are that more than \$20 million is in the merit category, a figure much higher than that of our peers.

The difference between the average ACT of the entering class, approximately 26.5, and the required honors ACT of 29 is too small to justify the burgeoning numbers of freshman honors students and the attendant costs in faculty resources and the Office of Academic Affairs' dollars. The difference between a 27 and a 29 on an ACT is just as likely explained by when you went to bed the night before as it is by anything else.

As a parent of two Ohio State students, as someone who has devoted considerable time to the honors program at Ohio State, especially the Honors Collegium, and as a regular instructor in our largest Introduction to Philosophy course -- L101 -- I think it is absurd that 20-25% of the incoming class is labeled honors. The faculty calls upon the Office of Academic Affairs to implement next fall the recommendation of the External Review Committee of Honors, to begin to shrink the honors program at Ohio State to reach its target of no more than 10% of the student body. The Office of Academic Affairs' monies currently spent on merit should steadily, over a period of time, be diminished and reallocated to the three priorities we have described. Over the intermediate and long run, offering merit incentives to attend Ohio State will have the same result as Ford's incentives to car buyers to buy a Ford instead of a Honda or Toyota. Ohio State will attract the state's best students only if we are a much better academic institution. While huge outlays in merit money may have been needed as we made the transition to selective admissions, we should now emulate the practice of Michigan, Illinois, Wisconsin, Penn State, and Texas, whose honors programs are much smaller than ours, whose outlays are much less, and whose average ACT is much higher.

We believe that there are other opportunities throughout the University to redirect dollars toward our priorities. Over the last three years, faculty leadership has requested of the Offices of Academic Affairs, Human Resources, and Business and Finance, figures pertaining to head counts of faculty, staff and administration over a fifteen-year period, broken down by college and support units, and by source of funds, i.e., general or non-general funds. We have requested raise and salary figures for assistant and associate deans, and vice presidents, as well as faculty for that same time frame. We have tried to gather comparative data from peer institutions such as Wisconsin, Illinois, and Texas.

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Let me say first that every request of Associate Vice President Larry Lewellen, Senior Vice President Bill Shkurti, and Provost Snyder was met, and almost always in a timely manner. We sincerely thank you. We cannot say enough good things about transparency, and we especially laud the effort, beginning with the Office of Academic Affairs. Hopefully soon all the colleges and support units will make available their budgets whether on-line or through more traditional media, e.g., hard copy. Second, let me say that we have a long way to go in understanding all the numbers, a long way to go in properly coding the different administrative, staff, and faculty titles and salaries, and a long way to go in -- to use the phrase that the deans and Larry beat me over the head with -- "scrubbing the numbers," both to put them in the proper context within Ohio State and in comparison to our peers.

Let me give you one such set of numbers: between 1990 and 2005 the total dollars spent on faculty salaries from general and non-general funds, excluding the Medical Center, grew from \$191 million to \$302 million, a 57% increase. Staff salary expenses grew from \$232 million to \$462 million, or almost 100% increase. We have no idea what to make of this number or even if it is a valuable number to have, but it gives us something to start with. Why the disparity? How does Ohio State compare to other peer institutions? How much of staff growth is attributable to new mandates from the state or federal authorities? How much is the result of conscious choices? How much is due to the fact that we at Ohio State are much better at creating new initiatives than we are at reviewing what we have done and closing down failed initiatives or realigning old configurations of staff to meet new needs?

You have heard from my predecessors, as well as various speakers to the Presidential Search Committee, that Ohio State suffers from a stifling bureaucracy. We all -- faculty, administration, staff, and the Board -- are going to have to work collaboratively to shrink the bureaucracy where possible, to make transparent how we spend our money, to confront hard choices about our priorities, and to face the fact that we do not and will not have the money to do everything we wish.

Let us emphatically state that we are not exempting the faculty. As Bill Shkurti told me when I first joined the Senate, if you ask the faculty what they want, you'll get 17 answers beginning with free parking and ending with Harvard salaries. He is correct; you will get a lot of answers. Yet it is also true that in the past all too infrequently the faculty have been asked -- whether in departments, colleges or in University settings -- whether they would trade a secretary or a server for a graduate fellowship or additional research funds. If we are to wring cost-savings from the \$3 billion budget of Ohio State, we will need a collaborative effort. While not every cost-saving idea is a good one, we are convinced that real gains can be achieved if transparency becomes the norm, if all parties affected by decisions are made aware of the consequences of their choices, and if we work collaboratively in achieving our goals.

Finally, let us turn to what has happened in the University Senate over the past 18 months for we truly think that they are examples of what can be done through collaborative efforts. Working with Bill's office, we reached agreement on a fiscal fraud policy in June 2005. Working with Larry's office we created a new policy on consensual relations last year. Working with the Office of Academic Affairs and The Women's Place, we have created a new policy on flexible time for faculty. Likewise we have created a new leave policy, making our sabbaticals for the first time competitive with our peers. Through the back and forth of challenge and cooperation, we have made substantial progress on Your Plan for Health and improved salaries. Jointly

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with Bob McGrath and the Office of Research, a special ad hoc committee on the Social Science Institutional Review Board will report out next month new policies that are liable to be trendsetting for the entire country. After extensive conversations with Senior Vice President Sanfilippo, Deans Bornstein and Souba, and their colleagues in the Health Sciences, the faculty and deans will soon initiate an ad hoc committee to address the fundamental question of governance rights for clinical and research faculty.

The nature of the faculty member in the 21<sup>st</sup> century is changing, and it is our obligation as faculty to confront these changes. Along with the Office of Academic Affairs, and especially Vice Provost Matt Platz, we have created an ad hoc committee to address the use of clinical titles for reconsideration of tenure and promotion decisions to ensure that when recommended by the provost and the Faculty Hearing Committee, they are new, fair, and impartial. There will also be an ad hoc committee to study the sticky issue of tenure and promotion policies for faculty with interdisciplinary appointments.

This spring we will cooperate with the Office of Academic Affairs in the review of the Federation of the Arts and Sciences. Lastly, as promised five years ago, in cooperation with the Offices of Academic Affairs and Business and Finance, we shall soon name an ad hoc committee to qualitatively review the budget process and budget model adopted in 2002. I trust that every one of the individuals mentioned here will agree that these are all the product of compromise and a growing trust between faculty and the administration.

You will no doubt notice that we have made no mention of the 181 hours to a degree. I had hoped to be able to tell you that we will be ready to bring the proposal for 181 hours to this Board for approval next month. However, the proposed template for the Bachelor of Science general education requirements differ in at least two significant aspects from the Bachelor of Arts template, and this difference is proving to be controversial. We will continue to work with the provost to persuade our colleagues in the sciences that the GER are designed to prepare the student to be a well-rounded citizen in an increasingly globalized world; that while the skills are different, the B.S. degree is no more dependent on skill sets than any other degree; and, finally, that students freely choose their majors knowing that certain majors have less flexibility than others.

Again, I want to thank the Board for the opportunity to speak on behalf of the faculty. The times-they-are-a-changing. I am an optimist by nature, so I think change should be viewed as opportunity, not crisis. I think that Ohio State is better than it has ever been. Furthermore, I think that while we face a host of challenges, we are uniquely poised to take advantage of them like never before. Obviously, if we are to achieve our priorities and if we are to become a world-class research university accessible to the best undergraduates in Ohio regardless of their socio-economic status, it will take the combined efforts of the Board, the administration, the faculty, the governor, and the legislature. It will require a successful development campaign focused on undergraduate scholarships, graduate fellowships, and additional faculty and faculty resources. It will take hard decisions on the part of all of us at Ohio State to generate cost-savings and to redirect funds within the University. And it will take, God willing, the recognition of the leaders of the state government of Thomas Jefferson's fundamental insight into the nature of our American democracy that only a well-educated citizenry can make it work. We are happy to take questions.



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Dr. Cloyd:

Dr. Silverman, that is an excellent report. I find it very encouraging the position that the faculty have taken. The whole subject of cost savings and redirection is obviously a very important one and one the University is giving attention to and needs more attention given. Is there any specific process that the faculty feels is not in place today? You mentioned transparency, but is there something else we could be doing that would further engage the faculty and their help in this whole process of cost-savings and redirection?

Professor Silverman:

I think without a doubt transparency is the key, because it is a continuing process to try to figure out where the money is being spent. I can speak in two different ways about this, one is from the average colleague who has no involvement with the University Senate and who has never sat on a Senate Fiscal Committee or a Compensation and Benefits Committee. They can probably bring cost-savings out at a local-level if they know what the department is spending their money on. As I said, given a choice we can spend it here or we can spend it there or we are doing this with our money. If we have the transparency that the provost has begun to implement in OAA -- I hope it spreads to the colleges and support units -- I think we can get some cost-savings that way.

The other way is through the Senate with a shared governance process. We have been working very hard for the past year-and-a-half with all the vice presidents and the provost to try to figure out ways in which we can better organize the review processes of the various units of the University. This is a charge of the Central Services subcommittee of the Senate Fiscal Committee, which basically has two tasks: 1) to do yearly reviews of the units or subunits like the Offices of Research or Student Affairs; and 2) to do functional reviews across various units.

You heard Ms. Bellini speak earlier today about managing waste. If we can look at the different waste management contracts -- to the best of my knowledge, there may be four including the Medical Center's hazardous waste collection -- that is a faculty job and to be perfectly honest we haven't stepped up to the plate for a variety of reasons. We haven't been able to persuade our colleagues to take part in these reviews. That is the second and probably the best thing we can do is to get the faculty and others in the University -- I don't mean to exclude the fiscal officers, staff, etc. -- to take a good hard look and contribute their ideas. I think the various officers and vice presidents of this University do a great job. As comprehensive as Bill's knowledge is of the budget and this University, not even Bill can penetrate all the way down to the low levels at which dollars are spent. We need to look at all of that.

Dr. Cloyd:

Thank you. The obvious principle that is operative there, and that I find really powerful, is the sense of responsibility it creates for all of us associated with the University to make this not only a quality education, but a highly-affordable education.

Professor Harald E. Vaessin:

To add to Allan's point clearly transparency is a critical issue, but from the Senate side we also should mention that we are in the process of discussion of improving Senate structure to some degree. I don't want to go into detail now, but to some degree we have to worry about issues of how

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the Senate can actually exercise its function and how faculties on the Senate can exercise its functions. We need to deal with how we make sure that issues are passed on as Senators rotate off committees, and how we deal with issues that individuals chair committees, in general, for one year and then disappear, and the knowledge and momentum is lost. So we are also working from that angle to ensure that as a gubernatorial we make this a smooth process.

Mr. Borror:

Thank you, you have made a great presentation and we certainly understand all of the needs that you have. As the chair of the Academic and Student Affairs Committee, one of the things that we have been working on with Provost Snyder is the reduction in the number of hours that are required for an undergraduate student education. In watching this process -- and I think that I can speak for my colleagues on the Committee -- we are very eager to see this number decrease. We would love to support you in a number of your initiatives, but in betterment of undergraduate student education I think there is compelling information that shows that the numbers can be decreased and affordability for our students and their ability to complete a four-year term is something that we all care about. I know that you are recommending this, but we would hope that as you started your discussion about the bureaucratic issues at Ohio State, that we could see progress and see this come to fruition.

Mr. Wexner:

I agree with both of my colleagues, and encourage and appreciate your candor. We are all in this together. We have discussed this in small groups of the Board, on the efficiency of how we spend and where we spend, and the efficiency or the lack of efficiency -- utility of the bureaucracy. Bureaucracy can be within a college or a department; there are many bureaucracies here. What I would leave you with is the way I look at it as a non-academic is there is about a 15% opportunity. I just believe that everywhere -- in my business and I would believe it about the University and in almost anything. I don't know that it is there, but I like numbers in that way.

I see it as cholesterol and our collective action is like Lipitor. If the Board, the faculty, and everyone are looking at this and saying that with the aggregate of a \$3 billion budget, perhaps there is \$3-\$5 million of inefficiencies spent or dollars saved -- and we are all looking at it from different perspectives -- I think our bad cholesterol level would go down. Unless there is an acceptance -- which is culturally very hard to accept -- that everybody could be more efficient and we all see it differently, we can't get to it. I know for myself as a trustee, I think that is incredibly important for the University because that 15% -- which, I believe, is there -- redirected or just everyone gets 15% more of the savings of the inefficiency, probably helps us immensely to get from where we are to where we want to be. I believe we may have many of the resources within our control now, but we just can't get the damn cholesterol level lowered.

Professor Silverman:

I don't think I would disagree with you, Mr. Wexner. You can go around and ask individual faculty about individual ideas where costs can be saved. I sit with the chief information officer on the Senate Fiscal Committee and she has ideas about how to save money. It is a little bit like herding cats when you try to go to my colleagues to say, "Would you give this up in order to get that?" I mention servers because servers are a big issue right now at Ohio

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State and everybody wants to have their own local control over their own computer network. It may not be cost effective or good for security purposes, but this is the kind of collaborative effort we will have to repeat through all of the pockets of the University to generate savings. That is why the trust is so important.

Mr. Wexner:

The more that we are on the same issue is no one is going to find the magic 15%, but all the faculty observations about inefficiencies somewhere in there, in all these different views bundled together and examined, is how we are collectively successful. In answering Dr. Cloyd's question, in kicking it around in the Senate and saying, "This perhaps is a big priority, I would leave it for you to decide"; and "How are we going to gather all of this information because it is going to be a bit here and a bit there?" Clearly access to the administration and to the Board is essential, and for faculty to come back to us and say we have some proposals, here are some little ones and here are some big ones that will help.

Let me share a story with you from my business experience. One day somebody said, "If we use 60-watt light bulbs where we use 75-watt bulbs, we could save a lot of money." I asked where that idea came from and they said it was some dumb, part-time salesperson, because you certainly couldn't do that. We did do it. When you have 4,000 stores and you change a bunch of light bulbs, you save hundreds and hundreds of thousands of dollars. So the filter was filtering out a really good idea. I am trying to demonstrate the complexity of us gathering information, because we only know what we know from central and strategic positions.

Professor Robert J. Perry

I have been involved in the Senate for too many years and over the period of the last ten years the quality has improved. This recognition of -- if you want to come up and ask for something, you have to first make sure you are using it correctly -- the discussions were not there necessarily. That has been happening to a much greater extent over the past ten years than it had before. There is more acceptance of that and perhaps a fair amount more of understanding.

Mr. Wexner:

I didn't mean to imply that; hopefully, your house is in order. If Athletics has ideas about the Chemistry Department that is great, and if Chemistry has ideas about the Law faculty that is great, too, but you have to examine your own house. There are several thousand things that will come together that can produce it. Obviously, we need more of everything.

Professor Perry:

I think it is part of the culture.

Mr. Alvarez-Breckenridge:

Dr. Silverman, I have a question regarding your second initiative with graduate education and how it ties into benefiting the state of Ohio as a whole. As a graduate student, a lot of my fellow students are not originally from Ohio, and I don't really know if they intend to stay in Ohio once they graduate. It seems like there is a trend to want to go back to your home state. My question is how can the Graduate School go about recruiting students who are originally from Ohio, went to some other institution out of

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state for their undergraduate education, but then catch them at the end and try to bring them back for graduate school where they would hopefully settle down and that would obviously benefit the state of Ohio?

Professor Silverman:

I am not quite sure how to approach that Christopher, other than to say that in my experience graduate students, especially in the Ph.D. degree granting areas, are going to make their decisions first and foremost on where the best programs are. They are looking to enter the professoriate and are going to calculate, on the basis of their knowledge, whether they are more likely to get a job by going to Ohio State or Michigan or Illinois or anywhere else.

I assume there will be some loyalty to coming home. One feels this at various times, but, by and large, if we can build better and more competitive graduate programs, we will be able to attract those students by the sheer nature of the academic discipline. That is how it happens. Sure, some people are going to say, "Do I want to live east or do I want to live west, do I want to be on a coast or not on a coast?" But, by and large, as our peers have proved all around the country, if you are going to do computer science, you are going to look real hard at the University of Illinois. You are not going to worry about whether it is in Urbana-Champaign, it's a top five program and that is where you are going to go if you get the right offer. That is the key.

Mr. Wexner:

I just wrote myself a note, but I thought I would share it with my colleagues. Again, I like the 15% cholesterol because I think everyone's blood pressure could go down 15%, their cholesterol could go down 15%, and their heart rate could be reduced by 15%, it is a benchmark of what I think is efficiency. If that 15% target -- this is out of my imagination, but I have proven it in my world that it is reality -- of a \$3 billion budget is close to \$500 million and you assume some level of inflation over a five-year period, let alone a ten-year period, you are looking at \$2.5 billion of inefficiency that could be converted into efficiencies. So maybe if your cholesterol goes down, you will live longer and healthier, and you save other kinds of costs.

What the Board should consider is it worth a taskforce of the right people -- faculty, students, and alumnus -- to begin to think about this \$2.5-\$8 billion, over a ten-year period, efficiency and examine it, because it is an annuity that keeps on benefiting us. I am really stimulated by your discussion of needs, which everybody has. I think your needs and the things that you are talking about are very legitimate. But when I think about something that is important there are not many things that we talk about as a Board that are in the magnitude of \$2.5-\$8 billion over a ten-year period. Not only is it found money, but like reducing your cholesterol you get much healthier. Reducing your cholesterol is a mandatory thing if you don't want to be ill.

Professor Silverman:

When I first did calculations about growing the faculty -- using 3,000 as the number of faculty and calculating 10% growth over whatever the right number of years is -- basically you need about \$45 million at an annual rate, \$150,000 a person with benefits. Then I was asked, "Where do you find \$45 million at an annual rate?" After talking with Bill and others, and thinking through these found efficiencies, it strikes me that over time, you would like to believe that we could find \$15 million -- and I leave aside the Medical Center, because the budgets with clinical I don't include in the

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numbers -- in annual rate. You add in what you might get at a development campaign and what maybe the state would contribute, and you would have these resources. But it will take, first and foremost, the cost-savings within the University. Again, I can't wrap my mind around how the Medical Center's budget works with what I have available. I am sure by the time the Senate Fiscal Committee takes its look at it, we will have some better ideas of where more efficiency can be found.

Mr. Schottenstein:

Dr. Silverman, I thought your remarks were excellent. They certainly resonated with me and I suspect everyone here. I would like to get a copy of your remarks.

Professor Silverman:

I will clean up the typos and put in the commas where I think they belong, and make sure that Maureen gets them.

Judge Duncan:

Any other questions? Thank you so much for such an interesting presentation and I look forward to our next quarterly luncheon.

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### **COMMITTEE REPORTS**

Judge Duncan:

I would like to call for committee reports and will call on Mr. Schottenstein for the Audit Committee report.

Mr. Schottenstein:

Thank you, Mr. Chairman. The Audit Committee met yesterday from noon until 3:00 pm, and the primary items for discussion were a review by Mr. Shkurti of the Mid-Year Financial Report, and the follow-up to the Deloitte management letter and the various responses to some of the items raised therein, nothing material. We also undertook a review of where we stand relative to hiring the company that we are going to use to undertake the operational audit. There were also other matters that were discussed in Executive Session.

Judge Duncan:

Thank you very much. Next I will make a brief report on the meeting of the Affiliated Entities Committee, which met yesterday afternoon. We were first presented with an update in the form of a chart that sets forth information concerning each of the affiliated entities. It is a very convenient tool for an enormous job of tracking the affiliated entities. You have seen it before, but it is updated quarterly and I will provide the chart quarterly to members of the Board.

More specifically, we heard a report concerning Campus Partners from Terry Foegler, and then he commented on his much newer assignment at SciTech. We also heard a report concerning Medical Center Partners from Pete Geier. We also heard from Athletic Director Gene Smith and Joe Bull regarding the reorganization of the Diamond Club, which is a baseball support group.

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We heard some very interesting information regarding an initiative to provide teacher education in Indonesia. That initiative is in the early exploratory stages, would be a federally-funded project, and we would be collaborating with the Universities of Indiana and Illinois in that endeavor.

We also heard a most gratifying and enlightening discussion regarding our operation at the Transportation Research Center in Marysville. This is an extremely successful operation, and I have for each of you a well displayed and documented pamphlet concerning the Center's activities. I think you will find this pamphlet extremely interesting.

There were no action items. I will get this information out to you in the short term.

Next I will call on Mr. McFerson for the Medical Center Affairs and Investments Committees reports.

Mr. McFerson:

The Medical Center Affairs Committee met this morning at 8:00 am and covered a number of items. First, Dr. Sanfilippo gave a quick report on some people issues, new hires, and a couple of program updates. We then had the quarterly financial report from Chief Financial Officer of the Medical Center, John Stone. The Medical Center is doing very well through the first six months of the year in virtually every category and is slightly ahead of budget. That is encouraging.

We then went through a variety of consent agenda items which are being proposed to this Board: 1) appointment/reappointments to the Harding Hospital Board; 2) to combine University Hospitals and University Hospitals East into a Single Provider; 3) amendments to the *Bylaws and Rules and Regulations of the Medical Staff* for University Hospitals East; 4) establishment of the College of Public Health; 5) the approval of a non-academic Center for Vascular Imaging; and 6) some personnel actions. All of those were approved to forward to this Board by the Medical Center Affairs Committee.

We then had another discussion about the alignment of the fiscal plan with the Master Space Plan.

In the Investments Committee meeting this morning, we heard our monthly report from Dr. Schroeder on University Development, which is off to a good start this year in most categories. We should all feel amazed that we have 69,000 different sources of contributors through the first six months of the year. The vast majority of which are individuals and they have combined in the aggregate individuals, corporations, private foundations, and so forth, \$73.7 million up from \$67.9 million at the same time last year.

Then we dispensed with the Monthly Endowment Report and instead talked about the Quarterly Endowment Report. As a result of time, those numbers are up from six months ago and three months ago. The market value now is \$2.1 billion comprised between two categories: 1) the gifted portion of \$1.64 billion; and 2) the operating portion of \$735 million. All of which is combined into one investment source which is distributed widely among a huge amount of diversification.

We had recommendations from Associate Treasurer Al Rodack on two additional appointments of investment managers. If you look at this in your Board books, you will see a listing of all the different investment advisors and it is now three pages long. We have really diversified the portfolio,

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which is to our advantage, as a result of the research that has been done and our consulting help.

Judge Duncan:

Do we have any discussion or questions?

Mr. Wexner:

Dimon, have we hired Cambridge Consultants yet to examine the portfolio strategies?

Mr. Rodack:

We are using the firm Cliffwater. We do utilize Cambridge's database and we hire them for a look at the staffing in the investment area.

Mr. Wexner:

I just want to put a fork in that one. I would like to know what university, besides Ohio State, does not use Cambridge Consultants? The last time I looked, which was five years ago, we were the only school that didn't use Cambridge Consultants in the Big Ten, the top ten research institutions, the top twenty endowments, and the top ten museums in the country.

I got stalled around on this one. They look at everyone's endowment, they get all of the comparative data, and they advise endowments on the performance of the people that they are using to manage their funds. It would be like if I said ten years ago, "We are going to use ballpoint pencils," and got waltzed around for ten years. I am just appalled.

I will Google it on the internet, but I will bet it hasn't changed. I really would advise the chief financial officer, the treasurer, and everybody that touches the endowment in the financial management of the University, it is a really cheap date. Everyone uses them, everyone. I haven't looked at it in five years, but for the previous decade everyone did and, I believe, you will find it is true today. It is really quite sad that we are the outlier and I would be delighted to be wrong and apologize.

Mr. William J. Shkurti:

We will follow-up and give a detailed report back to the Investments Committee and a copy to Mr. Wexner.

Judge Duncan:

Let me call on Mr. O'Dell for the Agricultural Affairs Committee report.

Mr. O'Dell:

Thank you, Mr. Chairman. The Agricultural Affairs Committee met this morning. As Chairman Duncan mentioned earlier, we welcomed Mr. Robert Boggs to the Committee as vice chair, ex-officio.

Additionally, we received the report from Drs. Bobby Moser and Steve Slack, director of OARDC, on our Plant and Animal Agro Security Research Project. This is a two-phase project, with Phase One underway. This is a biosafety level-three project for food animals and \$13 million of the \$21 million required is in hand and committed by state and federal funds. It is a very important project and moving along. Phase Two is a similar project for

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plants and that is further in the future. Mr. Chairman, that is the end of my report.

Judge Duncan:

Are there any questions or discussion? Thanks very much. I'll next call on Mr. Borrer.

Mr. Borrer:

Today we heard an update on our reaccreditation process from Vice Provost Randy Smith. As I have reported before, this process is completed every ten years and we will be finalizing this in April. We expect a positive outcome. One thing that I would like to make sure everybody understands is the thoroughness with which Vice Provost Smith has kept our Committee advised of this process. It has been very detailed, and, over the last three years since I have been on the Committee, I think they have done a very good job on reaccreditation.

We heard an update about the centralized Financial Services Office from USG president Ryan Fournier, which we had heard about during our previous Board meeting. He is working to finalize this in a series of meetings coming up in March.

Next we heard a report on student wellness from Connie Boehm. We have been thorough in our initiative to assess the wellness of our incoming students. Painting with a broad brush, we have a generally healthy incoming class -- students who face normal issues. One problem that has seemingly been more and more of an issue for our students is stress. The stress levels today for incoming students -- while we certainly remember it when we were in school -- seem to be more and more from both a financial and studying initiative, so we are watching that.

One of the things that the wellness group is doing is establishing a peer-to-peer contact group to work on wellness issues and are having initially very good results. In listening to the report today, I believe we are on the forefront of the initiative for our students to learn to live healthy lives. I am very happy with that process and I think the Committee is too.

Provost Snyder and our Committee are recommending to the Board the following resolutions: 1) the establishment of the College of Public Health; 2) the establishment of the Center for Family Research; 3) the approval for non-academic center status; and 4) routine personnel actions.

Chairman Duncan, that concludes my report.

Judge Duncan:

Are there any questions or comments? I will now call on Jo Ann Davidson for the Fiscal Affairs Committee report.

Mrs. Davidson:

Thank you, Mr. Chairman. The Fiscal Affairs Committee had a very full agenda this morning. We had five different reports and will bring four items forward for the consent agenda today.

Bill Shkurti reported on the Medical Center's Facility Plan Update and covered some of the items that were listed in President Holbrook's letter to Dr. Sanfilippo about questions that the Board continues to have about



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moving ahead with a plan. There is a full update in your notebooks if you haven't had a chance to read it.

Bill covered each of those items and indicated in his opinion where we stand today. There are still five issues that we need more information on: 1) there are still concerns as we look ahead towards the Fiscal Year 2009 bond issue; 2) the development target dollars we were talking about -- whether it is \$100 million or \$75 million; 3) the exemption for The James, which we are still waiting on some resolution and confirmation of where we think we are, but we think we are in very good shape; 4) a timeline; and 5) the break points, which is when the Board has the ability to make some decisions as we go down the road on that. So we will get some additional reports on those particular issues.

Bill also reported on the FY 2007 Mid-Year Financial Report, indicating that we are having a good year, and part of that is due to our enrollment being up and that has generated some additional resources. He mentioned that even though we are having a good year, there are things on the horizon that we need to be sensitive to: 1) the state budgeting situation; the budget will not be introduced until mid-March, so we don't have any idea where we might stand there; and 2) looking ahead to Fiscal Year 2008, we were reminded that OSU is in the middle of the installation of a Student Information System and that always carries some concerns with it.

Lee Walker reported on the wrap-up from the Fiscal Year 2006 budget to see where we were in our estimates in expenses and receipts. Fortunately those estimates this year came in higher on our receipts, because we actually had a huge increase in our investment income and we were lower on our expenditures. So we ended up in good shape on our estimates in coming out of Fiscal Year 2006.

We had an excellent report on Energy and Sustainability from Melissa Bellini and two members of her staff. This is one of the areas that we have looked at as a way of saving some dollars. We are receiving quarterly reports where we are concentrating our efforts to save some money and it ties in with our earlier discussion about where we go to look at some additional dollars. This is where they are doing a lot of innovative things such as: having energy audits of our buildings; locking in commodity prices ahead of time to save some money, which is serving us well this year, they have locked in about 52% pre-purchased energy for 2008; replacing some metering; and as we go into the construction of our new buildings they are looking at how we can have more energy conservation.

The combined efforts so far for FY 2006 and FY 2007 are a savings of almost \$5 million from these efforts. The concern that we still have is that our energy budget for this particular fiscal year is 21% higher than our energy budget for the last fiscal year, so we have that to look at as we move into our budgeting process very soon.

Ms. Bellini also gave us a report on the On Time and On Budget of all of our construction projects and, as you know, we have many of them going on. We are running an average of about 93% of our projects on time and on budget. We had some good discussion in the Committee about some other things that we should be looking at as it relates to that. Mr. Wexner had some good suggestions about how we need to always realize there is a certain amount of contingency built in. When we say we are on budget, we need to be looking at what that contingency percentage is and we also need to be looking a little bit more at the square foot cost of our buildings as they then are compared to other similar buildings being constructed on other campuses or in the general community.

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The four items that we are bringing to you for approval today are: 1) the approval to enter into design and construction contracts, and to increase the construction contract on one project; 2) the sale of real property on the Marion campus -- we had the first reading last month -- and the sale price will be above the appraised value of the property; 3) entering into a long-term lease for the property located on West Henderson Road for the renovation of an indoor varsity tennis facility, with the option to purchase; and 4) some good adjustments to our FY 2007 and FY 2008 capital budget plans. We have received some additional money from the State of Ohio in the amount of \$8.9 million, and in accepting this additional money we would allocate that for the demolition of Lord Hall and for the renovation of Cunz Hall. Both of these buildings will take significant maintenance problems off of our hands and move in the direction that the Board has wanted to move to in reducing those obligations. Those are the four items -- #17 through #20 -- on the consent agenda and the Committee is recommending them for approval.

Thank you, Mr. Chairman.

Judge Duncan:

Are there any questions?

Mr. Wexner:

I wanted to annotate the remarks that I made at the Fiscal Affairs Committee, to just give everybody a tangible example. The New Albany community is building a performing arts center. The building has 600 seats, is fully equipped, it is all brick, and, to the best of my knowledge, it is beautiful. The bidding is done, it is under construction, and it came in about 5%, when bid, over budget. Except when done, it will be the least expensive auditorium -- between 500 -- 1,000 seats -- to have been built in the United States in the last ten years.

I am talking about what things cost on a square-foot basis, who you benchmark against, and what do you get. I think this is a significant issue when we are talking about hundreds and hundreds of millions of dollars, this year, next year, a continuing flow. How we think as a Board about how we benchmark, what do we know about what things cost, and what quality are important. I am linking it to my cholesterol conversation.

This issue in looking at things in square footage is that benchmarking and knowing what you get is important. Because it could well be that I could tell you the story about the performing arts center in New Albany and you could say, "Yes, you built a plastic building that has no roof and no air conditioning, it isn't fully equipped, it isn't landscaped, it is not all in the cost of architecture and landscape planning and the plantings that come with it." I say, "This is all in the cost. The target was to design and build something that was super good." The benchmark was could New Albany be more efficient than anything built in the country. When you think that way, you approach things very differently. I was not on that committee, but I reviewed some of the work. It is just a tangible example because it is in central Ohio and concretizes the point.

Judge Duncan:

Any further discussion? Thank you.

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CONSENT AGENDA

President Karen A. Holbrook:

We currently have nineteen resolutions on the Consent Agenda today and we would like to conduct separate votes for #13 and #15. We are seeking your approval for:

**AMENDMENTS TO THE *BYLAWS OF THE BOARD OF TRUSTEES***

Resolution No. 2007-79

Synopsis: Approval of the administrative amendments to the *Bylaws of the Board of Trustees* to reflect the delineation of responsibilities is recommended.

WHEREAS it is recommended that the position of executive vice president and provost be amended to reflect more accurately the relationship with the senior vice president for business and finance with respect to the university budget, and reflect the reporting line of the senior vice president for research as follows:

Amended Bylaw

3335-1-03 Administration of the university.

(A) through (E) unchanged.

- (F) Executive vice president and provost. The major area of responsibility and authority of the executive vice president and provost shall be that of the instructional and faculty affairs of the university, and the chief operating officer of the university. Without limiting the generality of the foregoing, the executive vice president and provost shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: providing coordinated leadership for the development and evaluation of curricular patterns and teaching methods; the selection, promotion, professional development, tenure and salary of instructional staff; ~~chief architect of~~ in partnership with the senior vice president for business and finance, develop and administer the university budget; development and administration of human resources programs and services for faculty and staff; through the office for the coordination of minority affairs and in cooperation with the other existing agencies of the university, the development and maintenance of supplemental programs for the recruitment, orientation, counseling, tutoring, and financial assistance for minority students and the search for necessary funds to support these programs; through the constituent academic divisions, the appraisal of the effectiveness of academic counseling and devising the organization and procedures to improve its effectiveness; the development and administration of policies and operating procedures for enrollment services including admissions, registration, records, and scheduling; student financial aids and scholarships; the development of the services of the university libraries and other learning resources; ~~oversight of the office of the senior vice president for research and ensuring the coordination of research with instruction;~~ the development of instructional programs of the departments of air force aerospace studies, military science and naval science; the development of the four regional campuses; oversight of the office of the chief information officer and university systems; and as a deputy of the president of the university, the coordination of all matters administratively assigned to the other vice presidents of the university which relate to the academic program of the university.

Balance unchanged.

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NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the President, the proposed administrative amendment be hereby adopted, effective immediately.

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**REGIONAL CAMPUS BOARD APPOINTMENT**

Resolution No. 2007-80

Synopsis: Approval of an appointment to the Marion Regional Campus Board is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of ten members appointed by The Ohio State University Board of Trustees in consultation with the president of the university;" (nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named person has been nominated and selected for appointment to the Marion Regional Campus Board for the term as specified:

Appointment

Ronald J. Scharer, 1<sup>st</sup> term, February 8, 2007 – June 30, 2008

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be approved as a member of the Marion Regional Campus Board, effective February 8, 2007.

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**APPOINTMENT/REAPPOINTMENTS TO THE  
OSU HARDING HOSPITAL BOARD**

Resolution No. 2007-81

Synopsis: Approval of appointment/reappointments to the OSU Harding Hospital Board is proposed.

WHEREAS the Board of Trustees on December 6, 2001, approved the establishment of the OSU Harding Hospital Board; and

WHEREAS all members of a specialized board shall be appointed by The Ohio State University Board of Trustees in consultation with the Vice President for Health Services, the Senior Vice President for Health Sciences, and the President of the University:

NOW THEREFORE

BE IT RESOLVED, That the following individuals be appointed/reappointed as citizen members of the OSU Harding Hospital Board for the following terms:

Yvette McGee-Brown, effective February 1, 2007 through January 31, 2010  
(1<sup>st</sup> term)

Richard Harding, effective May 1, 2006 through April 30, 2009 (2<sup>nd</sup> term)

Sul R. Thorward, effective May 1, 2006 through April 30, 2009 (2<sup>nd</sup> term)

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**COMBINING UNIVERSITY HOSPITALS AND UNIVERSITY  
HOSPITALS EAST INTO A SINGLE PROVIDER**

Resolution No. 2007-82

Synopsis: Authorization to combine University Hospitals and University Hospitals East into a single provider is proposed.

WHEREAS the leadership of University Hospitals and University Hospitals East has developed a proposal to make the University Hospitals East part of University Hospitals ("Proposal"); and

WHEREAS under the Proposal, University Hospitals and University Hospitals East would become a single entity, with a single medical staff and a single provider number; and

WHEREAS the University Medical Center has determined that having University Hospitals East become a part of University Hospitals will result in efficiencies in providing care to patients, increased opportunities to support the Medical Center's academic mission and improved financial performance; and

WHEREAS the Boards of University Hospitals and University Hospitals East have endorsed and recommended the proposed merger of the two hospitals; and

WHEREAS the recommended amendments to the University Hospitals *Medical Staff Bylaws and Rules and Regulations* have been approved by its bylaws committee, medical staff administrative committee, and the medical staff; and

WHEREAS the Boards of University Hospitals and University Hospitals East have endorsed and recommended the attached amendments to the *Medical Staff Bylaws* of University Hospitals to enable this merger:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the merger of University Hospitals East into University Hospitals effective July 1, 2007; and

BE IT FURTHER RESOLVED, That the executive director of University Hospitals and the vice president of Health Services are hereby authorized to take all necessary administrative actions to implement this resolution; and

BE IT FURTHER RESOLVED, That the attached proposed amendments to the University Hospitals *Medical Staff Bylaws* be adopted as recommended by the University Hospitals Board; and

BE IT FURTHER RESOLVED, That the *Medical Staff Bylaws and Rules and Regulations* of University Hospital East are hereby rescinded effective July 1, 2007.

(See Appendix XXXI for background information, page 817.)

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**AMENDMENTS TO THE BYLAWS AND RULES AND REGULATIONS OF  
THE MEDICAL STAFF OF THE OHIO STATE  
UNIVERSITY HOSPITALS EAST**

Resolution No. 2007-83

Synopsis: The amendments to the *Bylaws and Rules and Regulations of the Medical Staff* of The Ohio State University Hospitals East are recommended for approval.

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WHEREAS The Ohio State University Hospitals Board pursuant to bylaw 3335-101-04 of the *Hospitals Board Bylaws* is authorized to recommend to the Board of Trustees the adoption of amendments to the *Bylaws and Rules and Regulations of the Medical Staff* of The Ohio State University Hospitals East; and

WHEREAS these amendments were approved by the University Hospitals Board on January 25, 2007:

NOW THEREFORE

BE IT RESOLVED, That the attached amendments are hereby adopted.

(See Appendix XXXII for background information, page 831.)

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**ESTABLISHMENT OF THE COLLEGE OF PUBLIC HEALTH**

Resolution No. 2007-84

Synopsis: Proposal to establish the College of Public Health is proposed.

WHEREAS the School of Public Health, established in 1995, is the only accredited school of public health in Ohio, and has the largest and most comprehensive public health offerings; and

WHEREAS the move to college status, accompanied by an aggressive growth strategy, will enhance recruitment of faculty, making it comparable in size and configuration to benchmark institutions; will help it enhance its research, teaching, and outreach contributions to the University and thus its visibility within and outside the University; and will help remove the ambiguity concerning the School's eligibility for continued accreditation; and

WHEREAS the multidisciplinary nature of public health can benefit the entire University, both in research and in educational programs; and its active dual or combined degree programs can help attract excellent students to both degrees; and

WHEREAS the proposal adheres to the *Guidelines for Determining College Status*, was reviewed and endorsed by the Faculty Council, the full Council on Academic Affairs, and approved by the University Senate at its January 11, 2007 meeting:

NOW THEREFORE

BE IT RESOLVED, That the proposal to establish the College of Public Health, be hereby approved, effective immediately.

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**ESTABLISHMENT OF THE CENTER FOR FAMILY RESEARCH**

Resolution No. 2007-85

Synopsis: Proposal to establish the Center for Family Research is proposed.

WHEREAS the overall mission of the Center for Family Research is to conduct research for improving the lives of children and families; and

WHEREAS it will focus specifically on the context of the family; be interdisciplinary in nature; provide high quality undergraduate and graduate

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experiences; and serve as a catalyst for outreach and engagement strategies to strengthen individuals and families through research-based educational programming; and

WHEREAS linkages between the Center and other University-based initiatives will provide additional opportunities for University scholars from a wide variety of academic backgrounds to participate in an interdisciplinary examination of the family context of youth development and well-being; and

WHEREAS this proposal has the support of the dean of the College of Education and Human Ecology and the executive dean of the College of Food, Agricultural, and Environmental Sciences, and the proposed Center will be directed by one faculty member from each of these two colleges; and

WHEREAS the proposal adheres to the *Guidelines for the Establishment and Review of Academic Centers*, was reviewed and endorsed by the University Research Committee, the Council on Research and Graduate Studies, the full Council on Academic Affairs, and approved by the University Senate at its January 11, 2007 meeting:

NOW THEREFORE

BE IT RESOLVED, That the proposal to establish the Center for Family Research, be hereby approved, effective immediately.

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### **APPROVAL OF NON-ACADEMIC “CENTER” STATUS**

Resolution No. 2007-86

Synopsis: Approval of non-academic “center” status is proposed.

WHEREAS faculty rule 3335-3-36 (A) specifies that: “Use of “center” or “institute” in the names of proposed units of the university shall be limited to academic centers, unless approved by the Council on Academic Affairs”; and

WHEREAS a request has been received from the College of Medicine for the use of this term for the “Center for Vascular Imaging” – an umbrella for the clinical activities that currently exist in the Departments of Internal Medicine, Surgery, and Radiology; and

WHEREAS subsequent use of the term “academic” center will require that a formal proposal for academic center status will need to be submitted to the Council on Academic Affairs for full review; and

WHEREAS the Council on Academic Affairs reviewed this formal request on January 17, 2007 and had no objections:

NOW THEREFORE

BE IT RESOLVED, That the use of the term “center” for the “Center for Vascular Imaging” be approved, effective immediately.

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### **HONORARY DEGREES**

Resolution No. 2007-87

Synopsis: The awarding of honorary degrees is recommended for approval.

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WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees the awarding of honorary degrees as listed below:

John M. Opitz  
John Griggs Thompson

Doctor of Science  
Doctor of Science

WHEREAS pursuant to paragraph (B)(4) of rule 3335-1-03 of the Administrative Code, the President, after consultation with the Steering Committee of the University Senate, recommends to the Board of Trustees the awarding of an honorary degree as listed below:

Ellen Johnson-Sirleaf

Doctor of Public Administration

### **NOW THEREFORE**

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

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### **PERSONNEL ACTIONS**

Resolution No. 2007-88

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the December 8, 2006 meeting of the Board, including the following Appointments, Appointments of Chairpersons, Leaves of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, be approved.

#### Appointments

Name: DONNA MCCARTHY BECKETT  
Title: Professor (The Mildred E. Newton Professorship)  
College: Nursing  
Term: January 2, 2007 through June 30, 2010  
Present Position: Professor, School of Nursing, University of Wisconsin-Madison

Name: ANAND DESAI  
Title: Interim Director  
School: John Glenn School of Public Affairs  
Term: January 1, 2007 through June 30, 2007

Name: GRANT S. FRAZER  
Title: Director  
Hospital: Veterinary Teaching Hospital  
Effective: December 1, 2006  
Concurrent Appointment: Associate Professor, Department of Veterinary Preventive Medicine

Name: THOMAS W. JOHNSON  
Title: Interim University Treasurer  
Office: Business and Finance  
Term: February 1, 2007 through September 30, 2007  
Concurrent Appointment: Executive in Residence, The John Glenn School of Public Affairs



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Name: DEBORAH S. LARSEN  
Title: Associate Vice President  
Office: Health Sciences  
Effective: January 1, 2007  
Concurrent Appointment: Director, School of Allied Medical Professions

Name: SUSAN E. METROS  
Title: Interim Chief Information Officer  
Office: Information Technology  
Term: February 1, 2007 through December 31, 2007  
Present Position: Deputy Chief Information Officer

Name: SANJAY RAJAGOPALAN  
Title: Professor (The John W. Wolfe Professorship in Cardiovascular Research)  
Institute: The Dorothy M. Davis Heart and Lung Research Institute  
Term: October 1, 2006 through June 30, 2010

Name: ODED SHENKAR  
Title: Professor (The Ford Motor Company Chair in Global Business Management)  
College: Fisher College of Business  
Term: May 1, 2004 through April 30, 2009

Name: MICHAEL M. VERES  
Title: Interim Senior Deputy Chief Information Officer  
Office: Information Technology  
Term: February 1, 2007 through December 31, 2007  
Present Position: Deputy Chief Information Officer

Name: MARY ELLEN WEWERS  
Title: Acting Dean  
College: Public Health  
Term: March 17, 2007 through June 17, 2007

Name: CHARLES R. WISE  
Title: Director and Professor  
School: John Glenn School of Public Affairs  
Term: August 1, 2007 through June 30, 2011

### Appointment of Chairpersons

JILL M. BYSTYDZIENSKI, Women's Studies, effective September 1, 2006 through June 30, 2010

ROBERT LEE, Electrical and Computer Engineering, effective January 1, 2007 through December 31, 2010

### Leaves of Absence Without Salary

LISA FLORMAN, Associate Professor, Department of History of Art, effective Autumn Quarter 2006, to accept the Agnes Gund and Daniel Shapiro Membership at the Institute for Advanced Study in Princeton, New Jersey.

LING HU, Assistant Professor, Department of Economics, effective January 1, 2007 through December 31, 2007, for personal reasons.

R. VALERIE K. LUCAS, Assistant Professor, Department of Theatre, effective Winter Quarter 2007, for personal reasons.

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DECLAN G. SMITHIES, Assistant Professor, Department of Philosophy, effective Autumn Quarter 2007, Winter Quarter, and Spring Quarter 2008, to serve as a Post-doctoral Fellow at The Australian National University.

### Professional Improvement Leaves

ERICH GROTEWOLD, Professor, Department of Plant Cellular & Molecular Biology, effective Autumn Quarter 2007, Winter Quarter and Spring Quarter 2008.

EDWARD A. RIEDINGER, Professor, University Libraries, effective Spring Quarter 2007.

YUNZHI WANG, Professor, Department of Materials Science and Engineering, effective Spring Quarter and Autumn Quarter 2007, and Winter Quarter 2008.

### Professional Improvement Leave—Cancellation

JEFF S. SHARP, Associate Professor, Department of Human and Community Resource Development, effective Winter Quarter and Spring Quarter 2007.

### Emeritus Titles

RICHARD E. BOETTCHER, College of Social Work, with the title Professor Emeritus, effective February 1, 2007.

D. LYNN FORSTER, Department of Agricultural, Environmental, and Development Economics, with the title Professor Emeritus, effective April 1, 2007.

REINHARD A. GAHBAUER, Department of Radiation Medicine, with the title Professor Emeritus, effective February 1, 2007.

RICHARD A. SAMS, Department of Veterinary Clinical Sciences, with the title Professor Emeritus, effective February 1, 2007.

PRABHAKAR H. PATHAK, Department of Electrical and Computer Engineering, with the Professor Emeritus, effective February 1, 2007.

F. MICHAEL BECK, College of Dentistry (Oral Biology), with the title Associate Professor Emeritus, effective February 1, 2007.

WILLIAM D. ELDRIDGE, College of Social Work, with the title Associate Professor Emeritus, effective February 1, 2007.

ELLIOT I. KLAYMAN, Department of Finance, with the title Associate Professor Emeritus, effective February 1, 2007.

SUSAN J. LOGAN, University Libraries, with the title Associate Professor Emeritus, effective February 1, 2007.

BRIAN M. POLLINS, Department of Political Science, with the title Associate Professor Emeritus, effective April 1, 2007.

ANELYA E. RUGALEVA, Department of Slavic and East European Languages and Literatures, with the title Associate Professor Emeritus, effective February 1, 2007.

DONALD J. SMELTZER, Department of Psychiatry, with the title Associate Professor Emeritus, effective February 1, 2007.

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MAURICE E. WATSON, School of Environment and Natural Resources, with the title Associate Professor Emeritus, effective February 1, 2007.

JOHN V. CHIDLEY, School of Allied Medical Professions, with the title Assistant Professor Emeritus, effective February 1, 2007.

ROBERT W. DOUGLASS, School of Environment and Natural Resources, with the title Assistant Professor Emeritus, effective February 1, 2007.

ROBERT D. KLINGENSMITH, JR., Department of Geography (Newark), with the title Assistant Professor Emeritus, effective February 1, 2007.

ERNEST F. OELKER, Ohio State University Extension, with the title Assistant Professor Emeritus, effective February 1, 2007.

DENNIS L. WEILNAU, Ohio State University Extension, with the title Assistant Professor Emeritus, effective February 1, 2007.

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### **RESOLUTIONS IN MEMORIAM**

Resolution No. 2007-89

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the families of the deceased.

Floyd Henderson

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on December 6, 2006, of Floyd Henderson, Instructor Emeritus in the Ohio State University Extension.

Mr. Henderson was born April 23, 1906, in Cadiz, Ohio. He received his Bachelor of Science in Agriculture degree in 1928 from The Ohio State University.

Floyd began his Extension career in Ohio in March 1930 as the county agent-agriculture in Belmont County. In 1930 he transferred to Jackson County as the county agent-agriculture and, in 1946, became the county agent-agriculture in Noble County. He held this position until his retirement on August 31, 1965.

Floyd's contributions in providing Extension educational programs during his career proved that he was a truly dedicated teacher for Extension. He administered many educational programs for Extension clientele. He conducted farm management programs for farmers who worked on or owned hill farms. He also was instrumental in planning and organizing the Guernsey/Noble County Feeder Calf Livestock Association and its annual sale. He was a member of the National Association of County Agricultural Agents.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Floyd Henderson its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

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Beulah M. Hill

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on December 19, 2006, of Beulah M. Hill, Assistant Professor Emeritus in the Ohio State University Extension.

Professor Hill was born January 22, 1921, in Mason County, Kentucky. She received her Bachelor of Science in Home Economics degree in 1943 from the University of Kentucky, and her Master of Science degree in agricultural education from The Ohio State University in 1976.

Beulah began her Extension career in Ohio in April 1963 as the county extension agent-home economics in Clinton County. In 1970, she became the area extension agent-home economics for the Washington Court House area, and in 1982 she transferred to Highland County as the county extension agent-home economics. She held this position until her retirement on October 31, 1986.

Professor Hill's contributions in providing Extension educational programs during her career proved that she was a truly dedicated teacher for Extension. She conducted many educational programs for Extension clientele including a pilot program for "Feeding Young Families" and helped develop the "Clothing Cupboard" in Clinton County for low-income families. She taught nutrition education classes to low-income families as well. She taught several workshops on food preservation, canning, microwave and meat cookery. Beulah was a member of the National Association of County Home Economics Agents and received the Distinguished Service Award in 1974. She was also a member of the Ohio County Extension Agent's Association.

On behalf of the University community, the Board of Trustees expresses to the family and friends of Professor Beulah M. Hill its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Walter E. Pillow

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 7, 2006, of Walter E. Pillow, Associate Professor Emeritus in the College of Social Work.

Professor Pillow was born on July 4, 1927, in Smith's Grove, Kentucky. He obtained a Bachelor's degree from Hampton Institute, majoring in sociology. Having completed a tour of duty in the U.S. Army during the fifties "Cold War" period, he went on to obtain a Master of Social Work degree from The Ohio State University in 1957. He served on the College of Social Work faculty from 1968 until his retirement in 1984.

Walter made his mark on the social work profession as a group work practitioner, working with disturbed children and their parents. Along the path to establishing himself in this community, he was employed for 10 years as a youth leader at Central Community House, as a program director at Columbus Children's Psychiatric Hospital, and as assistant to the director of Planned Parenthood of Columbus. He also served as a consultant on intervention strategies with the Ohio Youth Commission.

Professor Pillow's expertise in the child mental health field was recognized in the broad community, extending beyond the boundaries of his adopted city of Columbus. He served as a field instructor with students from other colleges and

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universities, including West Virginia University, Ohio Dominican University, and Capital University.

His teaching in social work at Ohio State included undergraduate practice courses and instructing graduate students, placed in correctional field agencies, as they learned to apply knowledge from the classroom to the real world of service delivery, specifically through group treatment of youth in the secure juvenile corrections setting of the Ohio Youth Commission.

Professor Pillow was particularly skillful at mentoring graduate students in his field setting and became an advisor and friend to many students with whom he came into contact. He was especially revered for encouraging those who were striving to improve their statuses. He is remembered by many of his former students as an approachable, warm, no-nonsense person who cared for his students and went out of his way to be helpful to them.

Walter served as college liaison to the United Negro College Fund and to the Ohio State Alumni Association. He maintained a consulting and volunteer service relationship with several social agencies including Boys Own Shelter Inc., the Board of North Central Mental Health, and the Franklin County Mental Health Board, as well as the Commission on Inter-Professional Education and Practice. He was also active with the Group Work section of his professional organization, the National Association of Social Workers.

Professor Pillow was a very approachable colleague who stressed the positives in people – all people. He loved life, good clothes, good food, good conversation, and a good laugh. He was a faithful member of the Shiloh Baptist Church in Columbus, and was skilled at the piano and as a singer with a rich, solo baritone voice. He was highly regarded as a faithful and generous member of his church organization, as an alumnus of Ohio State, and as a faculty member in the field of social work.

On behalf of the University community, the Board of Trustees expresses to the family and friends of Professor Walter E. Pillow its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

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### **UNIVERSITY DEVELOPMENT REPORT**

Resolution No. 2007-90

Synopsis: The report on the receipt of gifts and the summary for December 2006 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Clayton C. Wagner Parkinson's Disease Chair in Neurology and The Walter H. Kidd Designated Professorship in the Knowlton School of Architecture; and

WHEREAS this report includes the establishment of forty (40) new named endowed funds, the revision of six (6) endowed funds, and the closure of one (1) endowed funds:

**February 1, and February 2, 2007 meeting, Board of Trustees**

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of December 2006 be approved.

**PRIVATE SUPPORT – TOTAL FUND RAISING ACTIVITY**

	July – December 2006	July – December 2005	
Gift Receipts		<u>% Change</u>	
Cash, Securities, GIK	\$66,189,619	\$59,737,725	11
Irrevocable Trusts & Anties	\$445,250**	\$849,913	(48)
Gifts from Bequests	<u>\$7,150,092</u>	<u>\$7,304,433</u>	(2)
Total Gift Receipts	\$73,784,961	\$67,892,071	9
Net Pledges Acquired	\$11,848,750	\$25,128,821	(53)
Net Revocable Planned Gifts Acquired			
Bequest Expectancies	\$11,266,134	\$15,773,251	(29)
Trust Expectancies	<u>\$2,614,300</u>	<u>\$7,304,556</u>	73
Total Net Planned Gifts	<u>\$23,880,434</u>	<u>\$23,077,807</u>	3
Total	\$109,514,146	\$116,098,699	(6)

\*\* Per national reporting standards, irrevocable trusts are counted at present value.

**February 1, and February 2, 2007 meeting, Board of Trustees**

TOTAL UNIVERSITY PRIVATE SUPPORT  
July through December  
2006 Compared to 2005  
GIFT RECEIPTS BY DONOR TYPE

	<u>Donors</u>			<u>Dollars</u>		
	<u>2006</u>	<u>2005</u>	<u>%Change</u>	<u>2006</u>	<u>2005</u>	<u>Change</u>
Individuals:						
Alumni (Current Giving)	41,482	40,329	3	\$18,335,296	\$20,022,759	(8)
Alumni (Irrevocable Trusts & Annuities)	15	9	67	385,879	151,577	155
Alumni (From Bequests)	<u>37</u>	<u>27</u>	37	<u>3,783,773</u>	<u>3,285,766</u>	15
Alumni Total	41,534	40,365	3	\$22,504,949	\$23,460,101	(4)
Non-Alumni (Current Giving)	23,949	23,028	4	\$11,997,676	\$9,759,851	23
Non-Alumni (Irrevocable Trusts & Annuities)	6	4	50	59,371	698,336	(91)
Non-Alumni (From Bequests)	<u>23</u>	<u>27</u>	(15)	<u>3,366,319</u>	<u>4,018,667</u>	(16)
Non-Alumni Total	23,978	23,059	4	\$15,423,366	\$14,476,854	7
Individual Total	65,512	63,424	3	\$37,928,315	\$37,936,955	0
Corporations/Corp/Foundations	2,444	2,641	(7)	\$17,355,637	\$16,188,970	7
Private Foundations	432	359	20	\$14,820,288	\$11,200,843	32
Associations & Other Organizations	908	921	(1)	\$3,680,722	\$2,565,304	43
Total	69,296	67,345	3	\$73,784,961	\$67,892,071	9

February 1, and February 2, 2007 meeting, Board of Trustees

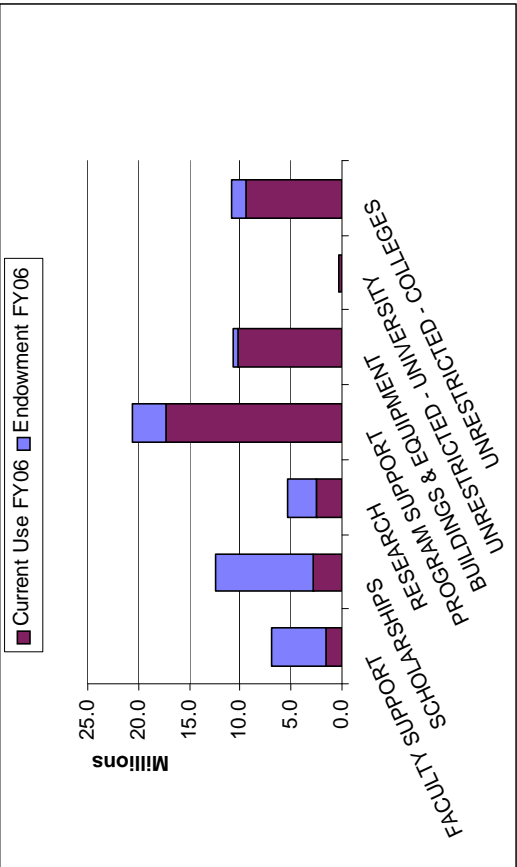
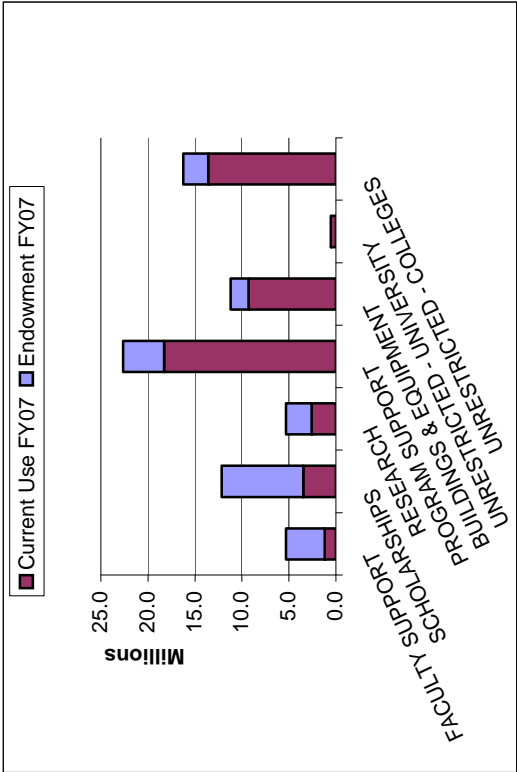
GIFT RECEIPTS BY PURPOSE

Dollars

July through December

	2006		2005		%Change
	Current Use	Endowment	Current Use	Endowment	
FACULTY SUPPORT	1,191,788	4,115,033	1,505,622	5,353,411	6,859,032 (23)
SCHOLARSHIPS	3,470,153	8,675,641	2,793,449	9,658,954	12,452,403 (2)
RESEARCH	2,596,417	2,726,899	2,570,029	2,780,749	5,350,778 (1)
PROGRAM SUPPORT	18,273,359	4,365,740	17,369,352	3,190,238	20,559,590 10
BUILDINGS & EQUIPMENT	9,313,520	1,892,179	10,287,020	450,266	10,737,286 4
UNRESTRICTED – UNIVERSITY	529,189	---	313,067	---	313,067 69
UN RESTRICTED – COLLEGES	13,601,173	2,656,349	9,390,199	1,397,945	10,788,145 51
Total	\$48,975,599	\$24,431,842	\$44,228,738	\$22,831,563	\$67,060,301 9

\*Purpose Report Total does not include Irrevocable Deferred gifts, so the total will be lower than the total on the Donor Type Report.





**February 1, and February 2, 2007 meeting, Board of Trustees**

**THE OHIO STATE UNIVERSITY DEVELOPMENT FUND**

Total  
Gifts

Establishment of Named Designated Professorship

The Walter H. Kidd Designated Professorship in the Knowlton School of Architecture (Used to provide salary and research support for a professorship position at the Knowlton School of Architecture for a five-year period; provided from the annual distribution from The Walter H. Kidd Fund)	\$37,500 (per year for 5 years)
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Establishment of Named Endowed Funds

ATI Instructional Technology Endowment Fund (Used to enhance academic programs, courses, and initiatives making greater use of instructional technologies at Ohio State ATI; provided from a grant from the U.S. Department of Education)	\$50,000.00
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The Carl E. Pickering Memorial Endowed Scholarship Fund in Human and Community Resource Development (Used to provide a scholarship for an entering freshman student enrolled in agricultural education who demonstrates both academic achievement and financial need; provided by gifts from Dr. Ed R. Pickering)	\$50,000.00
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The Henry A. Wise II, M.D., Endowed Professorship Fund in Urology (Used to support a professorship position in the Department of Urology; provided by gifts from the University Urology Education and Research Foundation, Inc. and friends of the Department of Urology, with support from the College of Medicine given in honor of Henry A. Wise II, M.D.)	\$50,000.00
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The William L. Berry Scholarship Fund (Used to provide scholarship support to students in the Master of Business Administration (M.B.A.) degree program at the Fisher College of Business; provided by gifts from colleagues and friends of Professor William L. Berry) (grandfathered)	\$44,850.00
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The Ohio State University "Brutus Buckeye" Scholarship Fund (Used to provide a tuition scholarship award for the full time student(s) serving as "Brutus Buckeye"; provided by gifts from Colonel and Mrs. George R. Nelson, their family, and friends of The Ohio State University) (grandfathered)	\$28,625.00
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Change in Description of Named Endowed Funds

The Gordon Grigsby Poetry Fund  
The Von Price Scholarship Endowment Fund

Closure of Named Endowed Fund

The Distinguished Faculty Scholar Chair Fund in Medicine and Public Health  
(Principal being moved to existing endowed fund, the OSU Medical Alumni Society Merit Scholarship Endowment Fund)

## February 1, and February 2, 2007 meeting, Board of Trustees

### THE OHIO STATE UNIVERSITY FOUNDATION

#### Establishment of Named Endowed Chair

The Clayton C. Wagner Parkinson's Disease Chair in Neurology \$3,922,310.00  
(Used to provide a chair position in the Madden/National Parkinson's Foundation Center of Excellence for Parkinson's Disease at OSU; provided by gifts from an anonymous donor)

#### Establishment of Named Endowed Funds

The Vernon L. Tharp Professorship Fund in Food Animal Medicine \$550,670.00  
(Used to support a professorship in food animal medicine within the Department of Veterinary Clinical Sciences; provided by gifts given in memory of Dr. Vernon L. Tharp from his wife Grace Tharp, family, and friends, including Dr. Robert Dunton)

The Corbett Price Scholarship Fund \$549,500.00  
(Used to provide graduate-level scholarships in the Fisher College of Business; provided by gifts from Corbett Price)

The Henry C. Gelbke Endowment Fund for the ATI Dairy Program \$165,000.00  
(Used for special opportunities or activities involving the dairy program at ATI; provided by a gift from Herman R. Gelbke to honor his brother, Henry C. Gelbke)

The Tina and Byron Trott Endowed Scholarship Fund \$140,000.00  
(Used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete pursuing an undergraduate degree at OSU; provided by gifts from Tina S. Trott and Byron D. Trott)

The Jo Ann S. Donohue Speech-Language Pathology Innovation Fund \$113,339.10  
(Used to enhance advancement in the area of speech-language pathology clinical education, clinical supervision, and clinical service delivery in the Department of Speech and Hearing Science; provided by a gift from the estate of Dorothy Somerville)

The Somerville Family Endowed Scholarship Fund \$113,339.10  
(Used to supplement tuition, room, and board for two students pursuing D.V.M. degrees in the College of Veterinary Medicine; provided by a gift from the estate of Dorothy Somerville)

The John B. and Jane T. McCoy Medical Research Fund \$100,000.00  
(Used to support grants for research at the Medical Center, in the Tzagournis Medical Research Facility, or within the Medical Center's Research Corridor; provided by gifts made in honor of Dr. Manuel Tzagournis from John B. McCoy and Jane T. McCoy)

Grace Fern Heck Faust and Leo H. Faust Endowment Fund in the \$89,531.84  
College of Education and Human Ecology  
(Used to support the Historic Costume and Textile Collection of the College of Education and Human Ecology; provided by gifts from the estate of The Honorable Grace Fern Heck Faust)

Leo H. Faust and Grace Fern Heck Faust Endowment Fund at The \$89,531.83  
Arthur G. James Cancer Hospital and Richard J. Solove Research Institute  
(Used for cancer research at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gifts from the estate of The Honorable Grace Fern Heck Faust)

## February 1, and February 2, 2007 meeting, Board of Trustees

Leo H. Faust and Grace Fern Heck Faust Endowment Fund in the Division of Cardiovascular Medicine (Used for research in the field of cardiovascular diseases; provided by gifts from the estate of The Honorable Grace Fern Heck Faust)	\$89,531.83
Leo H. Faust and Grace Fern Heck Faust Endowment Fund in the Department of Ophthalmology (Used for research into the causes, prevention, and cures of retinal diseases; provided by gifts from the estate of The Honorable Grace Fern Heck Faust)	\$89,531.83
The Robert and Susan Savage Endowment Fund in Industrial and Systems Engineering (Used to cultivate leadership skills in deserving undergraduate students in industrial and systems engineering; provided by gifts from Robert W. and Susan M. Savage)	\$50,160.00
The James A. and Mavis Fletcher Endowed Memorial Fund (Used to provide scholarships to first-year undergraduate students enrolled in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Mavis Fletcher in memory of her husband, James A. Fletcher)	\$50,000.00
The George Family Scholarship Fund (Used to provide scholarship support to an incoming freshman who has graduated from Lebanon High School in Lebanon, Ohio; provided by gifts from John C. George and George Steel Incorporated)	\$50,000.00
The Shirley and Harold Schumaker Endowed Scholarship Fund (Used to provide a merit and need-based scholarship for an undergraduate student attending the Columbus campus; provided by gifts from Tina S. Trott and Byron D. Trott)	\$50,000.00
The Carole Hershey Walters Endowed Scholarship Fund (Used to provide scholarships in the College of Biological Sciences; provided by gifts from The Hershey Foundation in honor of Carole Hershey Walters)	\$50,000.00
The Robert and Nancy Dix Athletic Scholarship Fund (Used to supplement the grant-in-aid costs of an intercollegiate student-athlete who is a member of a varsity athletics team and pursuing an undergraduate degree at OSU; provided by a gift from Robert and Nancy Dix) (grandfathered)	\$49,439.00
John H. Kauffman Family Graduate Support Fund in American History (Used to provide support for American history graduate students who are conducting summer research projects for their dissertations; provided by gifts from John H. Kauffman) (grandfathered)	\$43,000.00
Stephen F. Vogel International Fellow Award Fund (Used to provide an annual award to a student in the Moritz College of Law for an international experience; provided by gifts from Stephen F. Vogel) (grandfathered)	\$40,000.00
The Lawrence and Julia Pollock Dean's Innovation Fund (Used to promote initiatives by the Fisher College of Business faculty and staff designed to increase the quality of teaching, research, and involvement with the business community; provided by gifts from Lawrence and Julia Pollock) (grandfathered)	\$34,781.00

**February 1, and February 2, 2007 meeting, Board of Trustees**

Todd Anthony Bell Endowment Fund (Used to support the Bell Center and other projects and entities at the University which may be established in his memory; provided by gifts from family, friends, and colleagues of Todd Anthony Bell) (grandfathered)	\$27,312.30
The Larry R. Langdon Scholarship Fund (Used to provide scholarship support to undergraduate students enrolled in the Fisher College of Business; provided by gifts from Larry R. Langdon) (grandfathered)	\$26,680.00
Mary Lou and John Habash Fund in History (Used to benefit the Archeological Teaching Museum in History; provided by gifts from Stephen Habash and Jean Marie Luczkowski) (grandfathered)	\$26,530.00
The Paul Ecke, Jr. Scholar Program Fund (Used to provide stipends for junior or senior undergraduate students who work directly with faculty in the Department of Horticulture and Crop Science in the ornamental and floriculture area; provided by gifts from Paul Ecke III and the late Paul Ecke, Jr.) (grandfathered)	\$26,040.00
The Susan Galloway Swavel Endowed Scholarship Fund (Used to provide scholarships to deserving students with a 3.0 or higher grade point average who are attending OSU at Marion; provided by gifts from the Joseph William Galloway family) (grandfathered)	\$26,000.00
The Brown County 4-H Endowment Fund (Used to provide a sound source of program funding for the 4-H youth and volunteers of the Brown County 4-H Youth Development Program; provided by gifts from friends of Brown County 4-H) (grandfathered)	\$25,039.00
The Richard and Elizabeth Goertemiller Dean's Innovation Fund (Used to support activities of the faculty, students, and staff in accordance with the strategic plan of the Fisher College of Business; provided by a gift from Richard H. and Elizabeth Waddle Goertemiller) (grandfathered)	\$25,000.00
The Homer W. Joehlin Memorial Scholarship Fund in Food, Agricultural, and Biological Engineering (Used to support undergraduate scholarship(s) for students pursuing a degree in the Department of Food, Agricultural, and Biological Engineering; provided by gifts from the children and grandchildren of Homer W. Joehlin) (grandfathered)	\$25,000.00
The Richard C. Richley M.D. Medical Research Fund (Used to support researchers at the Medical Center, mainly in the Tzagournis Medical Research Facility or in a facility within the Medical Center's Research Corridor; provided by gifts made in honor of Dr. Manuel Tzagournis from Richard C. Richley) (grandfathered)	\$25,000.00
The Hubert Conrad Schmidt and Virginia Grama Schmidt Graduate Student Fund for Pacific Coast Flora Study (Used to support a graduate landscape architecture student studying the native ecology and flora of the Pacific Coast; provided by gifts from Virginia Grama Schmidt) (grandfathered)	\$25,000.00

## February 1, and February 2, 2007 meeting, Board of Trustees

The Allan L. Shumard Fund for The Ohio State University Marching Band (Used as deemed appropriate by the director of the OSU Marching Band; provided by a gift from the estate of Allan L. Shumard) (grandfathered)	\$25,000.00
The Allan L. Shumard Musical Instrument Fund (Used to support the purchase and maintenance of musical instruments in the OSU School of Music; provided by a gift from the estate of Allan L. Shumard) (grandfathered)	\$25,000.00
Rick Toracinta Graduate Scholarship Award Fund in Atmospheric Science (Used to provide annual scholarship awards to graduate students studying atmospheric science; provided by gifts from the Byrd Polar Research Center, Alma and James Chaney, and numerous others in memory of Dr. E. Richard Toracinta) (grandfathered)	\$25,000.00
Anthony and Fern Valentine Neurofibromatosis Fund (Used to support research and treatment of neurofibromatosis; provided by gifts in honor of Fern Valentine and in memory of her late husband, Anthony Valentine, with gifts from Fern Valentine) (grandfathered)	\$25,000.00
Chilin Yu Endowment Fund for the Chinese Studies Library Collection (Used to support the Chinese Studies Collection at University Libraries; provided by gifts from Chilin Yu) (grandfathered)	<u>\$25,000.00</u>
Total	\$7,073,246.83

### Change in Description of Named Endowed Fund

The Henry C. Gelbke Endowed Scholarship Fund

### Change in Name and Description of Named Endowed Funds

From: The Dairy Farmers of America, Inc., Don H. Schriver  
Agriculture and Human Ecology Fund  
To: The Dairy Farmers of America, Inc., Donald H. Schriver  
Agriculture and Human Ecology Fund  
From: The Dairy Farmers of America, Inc., Don H. Schriver  
4-H Fund  
To: The Dairy Farmers of America, Inc., Donald H. Schriver  
4-H Fund  
From: The Roberta Elliott Wantman Endowment Fund  
To: The Roberta Elliott Wantman Endowment Fund in the  
School of Earth Sciences

## THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

### Establishment of Named Designated Professorship

The Walter H. Kidd Designated Professorship in the  
Knowlton School of Architecture

The Walter H. Kidd Designated Professorship in the Knowlton School of  
Architecture was established February 2, 2007, by the Board of Trustees of The  
Ohio State University with annual distribution from The (endowed) Walter H. Kidd  
Fund, which was established with gifts from Walter H. Kidd. The annual

## **February 1, and February 2, 2007 meeting, Board of Trustees**

distribution from The Walter H. Kidd Fund is used for the benefit of the College of Engineering for any purpose relating thereto as the dean of said College shall determine.

Mr. Walter H. Kidd was a graduate of the College of Engineering (Bachelor of Architectural Engineering, 1929) who had an accomplished professional career. He contributed much of his accumulated assets to The Ohio State University Development Fund for the advancement of the College of Engineering. His generosity is recognized and appreciated.

A sum of \$37,500 per year will be spent over a five-year period which will provide salary and research support for a professorship position at the Austin E. Knowlton School of Architecture. The dean of the College of Engineering, in consultation with the director of the Knowlton School of Architecture, shall recommend the candidate for the professorship to the executive vice president and provost. Appointment to the position shall be approved by the Board of Trustees.

The designated professorship may be renewed at the prevailing amount and term at the time of renewal. The professorship holder may be reappointed to another term following favorable assessment of the holder's academic and research performance and accomplishments during an appointment.

\$37,500.00 per year for five years

### Establishment of Named Endowed Funds

#### **ATI Instructional Technology Endowment Fund**

The ATI Instructional Technology Endowment Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University with a grant from the U.S. Department of Education.

The annual distribution from this fund shall be used to enhance academic programs, courses, and initiatives making greater use of instructional technologies at Ohio State ATI. Expenditures shall be approved by The Ohio State University Agricultural Technical Institute associate director – academic affairs.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by The Ohio State University Agricultural Technical Institute director and associate director – academic affairs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:	\$50,000.00
Total Commitment:	\$250,000.00

## **February 1, and February 2, 2007 meeting, Board of Trustees**

### **The Carl E. Pickering Memorial Endowed Scholarship Fund in Human and Community Resource Development**

The Carl E. Pickering Memorial Endowed Scholarship Fund in Human and Community Resource Development was established February 2, 2007, by the Board of Trustees of The Ohio State University with gifts from Dr. Ed R. Pickering (B.S., 1956; M.S., 1958) in honor of his father, Carl E. Pickering (B.S., 1930).

The annual distribution from this fund shall provide a scholarship for an entering freshman student enrolled in agricultural education who demonstrates both academic achievement (based on high school scores) and financial need. The donor would prefer that the scholarship be awarded to the same student each year, repeatable for four years as long as the student maintains a 3.0 grade point average (G.P.A.). Scholarship recipients will be selected by the agricultural education undergraduate scholarship committee, in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:                      \$50,000.00

### **The Henry A. Wise II, M.D., Endowed Professorship Fund in Urology**

The Henry A. Wise II, M.D., Endowed Professorship Fund in Urology was established February 2, 2007, by the Board of Trustees of The Ohio State University with gifts from the University Urology Education and Research Foundation, Inc., and friends of the Department of Urology, with support from the College of Medicine; given in honor of Henry A. Wise II, M.D. (clinical professor emeritus, 2003; held positions of assistant professor, associate professor, division director, and clinical professor in Urology from 1972-2000) of Dublin, Ohio.

The annual distribution from this fund shall be reinvested in the endowment principal until the principal reaches the level of \$1,000,000. Upon reaching this level, it will be used for an endowed professorship position in the Department of Urology in support of a nationally recognized urologist faculty member undertaking clinical, educational, and research activities in the field of urology. If funding reaches the level of \$2,000,000, the professorship shall be converted to a chair position. Appointment shall be made as recommended by the chairperson of the Department of Urology and approved by the dean of the College of Medicine and the senior vice president for Health Sciences. The activities of the professorship or chair holder shall be reviewed no less than every four years by the dean to determine compliance with the intent of the donor as well as the academic and research standards of the University.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by

## **February 1, and February 2, 2007 meeting, Board of Trustees**

the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the chairperson of the Department of Urology in consultation with the dean of the College of Medicine and with the senior vice president for Health Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment:           \$50,000.00

### **The William L. Berry Scholarship Fund**

The William L. Berry Scholarship Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University with gifts from colleagues and friends of Professor William L. Berry to honor his professional achievements.

The annual distribution from this fund shall provide scholarship support to a student(s) in the Master of Business Administration (M.B.A.) degree program at The Max M. Fisher College of Business, with preference given to operations management majors. Scholarship recipients will be selected by the dean of the Fisher College of Business in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the Fisher College of Business. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment:           \$44,850.00 (grandfathered)

### **The Ohio State University "Brutus Buckeye" Scholarship Fund**

The Ohio State University "Brutus Buckeye" Scholarship Fund in the Department of Athletics was established February 2, 2007, by the Board of Trustees of The Ohio State University with gifts from Colonel and Mrs. George R. Nelson (B.S., 1971), their family, and friends of The Ohio State University.

The annual distribution from this fund shall be used at the discretion of The Ohio State University head coach for cheerleaders to provide a tuition scholarship award for the full-time student or students serving as "Brutus Buckeye," the athletic mascot of The Ohio State University. The decision to grant the award must include a thorough consideration of each candidate's academic standing and demonstrated potential for leadership. On the date the scholarship is awarded each candidate must be in sound academic standing and have achieved a sophomore class status as designated by the University. The director



## **February 1, and February 2, 2007 meeting, Board of Trustees**

of Athletics must verify the qualification of any and all candidates in consultation with the Office of Student Financial Aid before the scholarship is awarded.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment:       \$28,625.00 (grandfathered)

### Change in Description of Named Endowed Funds

#### **The Gordon Grigsby Poetry Fund**

The Gordon Grigsby Poetry Fund was established March 7, 1986, by the Board of Trustees of The Ohio State University with a gift from Paul Watkins (B.S., 1958) of Columbus, Ohio, in honor of Gordon K. Grigsby, Associate Professor of English (now Professor Emeritus) at The Ohio State University. The description was revised February 2, 2007.

The annual distribution from this fund shall be used to, but shall not be limited to, support the costs of events that are directly associated with honoraria readings by creative writers who visit campus. Appropriate expenditures shall be determined by the Department of English Creative Writing Program and approved the dean of the College of Humanities.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Humanities and the chairperson of the Department of English. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

#### **The Von Price Scholarship Endowment Fund**

The Von Price Scholarship Endowment Fund was established April 7, 2006, by the Board of Trustees of The Ohio State University with gifts in memory of Von Price of Garrettsville, Ohio, from his family. The description was revised February 2, 2007.

The annual distribution from this fund shall be used to award one or more scholarships to students in the College of Food, Agricultural, and Environmental

## **February 1, and February 2, 2007 meeting, Board of Trustees**

Sciences with a minimum grade point average of 2.5 who demonstrate merit and need and who are members of the current year's Intercollegiate Livestock Judging Team. Scholarship recipients shall be selected by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences or the dean's designee, in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

### **THE OHIO STATE UNIVERSITY FOUNDATION**

#### **Establishment of Named Endowed Chair**

##### **The Clayton C. Wagner Parkinson's Disease Chair in Neurology**

The Clayton C. Wagner Parkinson's Disease Research Professorship was established December 3, 1999, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from an anonymous donor made in memory of Clayton C. Wagner. The Clayton C. Wagner Parkinson's Disease Clinical Research Professorship, The Clayton C. Wagner Parkinson's Disease Professorship in Neurotherapeutics, and The Clayton C. Wagner Parkinson's Disease Research Fund, established by estate gifts from the same anonymous donor, were merged with The Clayton C. Wagner Parkinson's Disease Research Professorship to create a named endowed chair position February 2, 2007.

The annual distribution from this fund shall be used for a chair position supporting a nationally or internationally recognized physician faculty member in the Madden/National Parkinson's Foundation Center of Excellence for Parkinson's Disease at The Ohio State University whose focus is to advance medical knowledge of Parkinson's Disease towards finding the cause, innovative treatment, and cure. The appointment shall be made at the recommendation of the chairperson of the Department of Neurology in consultation with the director of the Madden/NPF Center and as approved by the dean of the College of Medicine and by the senior vice president for Health Sciences. The activities of the chair holder shall be reviewed no less than every four years by the dean to determine compliance with the intent of the donor as well as the academic and research standards of the University.

As funding level permits, research activities of the chair holder shall also be supported to include research personnel, equipment, supplies, and other necessary expenses as allocated by the chairperson of the Department of Neurology.

## **February 1, and February 2, 2007 meeting, Board of Trustees**

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chairperson of the Department of Neurology and in consultation with the dean of the College of Medicine and with the senior vice president for Health Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Creating Chair: \$3,922,310.00

### **Establishment of Named Endowed Funds**

#### **The Vernon L. Tharp Professorship Fund in Food Animal Medicine**

The Vernon L. Tharp Professorship Fund in Food Animal Medicine was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts given in memory of Dr. Vernon L. Tharp (D.V.M., 1940) from his wife Grace Tharp, family, and friends, including Dr. Robert Dunton (D.V.M., 1955).

Dr. Tharp was passionate about his work in the field of food animal medicine. The creation of this professorship is an expression of Dr. Dunton's gratitude for the role Dr. Tharp played in his life as a great teacher, mentor, and friend.

Until the endowment reaches the required minimum funding level of \$750,000, the annual distribution will be reinvested into the principal. When fully funded, the annual distribution from this fund will be used to support a professorship in food animal medicine within the College of Veterinary Medicine's Department of Veterinary Clinical Sciences. The holder of the professorship will be recommended by the dean of the College of Veterinary Medicine and appointed by the Board of Trustees of The Ohio State University.

If in the future the endowment principal should reach the funding level required for a chair position, the endowment will be revised for this purpose.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Veterinary Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Funding Endowment: \$550,670.00

## **February 1, and February 2, 2007 meeting, Board of Trustees**

### **The Corbett Price Scholarship Fund**

The Corbett Price Scholarship Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Corbett Price (M.S., 1975).

Until the endowment principal reaches \$1,000,000 and until no children of Corbett Price are enrolled at The Max M. Fisher College of Business, the annual distribution shall be reinvested in the endowment principal. After the above requirements have been met, the annual distribution from this fund shall be used to support educational diversity at the University, consistent with the University's mission and admissions policy. It is the donor's desire that the graduate level scholarships be awarded in furtherance of the diversity mission with particular attention to, but not limited to, incoming minority students who have been accepted for admissions at the University and are enrolled at the Fisher College of Business. Qualified candidates must demonstrate financial need, have a minimum undergraduate grade point average of 3.1 and good scores on their GMAT. The distribution will provide a full waiver of tuition, general/institutional fees, and a monthly housing allowance of approximately \$1,000. The scholarship is renewable for a second year as long as the recipient(s) maintains full-time student status and good academic standing. The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy. This scholarship fund will be administered by the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the Office of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:	\$549,500.00
Total Commitment:	\$1,000,000.00

### **The Henry C. Gelbke Endowment Fund for the ATI Dairy Program**

The Henry C. Gelbke Endowment Fund for the ATI (Agricultural Technical Institute) Dairy Program was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Herman R. Gelbke to honor his brother, Henry C. Gelbke.

The annual income shall be used for special opportunities or activities involving the dairy program at ATI. This will include purchase of new equipment, student activity support, and capital projects in consultation with the Agricultural and Engineering Technologies Division director and the appropriate faculty member(s).

In any given year that the endowment distribution is not fully expended, the unused portion shall be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the

## **February 1, and February 2, 2007 meeting, Board of Trustees**

endowment principal at the discretion of the director of the Agricultural Technical Institute in consultation with the head of the dairy cattle production and management program.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Agricultural Technical Institute in consultation with the head of the dairy cattle production and management program. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$165,000.00

### **The Tina and Byron Trott Endowed Scholarship Fund**

The Tina and Byron Trott Endowed Scholarship Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Tina S. Trott (B.S., 1984) and Byron D. Trott, of Glencoe, Illinois.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete pursuing an undergraduate degree at The Ohio State University. Preference will be given to a student-athlete with a cumulative grade point average (G.P.A.) of 3.00 or higher. The recipient shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$140,000.00

### **The Jo Ann S. Donohue Speech-Language Pathology Innovation Fund**

The Jo Ann S. Donohue Speech-Language Pathology Innovation Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Dorothy Somerville of Winterville, Ohio, in honor of her daughter, Jo Ann S. Donohue (B.S., 1971).

## **February 1, and February 2, 2007 meeting, Board of Trustees**

The annual distribution from this fund shall be used by the Department of Speech and Hearing Science, in the College of Social and Behavioral Sciences, for endeavors that enhance advancement in the area of speech-language pathology clinical education, clinical supervision, and clinical service delivery as approved by the chairperson of the Department in consultation with the dean of the College.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Social and Behavioral Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:       \$113,339.10

### **The Somerville Family Endowed Scholarship Fund**

The Somerville Family Endowed Scholarship Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Dorothy Somerville of Wintersville, Ohio, in honor of her son, David Somerville (D.V.M., 1970).

The annual distribution from this fund shall be used to supplement tuition, room, and board for two (2) students enrolled at The Ohio State University College of Veterinary Medicine pursuing a D.V.M. degree. Recipients must be in good academic standing, with preference to students from Jefferson County, Ohio. Scholarship recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Veterinary Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:       \$113,339.10

### **The John B. and Jane T. McCoy Medical Research Fund**

The John B. and Jane T. McCoy Medical Research Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts made in honor of Dr. Manuel Tzagournis

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(B.S., 1956; M.D. *cum laude*, 1960; M.S., 1967), former vice president for Health Sciences and dean of the College of Medicine, from John B. McCoy (D.B.A. honorary, 1993) and Jane T. McCoy of Columbus, Ohio.

The annual distribution from this fund, as with other similar funds under the Manuel Tzagournis M.D. Medical Research Endowment Trust, shall be used to support grants for research at the Medical Center, in the Tzagournis Medical Research Facility, or within the Medical Center's Research Corridor. The grants may be used for, but are not limited to, supplies, equipment, salaries for research personnel, and activities required for quality medical research and will be selected through an application process. Researchers may apply for the one-year funding grants through the vice dean for Research in the College of Medicine. Selection shall be made by the vice dean for Research in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:                      \$100,000.00

Grace Fern Heck Faust and Leo H. Faust Endowment Fund  
in the College of Education and Human Ecology

The Grace Fern Heck Faust and Leo H. Faust Endowment Fund in the College of Education and Human Ecology was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from the estate of The Honorable Grace Fern Heck Faust (B.A., 1928; J.D., 1930) of Urbana, Ohio.

The annual distribution from this fund shall used to support the Historic Costume and Textile Collection of the College of Education and Human Ecology including, but not limited to acquisition, preservation, restoration, and exhibition of articles in the collection, as well as to augment the salary of any employee working with the collection as the chairperson of the Department of Consumer Sciences and curator of the collection in their discretion shall decide.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Education and Human Ecology in consultation with the

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chairperson of the Department of Consumer Sciences and curator of the collection. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:       \$89,531.84

Leo H. Faust and Grace Fern Heck Faust Endowment Fund  
at The Arthur G. James Cancer Hospital and  
Richard J. Solove Research Institute

The Leo H. Faust and Grace Fern Heck Faust Endowment Fund at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from the estate of The Honorable Grace Fern Heck Faust (B.A., 1928; J.D., 1930) of Urbana, Ohio.

The annual distribution from this fund shall be used for cancer research at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the senior executive director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior executive director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice present for Health Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:       \$89,531.83

Leo H. Faust and Grace Fern Heck Faust Endowment Fund  
in the Division of Cardiovascular Medicine

The Leo H. Faust and Grace Fern Heck Faust Endowment Fund in the Division of Cardiovascular Medicine was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from the estate of The Honorable Grace Fern Heck Faust (B.A., 1928; J.D., 1930) of Urbana, Ohio.

The annual distribution from this fund shall be used for research in the field of cardiovascular diseases. Allocation of funds shall be approved by the director of the Division of Cardiovascular Medicine in consultation with the dean of the College of Medicine and with the senior vice president for Health Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be



## **February 1, and February 2, 2007 meeting, Board of Trustees**

assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Division of Cardiovascular Medicine in consultation with the dean of the College of Medicine and with the senior vice present for Health Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:       \$89,531.83

Leo H. Faust and Grace Fern Heck Faust Endowment Fund  
in the Department of Ophthalmology

The Leo H. Faust and Grace Fern Heck Faust Endowment Fund in the Department of Ophthalmology was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from the estate of The Honorable Grace Fern Heck Faust (B.A., 1928; J.D., 1930) of Urbana, Ohio.

The annual distribution from this fund shall be used for research into the causes, prevention, and cures of retinal diseases. If and when said diseases become readily curable, then the income shall be used for research in other fields of ophthalmology. Allocation of funds shall be approved by the chairperson of the Department of Ophthalmology in consultation with the dean of the College of Medicine and with the senior vice president for Health Sciences.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chairperson of the Department of Ophthalmology in consultation with the dean of the College of Medicine and with the senior vice present for Health Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:       \$89,531.83

The Robert and Susan Savage Endowment Fund  
in Industrial and Systems Engineering

The Robert and Susan Savage Endowment Fund in Industrial and Systems Engineering was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Robert W. (B.S.I.S.E., 1983) and Susan M. (B.S.Ed., 1983; Cert.Grad.Dent.Hyg., 1983) Savage of Coto de Caza, California.

The annual distribution from this fund shall be used to cultivate leadership skills in deserving undergraduate students in industrial and systems engineering. The

## **February 1, and February 2, 2007 meeting, Board of Trustees**

chairperson of the Department of Industrial, Welding, and Systems Engineering, in discussion with the donors during their lifetime, will determine the best use of the income each year. Initiatives may be defined, but are not limited to, student academic projects, student travel to attend a conference, interdisciplinary work, or a business case study.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Engineering. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment:           \$50,160.00

### **The James A. and Mavis Fletcher Endowed Memorial Fund**

The James A. and Mavis Fletcher Endowed Memorial Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mavis Fletcher (M.A., 1948) in memory of her husband, James A. Fletcher (B.S., 1949; M.S., 1952).

The annual distribution from this fund shall provide one scholarship, or as large a portion of a scholarship as possible. Qualified candidates must be first-year undergraduate students enrolled in the College of Food, Agricultural, and Environmental Sciences with a minimum 2.85 grade point average (G.P.A.). First preference is for a student who graduated from Harding High School, Marion, Ohio. If there are no candidates from Harding High School, second preference shall be given to a student who intends to study environmental science at the School of Environment and Natural Resources regardless of which high school they attended. If no candidates meet either of these criteria, third preference shall be given to a student regardless of area of study.

The annual distribution may be used toward the cost of tuition and room and board. The scholarship shall be distributed equally over the three quarters of the academic school year and is renewable up to twelve quarters (or eight semesters should the University ever change its system) or until completion of a baccalaureate degree, whichever comes first.

This scholarship will be administered by the College of Food, Agricultural, and Environmental Sciences in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:           \$50,000.00

### **The George Family Scholarship Fund**

The George Family Scholarship Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from John C. George (B.S., 1977) of Lebanon, Ohio, and George Steel Incorporated.

The annual distribution from this fund shall be used to provide scholarship support to an incoming freshman who has graduated from Lebanon High School in Lebanon, Ohio. Preference shall be given to students who plan to major in business at The Max M. Fisher College of Business. Selection of the recipient(s) shall be made by the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the Fisher College of Business. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment:           \$50,000.00

### **The Shirley and Harold Schumaker Endowed Scholarship Fund**

The Shirley and Harold Schumaker Endowed Scholarship Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Tina S. Trott (B.S., 1984) and Byron D. Trott, of Glencoe, Illinois.

The annual distribution from this fund shall be used to provide a merit and need-based scholarship for an undergraduate student attending the Columbus campus. First preference shall be given to students from Medina County, Ohio. Second preference shall be given to students from other counties in northeast Ohio. The recipients will have participated in community service or leadership activities in high school, and should have a 3.0 or higher grade point average (G.P.A.) with special emphasis given to select scholars who have overcome obstacles to succeed both academically and socially. Scholarships are renewable for a maximum of 12 quarters leading to an undergraduate degree, provided the student maintains a 3.0 or higher accumulative G.P.A. and continues to demonstrate financial need. The scholarship shall be administered

## February 1, and February 2, 2007 meeting, Board of Trustees

as part of the Ruth and Jesse Owens Scholars Program at The Ohio State University and be awarded by the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$50,000.00

### The Carole Hershey Walters Endowed Scholarship Fund

The Carole Hershey Walters Endowed Scholarship Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Hershey Foundation in honor of Carole Hershey Walters (B.S., 1959).

The annual distribution from this fund shall be used to support educational diversity at the University, consistent with the University's mission and admissions policy. It is the donor's desire that the scholarships be awarded in furtherance of the diversity mission with particular attention to, but not limited to, female students who have been accepted for admissions at the University and are enrolled in the College of Biological Sciences. Scholarships are renewable as long as the recipient(s) is still enrolled in the College of Biological Sciences and maintains a minimum grade point average of 3.0. Scholarship recipients will be selected by the dean of the College of Biological Sciences in consultation with the Office of Student Financial Aid. The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Biological Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment: \$50,000.00  
Total Commitment: \$150,000.00

## **February 1, and February 2, 2007 meeting, Board of Trustees**

### **The Robert and Nancy Dix Athletic Scholarship Fund**

The Robert and Nancy Dix Athletic Scholarship Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Robert and Nancy Dix of Hebron, Ohio.

The annual distribution from this fund shall be used to supplement the grant-in-aid scholarship costs of an intercollegiate student-athlete who is a member of a varsity athletics team and pursuing an undergraduate degree at The Ohio State University. The recipient shall be selected by the director of Athletics in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowed funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's cost of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by The Board of Trustees and Foundation Board as recommended by the director of Athletics. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscious and need dictate.

Amount Establishing Endowment:	\$49,439.00 (grandfathered)
Total Commitment:	\$100,000.00

### **John H. Kauffman Family Graduate Support Fund in American History**

The John H. Kauffman Family Graduate Support Fund in American History was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from John H. Kauffman (B.A., 1960) of Atlanta, Georgia.

The annual distribution from this fund shall be used to provide support for American history graduate students who are conducting summer research projects for their dissertations. Award recipients will be selected by the chairperson of the Department of History.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by chairperson of the Department of History, in conjunction with the dean of the College of Humanities. Any such alternate distributions shall be made in a manner as

## **February 1, and February 2, 2007 meeting, Board of Trustees**

nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Needed to Establish Endowment: \$43,000.00 (grandfathered)

### **Stephen F. Vogel International Fellow Award Fund**

The Stephen F. Vogel International Fellow Award Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Stephen F. Vogel (J.D., 1978).

The annual distribution from this fund shall provide an annual award to a student in The Michael E. Moritz College of Law for an international experience suggested by the student and approved by the College for academic credit toward a degree from the College. Award recipients will be selected by the dean of the Moritz College of Law in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the Moritz College of Law. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:	\$40,000.00 (grandfathered)
Total Commitment:	\$110,000.00

### **The Lawrence and Julia Pollock Dean's Innovation Fund**

The Lawrence and Julia Pollock Dean's Innovation Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Lawrence (B.S.Bus.Adm., 1969) and Julia Pollock of Shaker Heights, Ohio.

The annual distribution from this fund shall be used by the dean of The Max M. Fisher College of Business to promote initiatives by the faculty and staff designed to increase the quality of teaching, research, and involvement with the business community.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the Fisher College of Business. Any such alternate distributions shall be made in

## **February 1, and February 2, 2007 meeting, Board of Trustees**

a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Needed to Establish Endowment: \$34,781.00 (grandfathered)

### **Todd Anthony Bell Endowment Fund**

The Todd Anthony Bell Endowment Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family, friends, and colleagues in memory of Todd Anthony Bell (B.S.Ed., 1989).

Todd Bell was most widely known for his achievements in competitive sports. At Middletown High School he became a three-time football All-American and a three-time state champion in the long jump, breaking the records set by Jesse Owens. At The Ohio State University, he played under Coach Woody Hayes and was a four-year letterman, first and second team All-American, and first team UPI and API all-Big Ten player. Starting in 1981, he played for the Chicago Bears for seven seasons, then for the Philadelphia Eagles until a career-ending injury in 1990. In 1997 Bell returned to Ohio State where he worked in University College and in the Office of Minority Affairs until his death in 2005. Notably, he gave leadership to the Black Male Initiative and the African-American Male Resource Center. On September 23, 2005, the Board of Trustees approved the establishment of the Todd Anthony Bell National Resource Center on the African-American Male.

The annual distribution from this fund shall support the Bell Center and other projects and entities at the University which may be established in his memory. Expenditures from this account shall be approved by the vice provost for Minority Affairs/special assistant to the president for diversity.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice provost for Minority Affairs/special assistant to the president for diversity. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$27,312.30 (grandfathered)

### **The Larry R. Langdon Scholarship Fund**

The Larry R. Langdon Scholarship Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Larry R. Langdon (B.S., 1959; J.D., 1961) of Portola Valley, California.

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The annual distribution from this fund shall be used to provide scholarship support to an undergraduate student(s) enrolled in The Max M. Fisher College of Business. The recipient shall be selected based on academic merit. Selection of the recipient(s) shall be made by the director of the Undergraduate Programs Office in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the dean of the Fisher College of Business. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:           \$26,680.00 (grandfathered)

### **Mary Lou and John Habash Fund in History**

The Mary Lou and John Habash Fund in History was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Stephen Habash (B.A., 1974; J.D., 1978) and Jean Marie Luczkowski (J.D., 1978).

The annual distribution from this fund shall benefit the Archeological Teaching Museum in History and will include, but not be limited to, course development, support of graduate and undergraduate research projects, expansion of the Teaching Museum, acquisition of significant museum pieces, and preservation of the collection housed within the Teaching Museum. Expenditures will be approved by the curator of the Teaching Museum in consultation with the chairperson of the Department of History.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the chairperson of the Department of History. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:           \$26,530.00 (grandfathered)

### **The Paul Ecke, Jr. Scholar Program Fund**

The Paul Ecke, Jr. Scholar Program Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Paul Ecke III and the late Paul Ecke, Jr. (B.S., 1949).



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The annual distribution from this fund shall be used to provide stipends for junior or senior undergraduate students who work directly with faculty in the Department of Horticulture and Crop Science in the ornamental and floriculture area. The scholar program will consist of an annual competition, with students who have been awarded during their junior year re-applying during their senior year. The program will include a working experience in ornamentals and floriculture, including research and/or work in the Chadwick Arboretum and Learning Gardens.

Award recipients will be selected by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the chairperson of the Department of Horticulture and Crop Science and the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the chairperson of the Department of Horticulture and Crop Science. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment:           \$26,040.00 (grandfathered)

### **The Susan Galloway Swavel Endowed Scholarship Fund**

The Susan Galloway Swavel Endowed Scholarship Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Joseph William Galloway family of Marion, Ohio.

The annual distribution from this fund shall be used to provide scholarships to deserving students with a 3.0 or higher grade point average who are attending The Ohio State University at Marion. Preference shall be given to students ranked sophomore or higher who are pursuing studies in elementary education. Scholarship recipients will be selected by The Ohio State University Marion Campus Scholarship Committee in consultation with the dean and director of the Marion Campus and the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean and director of The Ohio State University at Marion in consultation with the

## **February 1, and February 2, 2007 meeting, Board of Trustees**

director of Student Financial Aid. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment: \$26,000.00 (grandfathered)

### **The Brown County 4-H Endowment Fund**

The Brown County 4-H Endowment Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends of Brown County 4-H.

The annual distribution from this fund shall be used to provide a sound source of program funding for the 4-H youth and volunteers of the Brown County 4-H Youth Development Program. This support may include, but is not limited to, the funding of trips and awards for 4-H members, volunteer leaders and friends of 4-H; sponsoring seminars and programs; and purchasing material and services that will supplement 4-H programming or increase visibility and public support of 4-H in Brown County. The Brown County 4-H Committee and the professional in charge of the Brown County 4-H Program shall approve all expenditures from this fund.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of Ohio State University Extension and a committee representing the Brown County 4-H donors. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Needed to Establish Endowment: \$25,039.00 (grandfathered)

### **The Richard and Elizabeth Goertemiller Dean's Innovation Fund**

The Richard and Elizabeth Goertemiller Dean's Innovation Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Richard H. (B.S.Bus.Adm., 1950) and Elizabeth Waddle (B.S.Bus.Adm., 1950) Goertemiller.

The annual distribution from this fund shall be used by the dean of The Max M. Fisher College of Business to support the activities of the faculty, students, and staff in accordance with the strategic plan of the College.

In any given year that the endowment distribution is not fully expended, the unused portion will carry forward for the next year or will be reinvested at the discretion of the dean of the Fisher College of Business.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be

## **February 1, and February 2, 2007 meeting, Board of Trustees**

assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by dean of the Fisher College of Business. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment:           \$25,000.00 (grandfathered)

The Homer W. Joehlin Memorial Scholarship Fund  
in Food, Agricultural, and Biological Engineering

The Homer W. Joehlin Memorial Scholarship Fund in Food, Agricultural, and Biological Engineering was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from his children and grandchildren.

The annual distribution from this fund shall be used to support undergraduate scholarship(s) for students enrolled in the College of Engineering and pursuing a degree in the Department of Food, Agricultural, and Biological Engineering. Scholarship recipients will be graduates of Ohio schools and selection shall be based on financial need, ability, and demonstrated interest in food and agriculture with the intention of attracting and graduating outstanding students to and through the Department. The scholarship will be awarded to one student only until the annual income has exceeded a full academic year's tuition. Students will be selected by the chairperson of the Department of Food, Agricultural, and Biological Engineering or their designee in consultation with the scholarship committee of the Department and the Office of Student Financial Aid. The Office of Student Financial Aid is responsible for awarding the scholarship and will apprise the donor (or their designee) of the selection status.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

If in the future, if the need for this fund should cease to exist, it is the desire of the donor that the funds shall be transferred to another need within the Department of Food, Agricultural, and Biological Engineering, or its successor department, before transfer to any other University use.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences in consultation with the chairperson of the Department of Food, Agricultural, and Biological Engineering.

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Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:       \$25,000.00 (grandfathered)

### **The Richard C. Richley M.D. Medical Research Fund**

The Richard C. Richley M.D. Medical Research Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts made in honor of Dr. Manuel Tzagournis (B.S., 1956; M.D. *cum laude*, 1960; M.S., 1967), former vice president for Health Sciences and dean of the College of Medicine, from Richard C. Richley, M.D. (B.S., 1966; M.D., 1970) of San Diego, California.

The annual distribution from this fund and other similar funds under the Manuel Tzagournis M.D. Medical Research Endowment Trust, shall be used to support researchers conducting research at the Medical Center, mainly in the Tzagournis Medical Research Facility or in a facility within the Medical Center's Research Corridor. Selection shall be made through a grant application process. Researchers may apply for one-year funding grants, which are not limited to senior faculty, through the vice dean for Research in the College of Medicine. Selection shall be made by the vice dean for Research in consultation with the senior vice president for Health Sciences and the dean of the College of Medicine. The grants may be used for, but not limited to, supplies, equipment, salaries for research personnel, and activities required for quality medical research.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior vice president for Health Sciences and the dean of the College of Medicine. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:       \$25,000.00 (grandfathered)

### **The Hubert Conrad Schmidt and Virginia Grama Schmidt Graduate Student Fund for Pacific Coast Flora Study**

The Hubert Conrad Schmidt and Virginia Grama Schmidt Graduate Student Fund for Pacific Coast Flora Study was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Virginia Grama Schmidt (B.A. in French *with Distinction*, 1936; M.A., 1937; B.S.Ed., 1938).

The annual distribution from this fund shall be used to support a graduate landscape architecture student studying the native ecology and flora of the Pacific Coast (Alaska, Washington, Oregon, California, Mexico, Central America, and South America). Scholarship recipients will be selected by the head of the Landscape Architecture Program in consultation with the director of the Austin E.

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Knowlton School of Architecture and the Office of Student Financial Aid. If not used for a scholarship, the fund may support the Chadwick Arboretum.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Austin E. Knowlton School of Architecture in consultation with the head of the Landscape Architecture Program. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:           \$25,000.00 (grandfathered)

### **The Allan L. Shumard Fund for The Ohio State University Marching Band**

The Allan L. Shumard Fund for The Ohio State University Marching Band was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Allan L. Shumard (B.C.E., 1930).

The annual distribution from this fund shall be used by the director of The Ohio State University Marching Band for whatever he deems appropriate. Purchase decisions shall be made by the director of the School of Music in consultation with the music faculty.

In the event there are undistributed funds in any given year, the unused portion shall be added to the principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of the Arts. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:           \$25,000.00 (grandfathered)

### **The Allan L. Shumard Musical Instrument Fund**

The Allan L. Shumard Musical Instrument Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Allan L. Shumard (B.C.E., 1930).

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The annual distribution from this fund shall be used to support the purchase and maintenance of musical instruments in The Ohio State University School of Music. Purchase decisions shall be made by the director of the School of Music in consultation with the music faculty.

In the event there are undistributed funds in any given year, the unused portion shall be added to the principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of the Arts. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:                      \$25,000.000 (grandfathered)

### **Rick Toracinta Graduate Scholarship Award Fund in Atmospheric Science**

The Rick Toracinta Graduate Scholarship Award Fund in Atmospheric Science was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Byrd Polar Research Center, Alma and James Chaney, and numerous others in memory of Dr. E. Richard (Rick) Toracinta.

Dr. Toracinta was a talented research scientist with the Polar Meteorology Group of the Byrd Polar Research Center at The Ohio State University. In addition to his polar work, Rick had a passion for severe weather and was a volunteer storm chaser on the Great Plains during many springs. This scholarship commemorates Rick's lasting interest in the atmosphere as well as his desire to be a teacher.

The annual distribution from this fund shall provide annual scholarship awards to graduate students studying atmospheric science with preference given to students specializing in severe weather (thunderstorms, tornadoes, and hurricanes), polar meteorology or polar climatology. Recipients will be selected based on academic performance, excellence as an instructor, and intention to continue graduate studies as determined by the director and administrative associate of the Polar Meteorology Group of the Byrd Polar Research Center in consultation with the Office of Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Polar Meteorology Group of the Byrd Polar Research Center. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

Amount Establishing Endowment:       \$25,000.00 (grandfathered)

### **Anthony and Fern Valentine Neurofibromatosis Fund**

The Anthony and Fern Valentine Neurofibromatosis Fund was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, in honor of Fern Valentine and in memory of her late husband, Anthony Valentine, with gifts from Fern Valentine of Columbus, Ohio.

The annual distribution from this fund shall be used to support research and treatment of neurofibromatosis, including but not limited to research, personnel, supplies, equipment, travel, publication, conference, and other related activities in the Department of Neurology in the College of Medicine, as directed by the chairperson of the Department of Neurology.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the senior vice president for Health Sciences and the dean of the College of Medicine in consultation with the chairperson of the Department of Neurology. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:       \$25,000.00 (grandfathered)

### **Chilin Yu Endowment Fund for the Chinese Studies Library Collection**

The Chilin Yu Endowment Fund for the Chinese Studies Library Collection was established February 2, 2007, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Chilin Yu.

The annual distribution from this fund shall be used to support the Chinese Studies Collection at the University Libraries. Expenditures shall be approved by the director of the University Libraries.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

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It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the University Libraries. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

Amount Establishing Endowment:       \$25,000.00 (grandfathered)

### Change in Description of Named Endowed Fund

#### **The Henry C. Gelbke Endowed Scholarship Fund**

The Henry C. Gelbke Endowed Scholarship Fund was established June 29, 2001, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Herman R. Gelbke to honor his brother, Henry C. Gelbke. The description was revised on February 2, 2007, in consultation with the donor.

The annual distribution from this fund shall be used to support two or more Agricultural Technical Institute students studying dairy cattle production and management or dairy science. Qualified candidates must intend to pursue a career as a dairy farmer upon graduation. First preference shall be given to candidates from northeast Ohio. If there are no qualified candidates from northeast Ohio, the scholarships may be awarded to candidates from other areas of Ohio. If there are no qualified candidates available from the State of Ohio, the distribution may be carried over to the next selection period for additional scholarships or added to the principal of the fund.

Scholarships shall be awarded in consultation with the ATI Financial Aid Coordinator and appropriate faculty members at the Agricultural Technical Institute. A letter of notification naming the scholarship recipients shall be sent annually to the donor or the donor's designee and the Trumbull County Extension office.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the director of the Agricultural Technical Institute in consultation with the coordinator of the dairy cattle programs. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

### Change in Name and Description of Named Endowed Funds

#### **The Dairy Farmers of America, Inc., Donald H. Schriver Agriculture and Human Ecology Fund**

The Milk Marketing Inc. Agriculture and Human Ecology Fund was established December 3, 1993, by the Board of Trustees of The Ohio State University with gifts from Milk Marketing Inc., Strongsville, Ohio. The name and description



## **February 1, and February 2, 2007 meeting, Board of Trustees**

were revised to reflect the new name of the company July 10, 1998. The name and description were revised again September 22, 2006, to The Dairy Farmers of America, Inc., Don H. Schriver Agriculture and Human Ecology Fund. The name and description were revised February 2, 2007.

The annual distribution from this fund shall be used to support programs and scholarships within the College of Food, Agricultural, and Environmental Sciences and the College of Education and Human Ecology. Support shall include at least one scholarship in each of these areas: dairy science, agricultural economics, and ATI dairy in the College of Food, Agricultural, and Environmental Sciences and in either human nutrition or home economics education in the College of Education and Human Ecology. Support shall also include ATI and OARDC programs, LEAD, and other priority programs identified by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences and the CEO and/or executive vice president, Dairy Farmers of America, Inc., or their designee or its successor organization. Annual awards will be directed by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences or their designee in consultation with the CEO and/or executive vice president, Dairy Farmers of America, Inc., or their designee or its successor organization. Scholarship and award recipients shall be selected annually by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences or their designee, the dean, College of Human Ecology or their designee, the director, ATI or their designee, and the CEO and/or executive vice president, DFA, or their designee, in consultation with the Office of Student Financial Aid.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

### **The Dairy Farmers of America, Inc., Donald H. Schriver 4-H Fund**

The Milk Marketing Inc. 4-H Fund was established December 3, 1993, by the Board of Trustees of The Ohio State University with gifts from Milk Marketing Inc., Strongsville, Ohio. The name and description were revised to reflect the new name of the company July 10, 1998. The name and description were revised again September 22, 2006, to The Dairy Farmers of America, Inc., Don H. Schriver 4-H Fund. The name and description were revised February 2, 2007.

The annual distribution from this fund shall be used to support programs within The Ohio State University Extension state 4-H program including, but not exclusively, the 4-H Dairy Award, 4-H Congress, National 4-H Center and programs, Dairy Conference, and annual needs. Annual distribution shall be directed by the state 4-H Leader, in conjunction with the Ohio 4-H Foundation Board of Trustees with final approval by the director of Ohio State University Extension or his/her designee in consultation with the CEO and/or executive vice

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president, Dairy Farmers of America, Inc., or its successor organization and/or designee.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees as recommended by the vice president for Agricultural Administration and University Outreach, and executive dean for Food, Agricultural, and Environmental Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

### **The Roberta Elliott Wantman Endowment Fund in the School of Earth Sciences**

The Roberta Elliott Wantman Endowment Fund in the Department of Greek and Latin in the College of Humanities was established June 29, 2001, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Esther B. Elliott in honor of her daughter, Roberta Elliott Wantman (B.A. cum laude and with Distinction in Latin and Ancient History 1971; M.A. 1973). The name and description were revised May 7, 2004, to The Roberta Elliott Wantman Endowment Fund. The name and description were revised again on February 2, 2007.

The annual distribution from this fund shall be used to support the Ice Core Paleoclimate Research Group, directed by Lonnie Thompson and Ellen Mosely-Thompson. The fund will be administered by the School of Earth Sciences in consultation with Lonnie Thompson and Ellen Mosley-Thompson or their successors.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the donor, the dean of the College of Mathematical and Physical Sciences, and the director of the School of Earth Sciences. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donor as good conscience and need dictate.

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**February 1 and February 2, 2007 meeting, Board of Trustees**

**WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS**

Resolution No. 2007-91

October - December 2006

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, November 7, 1997, and March 1, 2002 provides that the President and/or the Senior Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, the Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Senior Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of October – December 2006; and

WHEREAS during the period covered, the Senior Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 78 waivers of competitive bidding requirements for annual purchases totaling approximately \$11,655,707 as shown on the enclosed exhibit; and

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 46 waivers of competitive bidding requirements for annual purchases totaling approximately \$17,498,859 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of October - December 2006, is hereby accepted.

(See Appendix XXXIII for background information, page 835.)

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**APPROVAL TO ENTER INTO DESIGN AND CONSTRUCTION CONTRACTS,  
AND TO INCREASE CONSTRUCTION CONTRACTS**

Resolution No. 2007-92

**APPROVAL TO ENTER INTO DESIGN CONTRACTS**

BAKER SYSTEMS – AIR CONDITIONING UNIT REPLACEMENT  
LINCOLN TOWER – COOLING TOWER REPLACEMENT  
MORRISON TOWER – FAN COIL UNIT REPLACEMENT  
NEWARK CAMPUS – FOUNDERS HALL RESTROOMS RENOVATION  
OARDC – POUNDEN HALL RENOVATION  
OHIO STADIUM – FIELD REPLACEMENT  
TUTTLE PARK GARAGE – RETAIL AIR CONDITIONING

**APPROVAL TO ENTER INTO CONSTRUCTION CONTRACTS**

BAKER SYSTEMS – AIR CONDITIONING UNIT REPLACEMENT  
BIOLOGICAL SCIENCES BUILDING FUME HOOD REPAIRS  
BIOLOGICAL SCIENCES BUILDING SUPPLY FAN REPLACEMENT

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KENNEDY COMMONS ROOF REPLACEMENT  
LIMA CAMPUS – GALVIN HALL FIRST FLOOR CLASSROOM RENOVATION  
NEWARK CAMPUS – FOUNDERS HALL RESTROOMS RENOVATION  
OHIO STADIUM – FIELD REPLACEMENT  
TUTTLE PARK GARAGE – RETAIL AIR CONDITIONING  
WOMEN'S SOFTBALL STADIUM

**APPROVAL TO INCREASE CONSTRUCTION CONTRACTS**  
NEWARK CAMPUS – WARNER LIBRARY AND STUDENT CENTER

Synopsis: Authorization to enter into design and construction contracts, and to increase construction contracts, as detailed in the attached materials, is requested.

WHEREAS in accordance with the attached materials, the University desires to undertake, and enter into design contracts, for the following projects:

Baker Systems – Air Conditioning Unit Replacement (N/A)	\$0.2M	Department operating funds
Lincoln Tower – Cooling Tower Replacement (09-10 capital request)	\$2.6M	Future bond proceeds
Morrison Tower – Fan Coil Unit Replacement (09-10 capital request)	\$1.8M	Future bond proceeds
Newark Campus – Founders Hall Restrooms Renovation (N/A)	\$0.4M	Campus operating funds
OARDC – Pounden Hall Renovation (N/A)	\$1.5M	Grant funds Campus operating funds
Ohio Stadium – Field Replacement (N/A)	\$1.0M	Athletics operating funds
Tuttle Park Garage – Retail Air Conditioning (N/A)	\$0.4M	Department operating funds

WHEREAS in accordance with the attached materials, the University desires to enter into construction contracts for the following projects:

Baker Systems – Air Conditioning Unit Replacement (N/A)	\$0.2M	Department operating funds
Biological Sciences Building Fume Hood Repairs (05-06 capital request)	\$1.5M	State funds
Biological Sciences Building Supply Fan Replacement (05-06 capital request)	\$0.8M	State funds
Kennedy Commons Roof Replacement (N/A)	\$1.6M	2007 bond proceeds
Lima Campus – Galvin Hall First Floor Classroom Renovation (05-06 capital request)	\$0.4M	State funds Campus operating funds
Newark Campus – Founders Hall Restrooms Renovation (N/A)	\$0.4M	Campus operating funds
Ohio Stadium – Field Replacement (N/A)	\$1.0M	Athletics operating funds
Tuttle Park Garage – Retail Air Conditioning (N/A)	\$0.4M	Department operating funds
Women's Softball Stadium	\$4.3M	Development funds

WHEREAS in accordance with the attached materials, the University desires to increase construction contracts for the following projects:

**February 1 and February 2, 2007 meeting, Board of Trustees**

Newark Campus – Warner Library and Student Center (05-06 capital request)	\$20.0M	State funds Development funds Campus operating funds 2007 bond proceeds
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\*Parentheses indicates the biennial capital request or other action by the Board of Trustees to authorize the capital project; renovation projects funded by internal office or departmental funds that are noted as "N/A" have not had separate capital project authorization because of their smaller size or because they arose unexpectedly between capital planning cycles.

**NOW THEREFORE**

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to enter into design and construction contracts, and to increase construction contracts, for the projects listed above in accordance with established University and State of Ohio procedures, with all actions to be reported to the Board at the appropriate time.

(See Appendix XXXIV for background information and maps, page 837.)

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**SALE OF REAL PROPERTY**

Resolution No. 2007-93

1339 MT. VERNON AVENUE, MARION, OHIO

Synopsis: Authorization to sell University property located at 1339 Mt. Vernon Avenue, Marion, Ohio, is proposed.

WHEREAS this property has been declared surplus by the Ohio State Marion Campus, and has been unutilized since 2003 and adaptive uses have been determined to be cost prohibitive; and

WHEREAS this property has been appraised for \$340,000 and the University has entered into a purchase contract to sell the property for \$365,000 to Lu Chivington and Deb Cooper to be used as a real estate brokerage office; and

WHEREAS the appropriate University offices have determined that the sale of this property is in the best interest of the University:

**NOW THEREFORE**

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to sell the property located at 1339 Mt. Vernon Avenue, Marion, Ohio, to a qualified purchaser upon such terms and conditions deemed to be in the best interest of the University.

(See Appendix XXXV for background information and map, page 855.)

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**February 1 and February 2, 2007 meeting, Board of Trustees**

**LONG-TERM LEASE**

Resolution No. 2007-94

**LEASED VARSITY TENNIS CENTER  
880 WEST HENDERSON ROAD, COLUMBUS, OHIO**

Synopsis: Authorization for entering into a long-term lease, with an option to purchase, for a six-court indoor varsity tennis center located at 880 West Henderson Road, Columbus, Ohio, is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to enter into a long-term lease of real property at 880 West Henderson Road, with a LLC entity to be created, made up of principals from Continental Development, Inc.; and

WHEREAS the property contains 5.2 acres improved with buildings containing 81,000 SF that will be used for varsity men's and women's indoor tennis practice and competitive meets facility, and equipment storage for the Athletics Department; and

WHEREAS this property is located within reasonable proximity of the Columbus Campus with convenient access by players and spectators and the Department of Athletics has determined that the lease of this property is in the best interest of the University; and

WHEREAS the funds for the lease will be provided from the Department of Athletics:

**NOW THEREFORE**

BE IT RESOLVED, That the President and/or the Senior Vice President for Business and Finance be authorized to enter into a long-term lease of the improved real property at 880 West Henderson Road for use by The Ohio State University and its varsity tennis programs and other Department of Athletics uses as determined by the Department, at a proposed rent of \$20,000 per month for the ten-year term, plus the property's operating expenses. Terms and conditions of the lease have been negotiated to be in the best interest of the University.

(See Appendix XXXVI for background information and map, page 857.)

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**FY 2007 AND FY 2008 STATE CAPITAL APPROPRIATIONS**

Resolution No. 2007-95

Synopsis: Adoption of recommendations in response to recently enacted FY 2007 through FY 2008 State Capital appropriations bill is recommended.

WHEREAS in September 2005, the University presented and the Board adopted the FY 2007 through FY 2012 Capital plan which included the FY 2007 and FY 2008 State Capital recommendations; and

WHEREAS the recently enacted State Capital appropriations bill included \$8.9 million in supplemental funding for the Columbus Campus and \$846,000 for the regional campuses to be used for the purposes of campus capital renewal projects; and

## **February 1 and February 2, 2007 meeting, Board of Trustees**

WHEREAS in assessing how to best use the supplemental funding, the University has undertaken a thorough and critical review of the options available and their impact on the goals of the Academic Plan; and

WHEREAS these recommendations have been reviewed through the University's governance structure; and

WHEREAS only those projects outlined in these recommendations will be eligible for funding for the FY 2007 and FY 2008 biennium:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby adopts the proposed FY 2007 through FY 2008 State capital plan, and adopts the proposed recommendations for the supplemental allocations received as part of the FY 2007 and FY 2008 State allocation; and

BE IT FURTHER RESOLVED, That any request for authorization to proceed with any project contained in these recommendations or for University funds for any such project must be submitted individually by the University for approval by the Board of Trustees.

(See Appendix XXXVII for background information, page 859.)

Upon motion of Mrs. Davidson, seconded by Mr. Shumate, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Trustees Duncan, Hendricks, McFerson, Cloyd, Davidson, Ong, Borrer, Wexner, O'Dell, Shumate, Hicks, Fisher, Schottenstein, and Shackelford.

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## **REPORT OF RESEARCH CONTRACTS AND GRANTS**

Resolution No. 2007-96

Synopsis: The report on research and other sponsored program contracts and grants and the summaries for November and December 2006 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of November and December 2006 be approved.

Upon motion of Amb. Ong, seconded by Ms. Shackelford, the Board of Trustees adopted the foregoing resolution with nine affirmative votes, cast by Trustees Duncan, McFerson, Davidson, Ong, Borrer, Wexner, O'Dell, Fisher, and Shackelford, and five abstentions cast by Trustees Hendricks, Cloyd, Shumate, Hicks, and Schottenstein.

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## February 1 and February 2, 2007 meeting, Board of Trustees

### APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS

Resolution No. 2007-97

Synopsis: Approval of the Appointment and Reappointment of Investment Managers is proposed.

WHEREAS it is the policy of The Ohio State University to utilize the service of external Investment Managers to assist in the management of the University's Endowment Fund; and

WHEREAS the Investments Committee of the Board of Trustees periodically reviews the results obtained by the external Investment Managers and the amount of funds assigned to each of them; and

WHEREAS it is prudent investment policy to adjust the mix and amounts of money assigned to external Investment Managers as economic conditions and performance change; and

WHEREAS the number of external Investment Managers and the amount of funds assigned to them shall be determined by the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the Investments Committee of the Board of Trustees the following named external Investment Managers and the Office of the Treasurer shall be approved to manage Endowment Funds as follows:

	Market Value <u>As of 12/31/06</u>	<u>Changes</u>	Revised <u>Allocation</u>	% <u>Alloc.</u>	Target <u>Alloc.</u>
<u>Domestic Large Cap Equity</u>					
Fifth Third	\$44,420,317		\$44,420,317		
Huntington Value	\$42,563,221		\$42,563,221		
University Students	\$23,683,455		\$23,683,455		
State Street S&P 500 Index	<u>\$272,998,917</u>		<u>\$272,998,917</u>		
	\$383,665,910	0	\$383,665,910	17%	10%
<u>Domestic Mid Cap Equity</u>					
Meeder 100	\$105,877,729		\$105,877,729		
Meeder Enhanced	\$43,221,247		\$43,221,247		
Nicholas Applegate	\$59,471,678		\$59,471,678		
State Street Extended Index	<u>\$113,064,192</u>		<u>\$113,064,192</u>		
	\$321,634,846	0	\$321,634,846	15%	10%
<u>Domestic Small Cap Equity</u>					
Bernzott Capital Advisors	\$31,224,769		\$31,224,769		
Diamond Hill	\$10,614,553		\$10,614,553		
G.W. Capital, Inc.	\$13,114,231		\$13,114,231		
Hoover Investment Management	\$30,552,519		\$30,552,519		
Independence Investments	\$31,001,418		\$31,001,418		
Nicholas Applegate	\$82,714,644		\$82,714,644		
Opus Capital Management	\$29,483,818		\$29,483,818		
State Street Value Index	\$48,057,080		\$48,057,080		
State Street Russell 2000 Index	<u>\$98,052,269</u>		<u>\$98,052,269</u>		
	\$374,815,301	0	\$374,815,301	17%	10%
<u>International Equity</u>					
BlackRock	\$56,291,536		\$56,291,536		
Freedom Capital Management	\$56,001,363		\$56,001,363		
LSV Asset Management	\$54,505,954		\$54,505,954		
Newgate Capital Management	\$34,108,486		\$34,108,486		
State Street Index	<u>\$154,372,900</u>		<u>\$154,372,900</u>		
	\$355,280,239	0	\$355,280,239	16%	25%



## February 1 and February 2, 2007 meeting, Board of Trustees

### Domestic Fixed Income

Cypress Asset Management	\$44,235,485		\$44,235,485		
Hughes Capital Management	\$40,510,758		\$40,510,758		
Huntington Trust	\$42,128,932		\$42,128,932		
JP Morgan	\$21,692,754		\$21,692,754		
State Street Index	<u>\$104,238,409</u>	<u>(30,000,000)</u>	<u>\$74,238,409</u>		
	\$252,806,338	(30,000,000)	\$222,806,338	10%	7%

### High Yield Fixed Income

Commonfund High Yield	\$35,727,002	(10,000,000)	\$25,727,002		
Delaware Investments	\$31,838,506		\$31,838,506		
Lehman Brothers	<u>\$31,758,154</u>		<u>\$31,758,154</u>		
	\$99,323,662	(10,000,000)	\$89,323,662	4%	3%

### International Fixed Income

Brandywine Asset Management	\$20,562,998		\$20,562,998		
JP Morgan Asset Management	\$21,665,492		\$21,665,492		
State Street World Govt Ex-US Idx	<u>\$20,872,977</u>		<u>\$20,872,977</u>		
	\$63,101,467	0	\$63,101,467	3%	3%

### Real Estate

Campus Partners	\$20,000,000		\$20,000,000		
<b>Carlyle Realty Partners V</b>	0	10,000,000	10,000,000	New	
Don Scott Airport	\$23,389,000		\$23,389,000		
Miscellaneous	\$13,698,000		\$13,698,000		
State Street Wilshire REIT Index	<u>\$87,939,341</u>		<u>\$87,939,341</u>		
	\$145,026,341	\$10,000,000	\$155,026,341	7%	8%

### Absolute Return Funds

Angelo Gordon		\$30,000,000	\$30,000,000		
Commonfund Hedged Investors	\$98,594,098	(30,000,000)	\$68,594,098		
Farallon	\$30,000,000		\$30,000,000		
GMO		\$15,000,000	\$15,000,000		
Golden Tree		\$20,000,000	\$20,000,000		
Ramius Fund	\$38,702,117		\$38,702,117		
Wellington	<u>\$25,000,000</u>		<u>\$25,000,000</u>		
	\$167,296,215	\$60,000,000	\$227,296,215	10%	14%

Commit <u>12/31/06</u>	Commit <u>2/2/07</u>	Market Value <u>12/31/06</u>	% <u>Alloc</u>	Target <u>Alloc</u>
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### Venture Capital/Private Equity

#### **1999 Funds:**

Commonfund Capital Partners 1999 (V/PE)	\$7,067,000	\$7,067,000	\$4,053,625	
Commonfund New Leaders(V/PE)	\$10,000,000	\$10,000,000	\$4,790,050	
Mesirow Partnership Fund I (V/PE)	\$10,000,000	\$10,000,000	\$6,137,040	

#### **2000 Funds:**

CID Seed Fund (V)	\$1,000,000	\$1,000,000	\$630,410	
EDF Ventures Seed Fund (V)	\$1,000,000	\$1,000,000	\$348,439	

#### **2001 Funds:**

Reservoir Venture Partners Fund I (V)	\$3,192,000	\$3,192,000	\$1,719,074	
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#### **2005 Funds:**

Commonfund International Partners V (V/PE)	\$10,000,000	\$10,000,000	\$1,755,474	
Commonfund Private Equity Partners VI (PE)	\$10,000,000	\$10,000,000	\$1,602,707	
Commonfund Venture Partners VII (V)	\$5,000,000	\$5,000,000	\$429,190	
Fort Washington Private Equity IV (V/PE)	\$5,000,000	\$5,000,000	\$2,200,077	
Mesirow Capital Partners IX (V/PE)	\$5,000,000	\$5,000,000	\$897,832	
Mesirow Partnership Fund III (V/PE)	\$5,000,000	\$5,000,000	\$655,127	

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### 2006 Funds:

Coller International Partners V, LP (V/PE)	\$10,000,000	\$10,000,000	\$500,000
Hellman & Friedman Capital Pts. VI (PE)	\$10,000,000	\$10,000,000	
Jordan Resolute Fund II (PE)	\$10,000,000	\$10,000,000	
M/C Venture Partners VI (V)	\$5,000,000	\$5,000,000	\$244,515
Onex Partners II (PE)	\$5,000,000	\$5,000,000	\$399,915
Providence VI (PE)	\$10,000,000	\$10,000,000	
Reservoir Venture Partners Fund II (V)	\$3,000,000	\$3,000,000	\$210,000
Stonehenge Opportunity Fund II (PE)	\$5,000,000	\$5,000,000	1,482,438

### 2007 Funds:

#### Oaktree Principal Opport.

IV (PE)	<u>0</u>	<u>\$10,000,000</u>		<b>new</b>	
	\$130,259,000	\$140,259,000	\$28,055,913	1%	7%

#### Natural Resources

Commonfund Natural Resources VII (PE)	\$5,000,000	\$5,000,000			
Quantum Energy Partners IV, LP (V)	<u>\$10,000,000</u>	<u>\$10,000,000</u>			
	\$15,000,000	\$15,000,000	0	0%	3%

Upon motion of Mr. McFerson, seconded by Mr. Shumate, the Board of Trustees adopted the foregoing resolution with eight affirmative votes, cast by Trustees Duncan, Hendricks, McFerson, Ong, Borrer, O'Dell, Shumate, and Fisher, and six abstentions cast by Trustees Cloyd, Davidson, Wexner, Hicks, Schottenstein, and Shackelford.

(See Appendix XXXVIII for background information, page 863.)

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## TRUSTEE GOVERNANCE BYLAW REVISIONS

Judge Duncan:

Members of the Board, I will now turn to the consideration of some issues regarding the election of the chair of this Board. With the increase in the number of trustees on the Board and for other good causes, it is obvious it is going to be necessary to elect a chair of our Board. I believe it was back in early December that I expressed the view that an election should take place as soon as practicable for the reason that it would be beneficial to our presidential search process and also helpful in the review, hopefully leading to the development of best practices in our governance procedures. Our bylaws currently do not provide for the election of officers and, as you all know, we have engaged the services of Dr. Richard Chait, a highly-regarded consultant, who is helping us with our review and reconsideration of governance policies and procedures, and bylaws.

There is a Committee in place to work in liaison with Dr. Chait and I have asked that Committee to deliberate and recommend procedures to address certain matters both procedurally and substantively. I will call on Karen Hendricks, the chair of the Chait Committee, for the results of her deliberations on a number of issues that are key to the process of electing a chair. I hope we can find a way to consider these items one at a time, so that we can all understand what we are doing.

## **February 1 and February 2, 2007 meeting, Board of Trustees**

Ms. Hendricks:

Thank you, Mr. Chairman. The Chait Committee met several times over the last month as a result of your request. We deliberated on a wide range of issues associated with Board leadership including, of course, the Board chair election, Board committees, and executive committee and their responsibilities. We also deliberated on the characteristics of the chair that we would consider.

I want today to focus solely on what we are coming back to the Board to recommend with regards to the election of the chair. We are assuming with the majority acceptance of these principles today, that we could ask the secretary to modify the bylaws to reflect these. With regard first to the eligibility for the position of chair of the Board, we are recommending that all voting members of the Board shall be eligible for election. With regard to the term of office of the chair, we are recommending that the chair shall be elected annually by the full Board for a one-year term and may be reelected to office for a period of up to three years. With regard to voting, we recommend that the concurrence of the majority of all voting members of the Board shall be necessary to elect the chair of the Board. With regard to voting, we recommend that the vote shall be done by secret ballot, and, for the year 2007–08, we recommend that the election of the chair would take place at the March meeting of the Board, the chair-elect will take office as chair immediately following adjournment of the May meeting of the Board. That concludes my report.

Judge Duncan:

Why don't we take these ad seriatim and first take the issue concerning a recommendation of eligibility, and that is that all voting members of the Board shall be eligible for election to the office of chair. I open the floor to comment on this issue.

Mr. McFerson:

Let me start. Are you asking that we vote on each of these issues one at a time?

Judge Duncan:

Yes.

Mr. McFerson:

I would make a motion to the effect that all Board members are eligible to be elected.

Mr. Hicks:

Second.

Judge Duncan:

Any discussion regarding the motion? Mr. Wexner --

Mr. Wexner:

I think it is hard to know if you are eligible, if you don't know what the term is.

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Judge Duncan:

Would the Board prefer to deal with the term first or not? Do I sense that?

Ms. Hendricks:

I think we probably need to deal with them together then. That is, the eligibility and the term.

Judge Duncan:

In my mind they are different issues. We can't do them together. We are going to stick with our script. We are going to vote on eligibility first. Any discussion regarding eligibility? If not, I will entertain a motion to ...

Mr. McFerson:

I already made a motion.

Judge Duncan:

It has been moved and seconded that the eligibility recommendation be accepted which is as follows: all voting members of the Board shall be eligible for election to the office of chair.

Mr. Wexner:

Would the maker of the motion accept an amendment that you have to be eligible to fulfill the term?

Mr. McFerson:

Well, we haven't gotten to the issue of term. Your first point was a good one, Les, a couple of minutes ago, but the Chair wants us to vote on these individually.

Mr. Schottenstein:

I guess that I would share the view -- irrespective of the merits of any of these -- logically, without knowing what the term is I think it is somewhat out of sequence to attempt to vote on eligibility first.

Judge Duncan:

Any other discussions on this issue? All in favor of the motion, please say yes; opposed, no? Motion carries.

Next item is term of office of the chair: the Board shall elect a chair who shall serve for one year and be eligible for re-election to office for a period of up to three years. First, is there any discussion on that recommendation?

Mr. Hicks:

I think we need a motion on the table to accept that, Mr. Chairman. So moved.

Mrs. Davidson:

Second.

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Judge Duncan:

Any discussion on the motion?

Mr. Shumate:

Mr. Chairman, I would like to offer an amendment to the motion.

Judge Duncan:

What is your amendment?

Mr. Shumate:

My amendment would be that the term be for two years and that the amendment I would be offering would be to have the recommendation read as follows: "The Board shall elect a chair who shall serve for two years and be eligible for re-election to the office for a period of up to three years." Also, Mr. Chairman, as a part of this amendment, I would like to offer the concept of an annual review and evaluation. I do believe the term of two years would allow for greater continuity as well as predictability of the office of chair. I believe that we are now at a stage in our development as a Board that that continuity would be beneficial and would allow for a culture of the Board to have the kind of term of office that could work in collaboration, collectively and collegially, with the president to allow us to focus upon the strategies that we have talked about and to allow us to begin to focus on being an active resource that would be helpful on these strategic issues, goals, and objectives that are aspirational for the University. That is my offer of an amendment.

Mr. Wexner:

Alex, is your intention for the Board to review the chair or the Board to review the chair and the Board? Are you looking for 360-degree feedback of the whole Board to itself?

Mr. Shumate:

At this time, the amendment that I am offering is in regard to the election of the chair. I believe that is what we are talking about -- the election of the chair. As you know, we have been working with Dr. Chait to talk about various procedures along the lines of a nominating committee, etc., so that we could have a process that, I believe, would be thorough and a process that would be appropriate, but that the predictability of a two-year term is really what I am offering for our consideration.

Mr. Borrer:

Can I ask for a clarification? Are we voting today on how we are drafting the amendment to bring back to our March meeting or are we voting finally?

Judge Duncan:

What I would hope to have happen today, Mr. Borrer, is that we vote on this with the idea that the result of the vote would be incorporated into a resolution which would be confirmed by the Board at the next meeting.

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Mr. Borrer:

Okay, because the discussion that Alex is having -- about how a review would be determined -- would be very important to my support of a two-year term. What he is talking about, I think, is critical, so I want to get another bite of the apple, if you will, if this resolution amendment passes. Would that be --

Judge Duncan:

I am sure it is awkward, but it was my hope that we would be able to finalize something that could be transmitted into the bylaws word-for-word at the next meeting.

Mr. O'Dell:

Is it an appropriate time for me to make a comment regarding Mr. Shumate's motion? I think everybody on the Chait Committee felt that two years is preferable to one as a stand alone concept, but there are many other issues involved. When everything was taken into account, certainly the majority of the Chait Committee came to the conclusion while that is, in fact, a good thing, we didn't want to exclude anyone from having the opportunity to be chair for that reason alone. That is how we came to our conclusion.

Mr. Shumate:

I understand and I think that is why there is the confusion on the eligibility. Just to be clear, in the terms of my position, I believe that all members of the Board should be eligible at this point, but, in terms of the term of office, I am strongly recommending a two-year term. I do want to be clear that I do appreciate the hard work and effort of the Chait Committee and respect that work. I am asking that in light of time to reflect and time to think about the issues that we face as a Board going forward, that the clarity of a two-year term is important. That is why I am offering the amendment.

Mr. Wexner:

I support your amendment, Alex.

Judge Duncan:

There is a second. Let's continue the discussion, but we do have a second to the amendment.

Mrs. Davidson:

Alex, just clarify the second part of your amendment, because I think that is something that Doug is asking about.

Mr. Borrer:

Somebody circulated a draft here. I don't know who circulated it, but that was the next thing I was going to mention.

Dr. Frantz:

Do you want me to read it?

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Judge Duncan:

Does this encompass what you have in mind?

Mr. Shumate:

It encompasses a sense. I understand that the specific language of the amendment and the revision is important. I am offering the concept. I understand there are Board members who do desire to have an evaluation and review process in place. This offers the ability of the proposed Committee on Trusteeship, after consulting with the chair and members of the Board, to recommend to the Board whether or not an election is to be held that year. I am certainly comfortable with that process.

Mr. Hicks:

First, let me say that I think the sense that you are trying to get at with the amendment to the resolution on the table make sense in terms of continuity and predictability. I think that we all believe that some ongoing evaluation, not only for the chair but for the full Board, is going to be an important thing and that is something the Chait Committee talked a great deal about. I think that it is a pretty significant step for this Board to elect a chair differently than we have and I think it is an appropriate step. I, personally, think that it is highly likely that regardless of what the term of office is, so long as someone can continue to serve more than one term, the next chair will likely serve two years and maybe three. And I think that will probably set the pattern, practically speaking, for what this group will do. I think that would be appropriate as well.

Having said that, I do support the one-year term with the option for renewal two times for a couple of reasons. Number one, I think that it maintains our ability for continuity. By selecting a one-year chair with the ability to succeed him or herself two times, we have maintained our ability for continuity which, I think, is very important. Number two, I think that it ensures our maximum flexibility. This Board is changing, the University is changing, nobody can predict what the next Board chair's relationship with the yet unselected president is going to be, nobody can predict what goes on in our personal or professional lives, and so I think that ensuring maximum flexibility in this time is a pretty important factor for my consideration.

Finally, I think a one-year term with the ability to renew two times ensures the most accountability, not just accountability for the chair to the Board, but equally as important the accountability of the Board to the chair. I think it is important that we as a Board on a regular basis, on an annual basis, express our confidence in our chair -- publicly and to the University community -- that the individual is doing a good job, that they have the willingness to serve, that they are effective in their relationship with all of us, and effective in their relationship with the president and the rest of the community. I think because of where we are currently and some of the uncertainties that we have ahead of us from a challenge standpoint -- in terms of maintaining continuity, ensuring maximum flexibility, and really guaranteeing accountability -- a one-year term with the ability to succeed two times makes the most sense. That, in my opinion, is the sum of a lot of discussion the Chait Committee had. I think we looked at and talked about a lot of scenarios, we looked at the literature out there in terms of what other benchmark universities do, and I think at the end of the day the one-year term with the ability to succeed for two times probably gives us the best option right now. So that is what I would be supportive of.

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Dr. Cloyd:

I support the amendment that Alex has offered and I think there is a great value if we really bring clarity around the expectation of a two-year term for the individuals that would want to be considered to be chair. It is a very significant commitment of time that needs to be made to the University. While the terminology on one-year leaves it open, I am not sure it really clarifies the level of expectation that someone should have about, do they really want to serve? I mean they may want to, but can they adjust their own life so they can make that kind of commitment. For that reason, besides the ones that Alex mentioned, I would be very supportive of the two-year term. I also like the idea that there is this checkpoint and some kind of an evaluation to where the Board could be coming back and making sure that they would be happy with the person serving a longer term.

Mr. Schottenstein:

Thank you, Mr. Chairman. I agree with Dr. Cloyd's comments. This is a tough issue from the standpoint that it is one that I don't think anyone thinks is clearly one way or the other. All of the points made by Brian and others around the table have merit and can be argued. But in balance, I think that -- and you said it Wally -- on a stand alone basis -- and that is how I look at this -- the University is best served with the two-year term, with a check at the end of the first year. I like the idea of the Committee on Trusteeship taking ownership of that check, in consultation with the Board. For a lot of reasons, and not the least of which is Dr. Cloyd's comment about the commitment -- the true commitment to take this on -- and also the advantage and the clarity it gives in connection with our search process, I support the amendment. I do have a question. If the amendment is passed, I am not quite certain how you reconcile it with the first item that we voted on. I may be the only one that doesn't understand that, which is why I asked it before. Maybe we can deal with that afterwards. I do support the amendment and I do so advisedly, but, I believe, it is in the best interest of the University.

Ambassador Ong:

We are probably talking about a relatively subtle difference here, but I would like to associate myself with Brian's comments. I think the Chait Committee members had a number of meetings over a period of a month or more to think about this subject and to consider alternatives. The answer that we came up with is the one that is on the floor as presented by the chair of our Committee. I strongly feel that it would be the right way to go and it is consistent certainly with the practice of many and, if indeed my recollection is correct, most of our peer institutions, and I think it is very suitable to the circumstances. The likely result of this or the amendment may well be the same. In other words, a person elected for one-year is likely to be re-elected for a second year and perhaps re-elected for a third year, assuming that he or she continues to enjoy the confidence of the Board. I think that the Board in a fiduciary manner really needs to maintain the authority to formally review by the process of re-election the relationship between the Board and the sitting chair.

Mr. McFerson:

While everyone is offering comments, I will offer one, too. As one who sat on that Chait Committee, I believe the recommendation commentates the first matter we voted on, which is everyone is eligible to serve. I think it provides all of the flexibility to do what Alex has proposed in his



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amendment, so I intend to vote against the amendment and for the proposed Chait Committee recommendation.

Judge Duncan:

Anyone else, any further comment?

Mr. Hicks:

Offer the question, please?

Judge Duncan:

The question is to vote on the amendment. All of those in favor please say yes, and those opposed, no? A show of hands please, all of those in favor of the motion of the amendment, and now those opposed.

Mr. McFerson:

Have you voted?

Judge Duncan:

That's a question – whether or not I should vote or do I break ties? I'm not sure what the parliamentary procedure is.

Mr. Shumate:

What is the vote?

Dr. Frantz:

Seven for the amendment, six against, and the Chair did not vote.

Judge Duncan:

The motion carries. Next recommendation is --

Dr. Frantz:

The amendment essentially invalidates the motion itself, correct?

Mrs. Davidson:

We have amended the amendment and you now have to go back and vote for the initial motion, because you have amended the motion.

Dr. Frantz:

It has now been amended, so now we have to vote for the motion as amended.

Mr. Borrer:

I just want to make clear that we are voting on the wording that is here on this paper.

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Judge Duncan:

That is my understanding.

Mr. Shumate:

Correct.

Mr. Borrer:

With the Committee on Trusteeship?

Mr. Shumate:

Correct.

Mr. McFerson:

May I ask something of the former speaker of the House of Representatives, as a parliamentarian -- this is a first for me on a board to do something like this -- describe what it is that we are doing next.

Mrs. Davidson:

There was an amendment offered on the language that is before you in the Chait recommendation. There was a move to amend that. So we voted on that amendment, it passed, and now we have to go back and vote on the original motion as amended.

Dr. Frantz:

So you are essentially voting on the same matter you just voted on.

Ambassador Ong:

What was the vote to allow the amendment?

Dr. Frantz:

The vote was seven to six.

Ambassador Ong:

We have of course one trustee who is not here.

Mr. McFerson:

And one who didn't vote, the Chair.

Mr. Shumate:

Call the question, Mr. Chairman.

Judge Duncan:

Yes, we are now voting on the amended motion. All in favor....

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Mr. Hicks:

I am confused a little bit on what we are voting on substantively. I understand procedurally how we are doing this, but substantively. I think Mr. Borrer just asked this -- are we voting on the language that says that we will have the Committee on Trusteeship, after consultation with the chair, and conduct evaluations?

Judge Duncan:

As I understand it, that is your motion?

Mr. Shumate:

Yes.

Judge Duncan:

We all agree to that.

Ms. Hendricks:

What about eligibility?

Mrs. Davidson:

That is not on the table at this time, it already passed earlier.

Judge Duncan:

The question was called. All in favor show by raising your hands please, and all those opposed?

Dr. Frantz:

The motion passes eight to five.

Judge Duncan:

Motion carries. Let's move on to the next item which is the voting. The recommendation is: that voting for the chair shall be by the concurrence of a majority of all the voting members of the Board. That shall be necessary to elect the chair of the Board.

Mr. McFerson:

So moved.

Mr. Shumate:

Second.

Judge Duncan:

Discussion? If not, all in favor please say yes, those opposed?

The next item is that the vote shall be by secret ballot. Do I hear a motion?

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Dr. Cloyd:

So moved.

Ambassador Ong:

Second.

Judge Duncan:

Discussion first? All of those in favor please say yes. Those opposed? Motion carries.

The last item reads as follows: The election of the chair for 2007-08 will take place at the March meeting of the Board. The chair-elect will take office as chair immediately following the adjournment of the May meeting of the Board.

Ambassador Ong:

So moved.

Mr. Shumate:

Second.

Judge Duncan:

Discussion on this motion? All in favor of the motion please say yes. Those opposed? Motion carries.

It is my fondest expectations now that we are done with this for today. Are there any further matters?

Mr. Wexner:

I didn't speak to this at any time during this discussion, so it is past the point. The trustees should know that about twelve years ago the same thing was discussed in consultation, I believe, with two or three Big Ten university presidents retired. We asked them about their judgment on the same issue we just voted on. And three years ago, the unanimous decision of the Board was that the term should be for three years and only three-year term members should be eligible. The reason it never happened was that the Board then could not find a leader who had three years of eligibility. It is kind of funny -- just in history -- what you are talking about is a twenty-year reflection on this issue. I am not arguing for the three years, I just think the discussion and the differences of opinion are very healthy. I have said that privately and I would say it publicly in this meeting, I think the Board being able to think and discuss out loud and to know what opinions are, is very healthy for the University. I am delighted to have the thinking.

Judge Duncan:

Finally, it should be noted that as chair I have appointed a six-person ad hoc Nominating Committee, which will now go on with this work and proceed with the process of nominating a candidate for chair. I hope I can speak

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briefly with the members of that committee to schedule something immediately after this meeting.

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Thereupon the Board adjourned to meet Friday, March 2, 2007, at The Ohio State University, Longaberger Alumni House, Columbus, Ohio.

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Attest:

Robert M. Duncan  
Chairman

David O. Frantz  
Secretary



**COMBINING UNIVERSITY HOSPITALS AND UNIVERSITY HOSPITALS EAST  
INTO A SINGLE PROVIDER**

University Hospitals East was acquired by The Ohio State University Medical Center in April 1999. It has been operated as a separate hospital with its own medical staff, business functions, and Medicare and Medicaid provider numbers. The Medical Center leadership now wishes to merge University Hospitals East into University Hospitals effective the beginning of the next fiscal year, July 1, 2007. The result of the merger will be one hospital that operates as an integrated unit, delivering and billing for patient care as a single provider. The merger will create increased opportunities to support the Medical Center's academic mission, as well as operational and financial efficiencies between the two organizations around patient care and transfers, physician staffing, information system processes, and patient billing. Changes to the University Hospitals *Medical Staff Bylaws* have been proposed and approved by the Medical Staff that will allow the integration of East Medical Staff members into the University Hospitals Medical Staff. Other changes to integrate the business and information systems will be completed by July 1, 2007.

IMPACT STATEMENT  
THE OHIO STATE UNIVERSITY HOSPITALS  
MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS

AMENDMENTS APPROVED BY MEDICAL STAFF  
ADMINISTRATIVE COMMITTEE (NOVEMBER 8, 2006 AND DECEMBER 20,  
2006),  
MEDICAL STAFF (NOVEMBER 30, 2006 and JANUARY 24, 2007)  
AND THE UNIVERSITY HOSPITALS BOARD (JANUARY 25, 2007)

I. MEDICAL STAFF BYLAWS

- A. Created a Community Affiliate category of the Medical Staff. This category was created so that physicians and other practitioners from University Hospitals East who do not have faculty appointments can join the University Hospital Medical Staff as University Hospitals East merges into University Hospitals to become a single provider.
- B. Provided Community Affiliate medical staff representation on MSAC through a representative jointly elected by Courtesy Staff and Community Affiliate staff.
- C. Updated requirements for appointment to include criminal background checks, drug screening and HIPAA education.
- D. Updated divisions and departments to reflect changes that have occurred. Deleted Urologic Surgery as a division under Surgery and made it a Department. Deleted Allergy as a division under Internal Medicine and made it part of the Pulmonary Division. Deleted the Community Internal Medicine division from Internal Medicine.
- E. Added language indicating that Bylaw revisions are effective after being approved by the University Board of Trustees.
- F. Updated names of Committees.

II. MEDICAL STAFF RULES AND REGULATIONS

- A. Changed requirement for authenticating verbal orders to meet new regulations. Must now be authenticated within 48 hours.
- B. Correct several typographical errors.



Amended Medical Staff Bylaws

3335-43-02 Purpose.

The purpose of the medical staff of the Ohio state university hospitals shall be:

- (A) To strive to maintain quality standards of patient care for all patients admitted to the Ohio state university hospitals, consistent with an active teaching environment, realizing that the care and treatment of the individual patient is the medical responsibility of the member of the honorary, attending, ~~and~~ courtesy, and community affiliate medical staff to whose care the patient is admitted or transferred.

(B) through (D) unchanged.

3335-43-03 Patients.

- (A) The continuous care and treatment of individual patients is the medical responsibility of the member of the honorary, attending, ~~and~~ courtesy, and community affiliate medical staff to whose care the patient is admitted or transferred within the Ohio state university hospitals and to licensed health care professionals being granted clinical privileges under these bylaws.

(B) and (C) unchanged.

3335-43-04 Membership.

- (A) Qualifications.

(1) through (4) unchanged.

- (5) ~~An~~ Except as otherwise provided for herein, an applicant for membership shall at the time of appointment or reappointment, be and remain board certified in a medical specialty approved by at least one of the American board of medical specialties, or other applicable certifying boards, including certifying boards if applicable for doctors of osteopathy, podiatry, psychology, and dentistry. All applicants must be and remain certified within the specific areas for which they have requested clinical privileges. An applicant who is an active candidate for board certification at the time of initial appointment or reappointment shall have three years from the date eligibility was first attained to become board certified. Board certification is a continuing requirement. Whenever recertification is required by a sub-specialty board approved herein, applicants for appointment or reappointment shall meet the terms of recertification established by their respective sub-specialty board or boards. Failure to meet or maintain board certification shall result in immediate termination of membership on the medical staff of the Ohio state university hospitals. This requirement may be waived by action of the medical staff administrative committee, upon the recommendation of the credentials committee or the medical director of the Ohio state university hospitals, or as specifically provided in these bylaws.

(6) Unchanged.

- (B) Application for membership.

Initial application for medical staff membership for all categories of the medical staff shall be made by the applicant to the chief of the clinical department on forms prescribed by the medical staff administrative

committee stating the qualifications and references of the applicant and giving an account of the applicant's current licensure, relevant professional training and experience, current competence and ability to perform the clinical privileges requested. All applications for appointment must specify the clinical privileges requested. Applications may be made only if the applicant meets the qualifications outlined in paragraph (A) of this rule. The application shall include written statements of the applicant to abide by the bylaws, rules and regulations of the medical staff, the university hospitals board, and the board of trustees of the Ohio state university. The applicant shall produce a government-issued photo identification to verify his/her identity pursuant to hospital/medical staff policy. The applicant shall agree that membership on the medical staff requires participation in the peer review process of evaluating credentials, medical staff membership and clinical privileges, and that a condition for membership requires mutual covenants between all members of the medical staff to release one another from civil liability in this review process as long as the peer review is not conducted in bad faith, with malice, or without reasonable effort to ascertain the accuracy of information being disclosed or relied upon. In addition for community affiliate medical staff in order to optimize the clinical organization resource utilization and planning of the Ohio state university hospitals, the chief of the clinical department may require that the community affiliate medical staff member identify categories of diagnosis, extent of anticipated patient activity, and service areas to be utilized and may prepare a statement of participation for the applicant, which shall be made a part of the application for appointment. A separate record shall be maintained for each applicant requesting appointment to the medical staff.

(C) and (D) unchanged.

(E) Procedure for appointment.

(1) and (2) unchanged.

(3) An application for membership on the medical staff shall be considered complete when all the information requested on the application form is provided, the application is signed by the applicant and the information is verified. A completed application must contain:

(a) through (d) unchanged.

(e) Verification by primary source documentation of:

(i) Unchanged.

(ii) Faculty appointment (not required for community affiliate category);

(iii) through (v) unchanged.

(vi) Board certification or active candidacy for board certification (may not be required for community affiliate category).

(f) through (h) unchanged.

(i) Completion of criminal history check by Ohio state university medical center security department.

(j) Completion of Ohio state university medical center drug testing.

(k) Verification of completion of HIPAA education program.

- (4) The chief of the applicable clinical department shall be responsible for investigating and verifying the character, qualifications, and professional standing of the applicant by making inquiry of the primary source of such information and shall within thirty days of receipt of the complete application, submit a report of those findings along with a recommendation on membership and clinical privileges to the medical director of the Ohio state university hospitals.

(5) through (8) unchanged.

(F) Procedure for reappointment.

- (1) At least ninety days prior to the end of the medical staff member's appointment period, the chief of the clinical department shall provide each medical staff member with an application for reappointment to the medical staff on forms prescribed by the medical staff administrative committee. The reappointment application shall include all information necessary to update and evaluate the qualifications of the medical staff member. The chief of the clinical department shall review the information available on each medical staff member, and the chief of the clinical department shall make recommendations regarding reappointment to the medical staff and for granting clinical privileges for the ensuing appointment period. The chief of the clinical department's recommendation shall be transmitted in writing along with the signed and completed reappointment forms to the medical director at least forty-five days prior to the end of the medical staff member's appointment period. The terms of paragraphs (A), (B), (C), (D), (E)(1), and (E)(2) of this rule shall apply to all applicants for reappointment. Only completed applications for reappointment shall be considered by the credentials committee. An application for reappointment is complete when all the information requested on the reappointment application form is provided, the reappointment form is signed by the applicant, and the information is verified. A completed reappointment application form must contain:

(a) through (c) unchanged.

(d) Verification of primary source documentation of:

- (i) Unchanged.
- (ii) Faculty appointment (not required for community affiliate category);
- (iii) Unchanged.
- (iv) Unchanged.
- (v) Board certification, re-certification, or continued active candidacy for certification (may not be required for community affiliate category).

(e) through (m) unchanged.

Balance unchanged.

3335-43-07 Categories of the medical staff.

The medical staff of the Ohio state university hospitals shall be divided into ~~four~~ five categories: honorary medical staff; attending medical staff; courtesy medical staff; community affiliate medical staff; and limited staff.

(A) through (B) unchanged.

(C) Courtesy medical staff.

(1) Unchanged.

(2) Prerogatives:

The courtesy medical staff may:

(a) and (b) unchanged.

(c) Attend meetings as a member of the medical staff and the clinical department of which he or she is a member and any medical staff or the Ohio state university hospitals education programs. The courtesy medical staff member may vote for and be eligible to hold a position on the medical staff administrative committee reserved for the representative of the courtesy or community affiliate medical staff as set forth in paragraph (D) of rule 3335-43-09 and paragraph (D) of rule 3335-43-10 of the Administrative Code. Members of the courtesy medical staff may serve on non-elected medical staff committees as provided by these bylaws.

(3) Unchanged.

(D) Unchanged.

(E) Community affiliate medical staff.

(1) Qualifications: Community affiliate medical staff shall consist of those doctors of medicine, osteopathic medicine, dentists and practitioners of podiatry or psychology who:

(a) Do not qualify for an attending medical staff appointment;

(b) Are either current members of the Ohio state university hospitals east medical staff or community affiliate members seeking reappointment; and

(c) Satisfy the requirements and qualifications set forth in rule 3335-43-04 of the Administrative Code and are appointed to the community affiliate medical staff pursuant to these bylaws.

A community affiliate medical staff member shall meet and maintain the same standards for quality patient care applicable to all members of the medical staff. Community affiliate medical staff members shall be subject to these bylaws and the rules and regulations of the medical staff except as provided in this paragraph. The community affiliate medical staff member shall not be required to obtain appointment to the faculty of the Ohio

state university. The community affiliate medical staff member shall not be subject to the requirement for board certification within the community affiliate medical staff member's respective area of practice if that requirement was waived when he or she became a member of the Ohio state university east medical staff. Teaching and research accomplishments shall not be required in determining the qualifications of applicants to this category of the medical staff.

To optimize the clinical organization, resource utilization, and planning of the hospitals, the chief of the clinical department may require that the applicant for community affiliate medical staff membership to identify categories of diagnosis, extent of anticipated patient activity, and service areas to be utilized and may prepare a statement of participation for the applicant which will be made a part of the application for appointment.

(2) Prerogatives:

A community affiliate medical staff member may:

- (a) Admit patients consistent with the limitations of bed and service allocations established by the medical director and approved by the medical staff administrative committee, and the university hospitals board. At times when inpatient beds or other resources are in short supply, patient admissions of community affiliate medical staff shall be subordinate to those of attending medical staff. If, in the judgment of the medical director, a balanced teaching program is jeopardized, following consultation with the chief of the clinical department, and with the concurrence of a majority of the medical staff administrative committee, the medical director may restrict admissions of members of the community affiliate medical staff. Patients admitted under the care of the community affiliate medical staff will not be required to participate in the educational mission of the Ohio state university hospitals. Ordinarily, no coverage by the limited medical staff will be afforded, with the exception of emergency medical services.
- (b) Exercise the clinical privileges granted, have access to all medical records, and be entitled to utilize the facilities of the Ohio state university hospitals incidental to the clinical privileges granted pursuant to these bylaws.
- (c) Attend teaching and educational conferences approved by the Ohio state university, attend medical staff social functions, and participate as providers in the Ohio state university or the Ohio state university hospitals affiliated health plans.

(3) Responsibilities:

Each member of the community affiliate medical staff shall:

- (a) Participate in the management of and represent the interests of the clinical department for which he or she is granted clinical privileges. The community affiliate medical staff member shall comply with all provisions of these bylaws and rules and regulations of the medical

staff, unless expressly exempted under this rule. The community affiliate medical staff member shall comply with all the Ohio state university hospitals' policies and accreditation standards, and shall be subject to the same quality evaluation, monitoring, and resource management requirements as other members of the medical staff.

- (b) Be responsible within the member's area of professional competence for the continuous care and supervision of each patient in the Ohio state university hospitals for whom the member is providing care, or arrange a suitable alternative for such care and supervision.
- (c) Not be eligible to vote on medical staff policies, rules and regulations, or bylaws. The community affiliate medical staff member may vote for and be eligible to hold the position on the medical staff administrative committee reserved for the representative of the courtesy and community affiliate medical staff as set forth in paragraph (D) of rule 3335-43-09 and paragraph (D) of rule 3335-43-10 of the Administrative Code. Members of the community affiliate medical staff may serve on non-elected medical staff committees as provided by these bylaws.
- (d) Be subject to payment of medical staff dues or assessments as approved by the medical staff.

~~(E)(F)~~ Clinical privileges.

(1) through (9) unchanged.

(10) Disaster privileges.

Disaster privileges may be granted in order to provide voluntary services during a local, state, or national disaster in accordance with hospital/medical staff policy and only when the following two conditions are present: the emergency management plan has been activated and the hospital is unable to meet immediate patient needs. Such privileges may be granted by the medical director or his or her designee to fully licensed, qualified individuals who at the time of the disaster are not members of the medical staff in order to provide voluntary services during a local, state, or national disaster in accordance with hospital/medical staff policy. The medical director may seek the recommendation of the chief of the clinical department. These privileges will be limited in scope and will terminate once the disaster situation subsides or at the discretion of the medical director.

(11) Unchanged.

3335-43-08 Organization of the medical staff.

(A) Each member of the attending medical, courtesy medical, community affiliate medical, limited, and honorary medical staff shall be assigned to a clinical department and division, if applicable, upon the recommendation of the applicable chief of the clinical department.

(B) Names of clinical departments and divisions.

(1) through (3) unchanged.

- (4) Internal medicine. The following divisions are designated:

Allergy  
Cardiovascular medicine  
~~Community internal medicine~~  
Dermatology  
Endocrinology and metabolism  
Digestive health  
General medicine  
Hematology and oncology  
Hospital medicine  
Human genetics  
Infectious diseases  
Nephrology  
Pulmonary, allergy, critical care and sleep  
medicine  
Rheumatology – immunology

(5) through (16) unchanged.

- (17) Surgery. The following divisions are designated:

General and gastrointestinal surgery  
Pediatric surgery  
Plastic surgery  
Surgical oncology  
Thoracic and cardiovascular surgery  
Transplant  
Trauma, critical care and burn  
~~Urologic surgery~~  
Vascular

(18) Urology.

~~(19)-(48)~~ Unchanged.

Balance unchanged.

3335-43-09 Elected officers of the medical staff of the Ohio state  
university hospitals.

- (A) Chief of staff.

The chief of staff shall:

(1) through (5) unchanged.

- (6) Make medical staff committee appointments jointly with the medical director and chief of staff-elect in consultation with the executive director of the Ohio state university hospitals and with the approval of the ~~respective dean of the college of medicine and public health or dentistry and~~ university hospitals board, with the exception of the members of the medical staff administrative committee and nominating committee.

- (7) Fill any vacancy which occurs in the position of courtesy or community affiliate medical staff representative to the medical staff administrative committee.

(8) and (9) unchanged.

(B) and (C) unchanged.

(D) Representative of the courtesy or community affiliate medical staff.

- (1) There shall be a member of the courtesy or community affiliate medical staff, elected solely by courtesy and community affiliate medical staff members, who shall serve on the medical staff administrative committee as provided by paragraph (D) of rule 3335-43-10 of the Administrative Code.
- (2) The courtesy or community affiliate medical staff member representative shall be selected from written or electronic nominations made by members of the courtesy and community affiliate medical staff. Nominees shall be submitted in writing or electronically to all members of the courtesy and community affiliate medical staff for voting no later than March first of the election year.
- (3) Unchanged.
- (4) Any vacancy shall be filled from among the membership of the courtesy and community affiliate medical staff by the chief of staff. The member so appointed shall serve until the next scheduled election, unless removed by a majority vote upon written ballot of the courtesy medical staff. Grounds for removal shall be the same as referenced in paragraph (F)(6) of this rule.
- (5) No other provisions of this rule shall apply to the courtesy medical staff representative. Members of the courtesy and the community affiliate medical staff shall not be eligible to vote for or hold other elected office in the medical staff organization.

(E) through (G) unchanged.

(H) Vacancies in office.

- (1) and (2) unchanged.
- (3) Vacancies in the at-large representatives and courtesy and the community affiliate medical staff positions shall be filled by appointment by the chief of staff.

3335-43-10 Administration of the medical staff of the Ohio state university hospitals.

(A) Unchanged.

(B) Medical staff committees.

- (1) Appointments:

Appointments to all medical staff committees except the medical staff administrative committee, ~~and the nominating committee~~ and all health system committees, shall be made jointly by the chief of staff, chief of staff-elect, and the medical director ~~with consultation with the executive director of the Ohio state university hospitals.~~ Representatives from the Ohio state university hospitals to health system committees shall be appointed jointly by the chief medical officer of the health system and the medical director. Unless otherwise provided by these bylaws, all appointments to medical staff committees shall be for one year and may be renewed. The chief of staff, medical director, and the executive director of the



Ohio state university hospitals may serve on any medical staff committee as an ex-officio member without vote.

(2) Unchanged.

(C) Medical staff administrative committee:

(1) Composition.

(a) This committee shall consist of the following voting members: chief of staff, chief of staff-elect, chiefs of the clinical departments, three medical staff representatives elected at large, one medical courtesy or the community affiliate medical staff representative, the medical director, and the executive director of the Ohio state university hospitals. Additional members may be appointed to the medical staff administrative committee at the recommendation of the dean or the chief medical officer of the OSU health system subject to the approval of the medical staff administrative committee and subject to review/renewal on a yearly basis. The medical director shall be the chairperson and the chief of staff shall be vice-chairperson.

(b) and (c) unchanged.

(2) through (4) unchanged.

(D) through (H) unchanged.

(I) Medical information ~~management~~ committee.

Balance unchanged.

(J) Leadership council for clinical value enhancement.

The leadership council shall consist of members appointed in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code, and shall include the senior vice president for health sciences and dean of the college of medicine and public health and the chairperson of the professional affairs education and research committee of the university hospitals board as ex-officio members without a vote. The medical director shall be the chairperson of the leadership council.

(1) Unchanged.

(2) Clinical resource ~~management~~ evaluation policy group.

(a) and (b) unchanged.

(3) Unchanged.

(4) ~~Practice~~ Clinical practice guidelines policy group.

(a) and (b) unchanged.

The bylaws committee shall review the bylaws and rules and regulations as need arises but not less than every two years. This committee shall recommend changes to the medical staff administrative committee.

These bylaws may be amended after notice is given at a meeting of the medical staff administrative committee, and shall require two-thirds vote of the members of the medical staff administrative committee present for approval and submission to the medical staff for ratification. Ratification of an amendment shall be by a plurality of those medical staff members voting through written ballot. When an amendment is approved by the medical staff administrative committee and ratified by the medical staff it shall be forwarded in successive order to the following: the Ohio state university hospitals board which and the board of trustees of the Ohio state university. Each of the foregoing reviewers shall accept or reject the requested amendment. The amendment shall become effective when accepted by the board of trustees of the Ohio state university in the form ratified by the medical staff. Significant changes to these bylaws shall be reported in writing to the medical staff and other individuals who have clinical privileges. Neither the medical staff, nor the Ohio state university hospitals board may unilaterally amend these medical staff bylaws. The medical staff bylaws, rules and regulations, and policies and the university hospitals board bylaws shall not conflict.

#### Amended Rules and Regulations

84-05 Privileges for giving orders.

(A) through (C) unchanged.

(D) Telephone and verbal orders.

Telephone and verbal orders may be given by the responsible attending physician, dentist, podiatrist, psychologist, member of the limited staff, or other licensed healthcare professional with appropriate clinical privileges only to health care providers who have been approved in writing by title or category by the medical director, the executive director of the hospitals, and each chief of the clinical service where they shall exercise clinical privileges, and only where said health care provider is exercising clinical privileges which have been approved and delineated by job description for employees of the hospitals, or by the customary medical staff credentialing process when the provider is not an employee of the hospitals. Lists of the approved titles or categories of providers shall be maintained by the medical director. The job description or delineated privileges for each provider must indicate each provider's authority to receive telephone or verbal orders, including but not limited to the authority to receive orders for medications. The order is to be recorded and authenticated by the approved health care provider to whom it is given as "verbal order by," or "V. O. or T. O. by," recording the licensed healthcare practitioner's name and the time of the order. All telephone and verbal orders for D.E.A. schedule II controlled substances, patient seclusion, or patient restraint must be authenticated within one day by the licensed physician, dentist, podiatrist, psychologist, limited staff member, or other licensed healthcare professional with appropriate clinical privileges. All other verbal and telephone orders must be authenticated within ~~twenty-one days of discharge or visit~~ forty-eight (48) hours by a licensed physician, dentist, podiatrist, psychologist, limited staff member, or other licensed healthcare professional with appropriate privileges.

Balance unchanged.

84-12 Medical information committee.

- (A) Unchanged.
- (B) Each member of the medical staff shall conform to the policies established by the medical information committee, including the following:
  - (1) through (3) unchanged.
  - (4) Discharges.
    - (a) through (f) unchanged.
    - (g) All medical records must be completed by the attending medical staff member or, when applicable, by the attending medical staff member's designee within twenty-one (21) days of discharge of the patient. Attending medical staff members shall be notified prior to suspension for all incomplete records. After notification, attending medical staff members shall have their admitting and operative scheduling privileges suspended until all records are completed. A list of delinquent incomplete records, by attending medical staff members, shall be prepared and distributed by the medical information management department once each week. If an attempt is made by the attending medical staff member, or the attending medical staff member's designee when applicable, to complete the record, and the record is not available, the record shall not be counted against the attending medical staff member.
    - (h) Records which are incomplete, more than twenty-one (21) days after discharge are defined as delinquent.
  - (5) through (11) unchanged.



(APPENDIX XXXII)

IMPACT STATEMENT  
THE OHIO STATE UNIVERSITY HOSPITALS EAST  
MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS

AMENDMENTS APPROVED BY MEDICAL STAFF  
EXECUTIVE COMMITTEE (SEPTEMBER 18, 2006)  
AND THE GENERAL MEDICAL STAFF (NOVEMBER 9, 2006) AND THE  
UNIVERSITY HOSPITALS BOARD (JANUARY 25, 2007)

I. MEDICAL STAFF BYLAWS

- A. Added language permitting podiatrists to admit podiatric patients as permitted by state law.
- B. Clarified conditions under which Disaster Privileges may be granted.

II. MEDICAL STAFF RULES AND REGULATIONS

- A. Removed requirement that podiatrist be under medical doctor supervision when writing patient orders for podiatric patients.

3335-45-06 Categories of the medical staff.

(A) through (C) unchanged.

(D) The active medical staff.

(1) Unchanged.

(2) Members of the active medical staff:

(a) Have full admitting privileges if they are practitioners of medicine or osteopathic medicine, or practitioners of dentistry who have been granted privileges as oral and maxillofacial surgeons, ~~or podiatrists~~. Practitioners of dentistry who have not been granted privileges as oral and maxillofacial surgeons, may admit patients to the hospital if such patients are being admitted solely to receive care which a dentist may provide without medical assistance pursuant to the scope of his or her professional license. Practitioners of dentistry must, in all other circumstances, co-admit patients with a physician member of the medical staff. Members of the medical staff who only have privileges in the department of emergency services do not have admitting privileges. Psychologists shall not have admitting privileges. ~~Practitioners of podiatry must co-admit all patients with a member of the medical staff who is a doctor of medicine or a doctor of osteopathic medicine.~~

(b) through (i) unchanged.

(E) through (H) unchanged.

3335-45-07 Clinical privileges.

(A) and (B) unchanged.

(C) Podiatric privileges.

~~All patients admitted for podiatric care shall receive the same medical appraisal as all other hospitalized patients. A member of the medical staff who is a doctor of medicine or osteopathic medicine shall co-admit the patient and be responsible for the history and physical and medical care that may be required at the time of admission or that may arise during hospitalization, and shall determine the need of any proposed procedure on the total health status of the patient. The podiatrist shall be responsible for the podiatric care of the patient including the podiatric history and physical examination and all appropriate elements of the patient's record.~~

(1) Practitioners of podiatry may admit patients to the Ohio state university hospitals east if such patients are being admitted solely to receive care that a podiatrist may provide without medical assistance, pursuant to the scope of the professional license of the podiatrist. Practitioners of podiatry must, in all other circumstances co-admit patients with a member of the medical staff who is a doctor of medicine or osteopathic medicine. A member of the medical staff who is a doctor of medicine or osteopathy shall be responsible for any medical problems that the patient has while an inpatient of the Ohio state university hospitals east.

(2) A member of the medical staff who is a doctor of medicine or osteopathy;

(a) Shall be responsible for the medical history and physical and any medical problems that the patient has while an inpatient of the Ohio state university hospitals east; and

(b) Shall confirm the findings, conclusions and assessment of risk prior to high-risk diagnosis or therapeutic interventions defined by the medical staff.

(3) Practitioners of podiatry shall be responsible for the podiatric care of the patient including the podiatric history and physical examination and all appropriate elements of the patient's record.

(4) The podiatrist shall be responsible to the chief of the department of orthopaedics.

(D) through (J) unchanged.

(K) Disaster privileges.

Disaster privileges may be granted in order to provide voluntary services during a local, state, or national disaster in accordance with hospital/medical staff policy and only when the following two conditions are present: the emergency management plan has been activated and the hospital is unable to meet immediate patient needs. Such privileges may be granted by the medical director or his or her designee to fully licensed, qualified individuals who at the time of the disaster are not members of the medical staff in order to provide voluntary services during a local, state, or national disaster in accordance with hospital/medical staff policy. The medical director may seek the recommendation of the chief of the clinical department. These privileges shall be limited in scope and shall terminate once the disaster subsides or at the discretion of the medical director.

(L) Unchanged.

#### Amended Rules and Regulations of The Ohio State University Hospitals East

86-05 Patient care orders.

(A) and (B) unchanged.

(C) Responsible medical practitioner.

The licensed physician, dentist, podiatrist ~~(under medical doctor supervision)~~, or psychologist (under medical doctor supervision) member of the medical staff responsible for the care and treatment of the patient is responsible for all orders for the patient.

Attending, courtesy, and honorary medical staff may designate members of the limited staff, or other licensed healthcare professional with appropriate clinical privileges to write or electronically enter orders under their direction. The attending staff member may also designate members of the pre-M.D. medical student group to write or electronically enter orders, but in all cases these orders shall be authenticated by the physician, dentist, podiatrist, psychologist, or designated limited staff member who has the right to practice medicine, dentistry, psychology, or podiatry, and who is responsible for that patient's care. All non-verbal

orders must be authenticated by the medical practitioner prior to the execution of the order(s) by the hospital or outpatient nursing staff or other professional groups.

Patient orders written or electronically entered by "off-service" limited staff or consultant staff must be authenticated by the responsible practitioner or the practitioner's designee. Exception may be made in the event of preoperative orders or in the instance of acknowledged co-management; e.g., the intensive care unit or post-anesthesia care unit.

(D) through (M) unchanged.



## (APPENDIX XXXIII)

**Waivers of Competitive Bidding Requirements  
October - December 2006**

<u>Category</u>	<u>Total</u>		<u>Sole Source</u>		<u>Emergency</u>		<u>Sufficient Economic Reason</u>	
Health Sys– Prof Hlth Care Serv	\$10,247,170	13	\$8,185,417	7	\$50,000	1	\$2,011,753	5
Health Sys– Admin Equip & Serv	\$7,251,689	33	\$6,483,351	26	\$60,000	1	\$708,338	6
Merchandise for Resale	\$150,000	1	\$150,000	1	\$0	0	\$0	0
Instruc & Research Equip and Services	\$4,280,941	36	\$2,494,615	27	\$288,000	1	\$1,498,326	8
Admin Suprt Equip and Services	\$7,224,766	41	\$5,917,563	25	\$757,355	10	\$549,848	6
<b>TOTAL</b>	<b>\$29,154,566</b>	<b>124</b>	<b>\$23,230,946</b>	<b>86</b>	<b>\$1,155,355</b>	<b>13</b>	<b>\$4,768,265</b>	<b>25</b>





## Baker Systems - Air Conditioning Unit Replacement

315-07-0172

**Requesting Agency(s):** ENGINEERING COMPUTER SERVICES

**Location(s):** Baker Systems Engineering, David F.

69,887 ASF/115,361 GSF Age: 1968

**Description:**

This project will replace the air conditioning unit in room 480 to maintain the environmental conditions required for computer equipment. The project will also install a backup generator.

**Project Information:**

**How does this project advance the Academic Plan?** This project advances the academic plan by preventing loss of data.

**Outstanding Funding Issues:** None

**Timing Issues:** None

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

**Deferred Maintenance:** None

**Deferred Renewal:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Equipment Reserve - OIT	\$235,250.00					
Administration						
<b>Total:</b>	\$235,250.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$235,250.00	02/02/2007		
DESIGN				
Schematic Design Approval		04/15/2007		
Design Dev Document Approval		05/15/2007		
Construction Document Approval		06/15/2007		
BIDDING				
Bidding Approved BoT	\$235,250.00	02/02/2007		
Bid Opening		07/15/2007		
CONSTRUCTION				
Award of Contracts		07/31/2007		
Construction Start		08/15/2007		
Completion		10/01/2007		

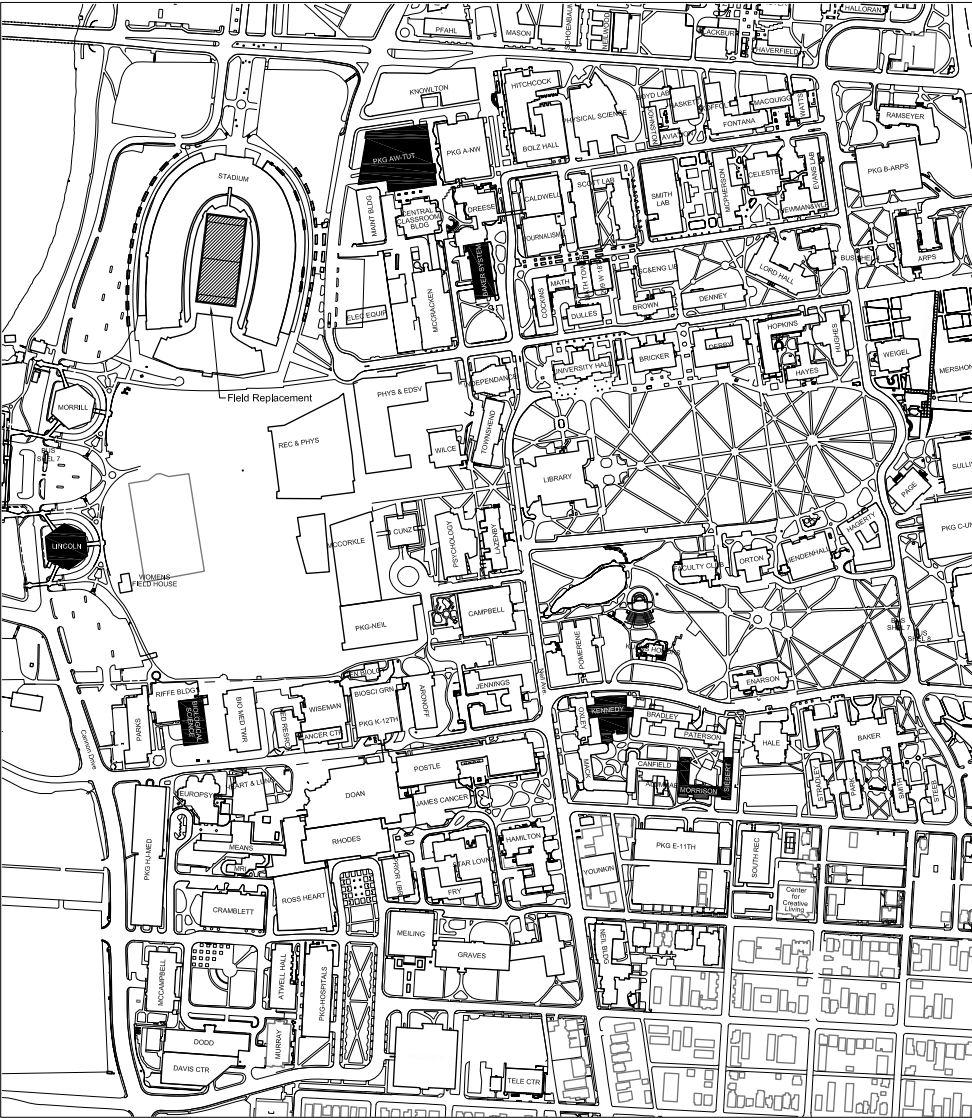
**Project Team:**

Project Manager: Harold Cheyney (cheyney.1@osu.edu)

Project Coordinator: Karen Cogley (cogley.1@osu.edu)

Core and Medical Campus Projects

- Baker Systems - Air Conditioning Unit Replacement
- Biological Sciences Building Fume Hood Repairs
- Biological Sciences Building Supply Fan Replacement
- Kennedy Commons Roof Replacement
- Lincoln Tower Cooler Tower Replacement
- Morrison Tower Resident Room Fan Coil Unit Replacement
- Ohio Stadium - Field Replacement
- Tuttle Park Garage - Retail Air Conditioning





Lincoln Tower - Cooling Tower Replacement

315-07-2024

Requesting Agency(s): STUDENT AFFAIRS, OFFICE OF

Location(s): Lincoln Tower, Abraham

208,606 ASF/322,387 GSF Age: 1967

Description:

This project will replace the cooling tower, chiller and transformer in Lincoln Tower and replace the domestic hot water storage tanks at Lincoln and Morrill Towers.

Project Information:

How does this project advance the Academic Plan? This project advances the academic plan by improving the on-campus student living experience.

Outstanding Funding Issues: This project is funded with future University bond proceeds; Student Affairs has identified an interim funding source until bond proceeds become available.

Timing Issues: Equipment replacement will be scheduled during breaks; project must be completed prior to Fall quarter 2009.

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: This project will address \$1,773,100 in deferred maintenance.

Deferred Renewal: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$2,631,439.00					
Total:	\$2,631,439.00					
Schedule:		BoT Approved Amt.	Projected	Revised	Actual	
PLANNING						
Arch/Engr Approved by BoT		\$2,631,439.00	02/02/2007			
CONSTRUCTION						
Construction Start			11/01/2007			
Completion			04/30/2008			

Project Team:

Project Manager: Scott Conlon (conlon.1@osu.edu)

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)



# Morrison Tower - Fan Coil Unit Replacement

315-07-2030

**Requesting Agency(s):** STUDENT AFFAIRS, OFFICE OF

**Location(s):** Morrison Tower, Mary Franc

55,002 ASF/99,916 GSF Age: 1962

**Description:**

This project will replace the fan coil units in the resident rooms and common areas in Morrison Tower.

**Project Information:**

Project includes some hazardous materials abatement.

**How does this project advance the Academic Plan?** This project advances the academic plan by improving the on-campus student living environment.

**Outstanding Funding Issues:** This project will be funded with future University bond proceeds; Student Affairs has identified an interim funding source until bond proceeds become available.

**Timing Issues:** This project must occur over the summer, when the dormitory is not in use, and must be completed by Fall quarter.

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

**Deferred Maintenance:** This project addresses \$849,286 in deferred maintenance.

**Deferred Renewal:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$1,800,000.00					
<b>Total:</b>	\$1,800,000.00					
<b>Schedule:</b>		<b>BoT Approved Amt.</b>	<b>Projected</b>	<b>Revised</b>	<b>Actual</b>	
PLANNING						
Arch/Engr Approved by BoT		\$1,800,000.00	02/02/2007			
CONSTRUCTION						
Construction Start			07/01/2007			
Completion			09/15/2007			

**Project Team:**

Project Manager: Scott Conlon (conlon.1@osu.edu)

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)



Newark Campus - Founders Hall Restrooms Renovation

315-07-2003

Requesting Agency(s): NEWARK CAMPUS

Location(s): Founders Hall

61,054 ASF/90,255 GSF Age: 1968

Description:

This project will renovate all of the restrooms, a total of 10, in Founders Hall. Improvements include compliance with ADA standards, new floor, wall and ceiling finishes, and new fixtures.

Project Information:

How does this project advance the Academic Plan? This project advances the Academic Plan by improving the campus facilities.

Outstanding Funding Issues: None

Timing Issues: Work must be completed during summer break to minimize the impact to the students, faculty and staff.

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Newark	\$375,534.00					
Total:	\$375,534.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$375,534.00	02/02/2007		
BIDDING				
Bidding Approved BoT	\$375,534.00	02/02/2007		
CONSTRUCTION				
Construction Start		06/11/2007		
Completion		09/01/2007		

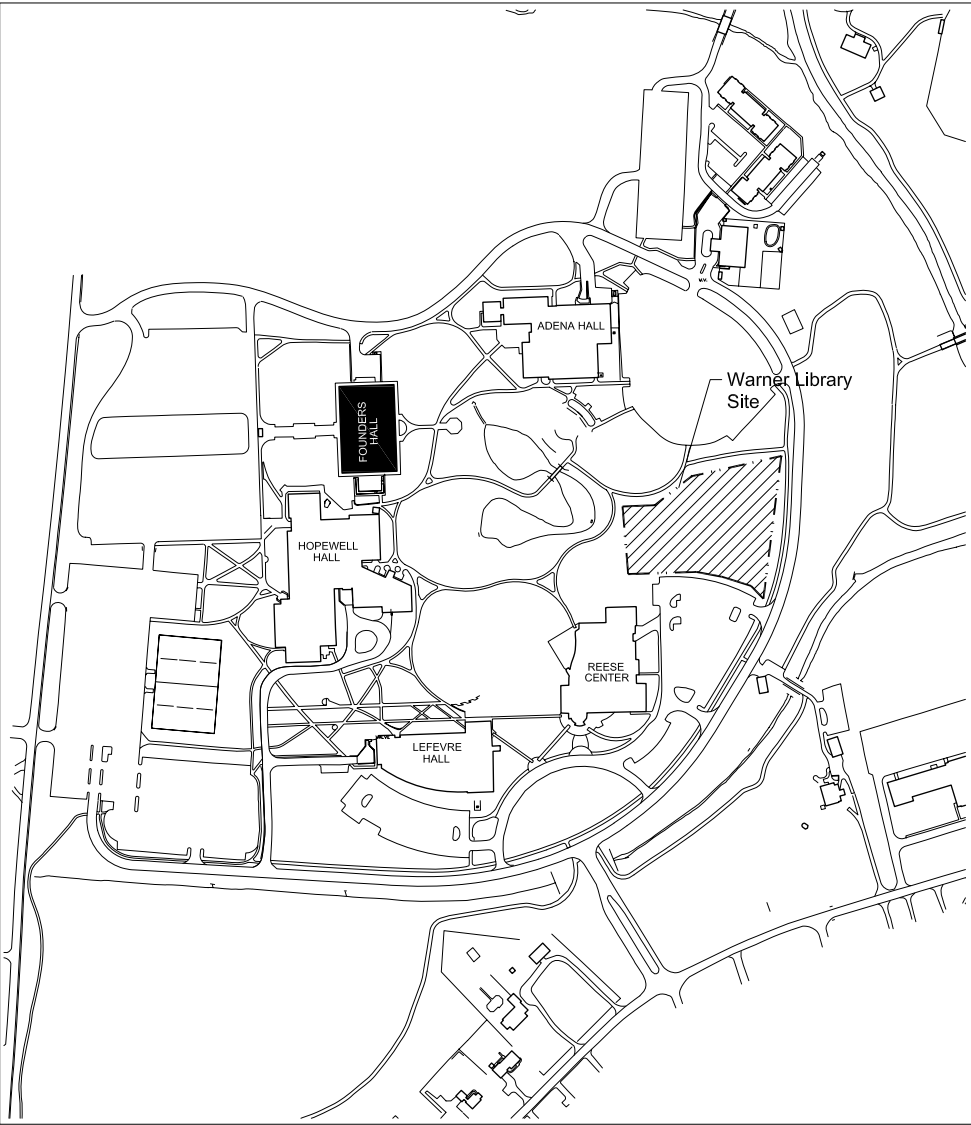
Project Team:

Project Manager: Faye Bodyke (bodyke.3@osu.edu)

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)

Newark Campus Projects

- Founders Halls Restroom Renovation
- Warner Library and Student Center







OARDC - Pounden Hall Renovation

315-07-0194

Requesting Agency(s): OHIO AGRIC RESEARCH AND DEVELOPMENT CTR

Location(s): Pounden Hall

8,042 ASF/12,293 GSF Age: 1928

Description:

This project will renovate the existing building and will add a new entrance and lobby.

Project Information:

How does this project advance the Academic Plan? This project will advance the academic plan by improving the campus teaching and learning facilities.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: This project will address \$1,027,000 in deferred maintenance.

Deferred Renewal: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Grant-Economic Development	\$744,091.00					
Admin						
General Funds-OARDC	\$744,092.00					
Total:	\$1,488,183.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$1,488,183.00	02/02/2007		
DESIGN				
Schematic Design Approval		09/01/2007		
Design Dev Document Approval		11/20/2007		
Construction Document Approval		03/04/2008		
BIDDING				
Bid Opening		06/15/2008		
CONSTRUCTION				
Construction Start		07/28/2008		
Completion		07/29/2009		

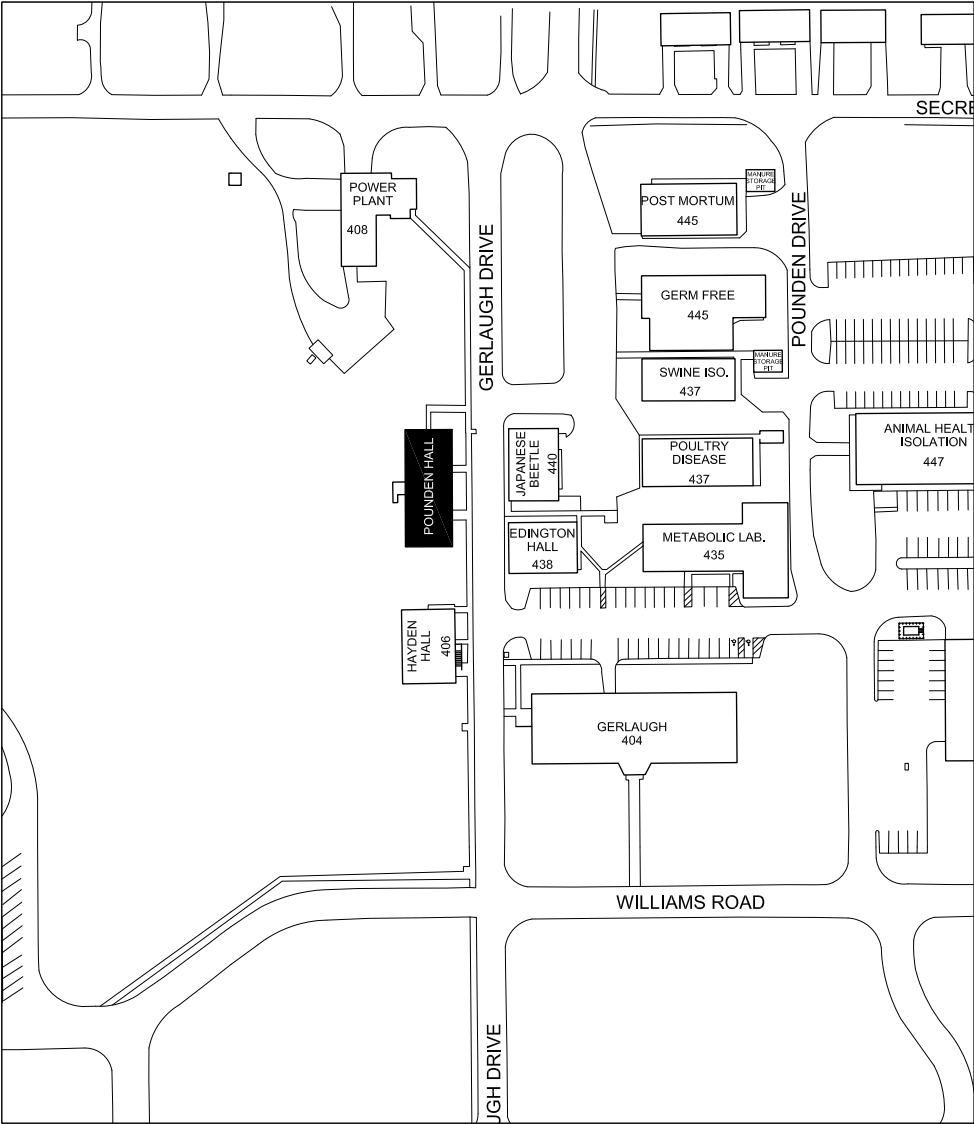
Project Team:

Project Manager: Charlie Conner (conner.26@osu.edu)

Project Coordinator: Karen Cogley (cogley.1@osu.edu)

OARDC - Projects

- OARDC - Pouden Hall Renovation





Ohio Stadium - Field Replacement  
315-07-2027

**Requesting Agency(s):** ATHLETICS  
**Location(s):** Ohio Stadium 202,778 ASF/812,422 GSF Age: 1922

**Description:**  
This project will replace the existing bluegrass field in Ohio Stadium with synthetic turf and include evaluation and upgrade of the underground drainage system.

**Project Information:**

**How does this project advance the Academic Plan?** The replacement of the turf will benefit the experience of current student athletes and aid in the recruiting process for future student athletes.

**Outstanding Funding Issues:** None

**Timing Issues:** The replacement of the field is to begin immediately following the spring football game and be complete prior to the Spring Commencement ceremony which falls on June 10, 2007 in the stadium.

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

**Deferred Maintenance:** None

**Deferred Renewal:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Athletics	\$975,025.00					
<b>Total:</b>	\$975,025.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$974,997.00	02/02/2007		
BIDDING				
Bidding Approved BoT	\$974,997.00	02/02/2007		
CONSTRUCTION				
Construction Start		04/23/2007		
Completion		06/01/2007		

**Project Team:**  
Project Manager: Ron Booth (booth.96@osu.edu) Project Coordinator: Karen Cogley (cogley.1@osu.edu)



Tuttle Park Garage - Retail Air Conditioning

315-07-0236

Requesting Agency(s): TRANSPORTATION & PARKING SERVICES

Location(s): Parking Garage Aw (Tuttle Park Pl)

327,971 ASF/363,346 GSF Age: 1999

Description:

This project will provide a dedicated air conditioning system for the retail spaces located adjacent to the Tuttle Park Garage. In addition, power meters will be provided to accurately determine the energy usage of each retail space.

Project Information:

How does this project advance the Academic Plan? This project will advance the academic plan by improving campus facilities, improving the reliability of and service to retail operations, and will allow for proper metering of energy to the facility.

Outstanding Funding Issues: None

Timing Issues: None

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: None

Deferred Renewal: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Auxiliaries-Trans. & Parking	\$385,387.00					
<b>Total:</b>	\$385,387.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$385,387.00	02/02/2007		
DESIGN				
Design Dev Document Approval		03/24/2007		
Construction Document Approval		05/13/2007		
BIDDING				
Bidding Approved BoT	\$385,387.00	02/02/2007		
Bid Opening		06/26/2007		
CONSTRUCTION				
Construction Start		09/04/2007		
Completion		01/02/2008		

Project Team:

Project Manager: Barry Mazik (mazik.6@osu.edu)

Project Coordinator: Melissa Griffin (griffin.333@osu.edu)



Biological Sciences Building Fume Hood Repairs

315-2005-921

Requesting Agency(s): FACILITIES OPERATIONS AND DEVELOPMENT

Location(s): Biological Sciences Building 103,009 ASF/182,129 GSF Age: 1970

Description:

This project will repair and modify the existing fume hood exhaust and building HVAC systems. Modifications include repair and replacement of ductwork, equipment and controls to ensure safe fume hood operation and improved air distribution throughout the building.

Project Information:

How does this project advance the Academic Plan? This project will advance the Academic Plan by supporting the learning and research goals of the University and by providing a safe environment.

Outstanding Funding Issues: None

Timing Issues: This project will be coordinated with the Biological Sciences Building Supply Fan Replacement project (#315-2005-926) to ensure proper building ventilation supply and exhaust.

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: This project along with the Biological Sciences Building Supply Fan Replacement will address \$1,867,000 in deferred maintenance.

Deferred Renewal: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB16 Columbus Basic Renovation	\$1,500,000.00					
Total:	\$1,500,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$1,500,000.00	06/07/2005		06/07/2005
DESIGN				
Schematic Design Approval		03/23/2006	06/30/2006	07/21/2006
Arch/Engr Contract		08/18/2006	08/18/2006	08/01/2006
Design Dev Document Approval		06/26/2006	08/03/2006	09/14/2006
Construction Document Approval		10/19/2006	10/26/2006	12/05/2006
BIDDING				
Bidding Approved BoT	\$1,500,000.00	12/01/2006	02/02/2007	
Bid Opening		01/12/2007	03/14/2007	
CONSTRUCTION				
Construction Start		04/26/2007	05/03/2007	
Completion		09/19/2008	09/25/2008	

Project Team:

Project Manager: Alexander Lentsner (lentsner.2@osu.edu)  
WE MONKS & CO - Design

Project Coordinator: Karen Cogley (cogley.1@osu.edu)



# Biological Sciences Building Supply Fan Replacement

315-2005-926

**Requesting Agency(s):** FACILITIES OPERATIONS AND DEVELOPMENT

**Location(s):** Biological Sciences Building

103,009 ASF/182,129 GSF Age: 1970

**Description:**

This project will replace the two large supply fans and two booster fans to improve air distribution throughout the building.

**Project Information:**

**How does this project advance the Academic Plan?** This project will advance the Academic Plan by providing appropriate environmental conditions for learning and research.

**Outstanding Funding Issues:** None

**Timing Issues:** This project will be coordinated with the Biological Sciences Building Fume Hood Repairs project (#315-2005-921) to ensure proper building ventilation supply and exhaust.

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

**Deferred Maintenance:** This project along with the Biological Sciences Building Fume Hood Repairs will address \$1,867,000 in deferred maintenance.

**Deferred Renewal:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
HB16 Columbus Basic Renovation	\$840,000.00					
<b>Total:</b>	\$840,000.00					
<b>Schedule:</b>		<b>BoT Approved Amt.</b>	<b>Projected</b>	<b>Revised</b>	<b>Actual</b>	
PLANNING						
Arch/Engr Approved by BoT		\$840,000.00	06/07/2005			06/07/2005
DESIGN						
Schematic Design Approval			03/23/2006	09/01/2006		08/11/2006
Design Dev Document Approval			06/26/2006	08/28/2006		09/11/2006
Arch/Engr Contract			03/31/2006	08/31/2006		09/28/2006
Construction Document Approval			10/19/2006	12/21/2006		12/05/2006
BIDDING						
Bidding Approved BoT		\$840,000.00	12/01/2006	02/02/2007		
Bid Opening			01/12/2007	03/14/2007		
CONSTRUCTION						
Construction Start			04/26/2007	05/15/2007		
Completion			09/19/2008	06/15/2008		

**Project Team:**

Project Manager: Alexander Lentsner (lentsner.2@osu.edu)

Project Coordinator: Karen Cogley (cogley.1@osu.edu)

KRAMER ENGINEERING - Design



# Kennedy Commons Roof Replacement

315-2005-1002

**Requesting Agency(s):** STUDENT AFFAIRS, OFFICE OF

**Location(s):** Kennedy Commons, June L

24,034 ASF/37,233 GSF Age: 1939

**Description:**

This project will replace the existing slate, copper and built-up roof system with equivalent new roofing materials, approximately 28,000 square feet. It will also repair existing copings and counterflashing and provide for fall protection.

**Project Information:**

**How does this project advance the Academic Plan?** Replacement of the roof on this building will enhance the quality of Kennedy Commons to better serve faculty, staff and students.

**Outstanding Funding Issues:** None

**Timing Issues:** The condition of the existing roof has deteriorated substantially, therefore, planning and construction of the new roof needs to occur as soon as possible. Work must occur during the spring and summer months.

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

**Deferred Maintenance:** This project addresses \$149,000 in deferred maintenance.

**Deferred Renewal:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Univ. Bond Proceeds	\$1,600,000.00					
<b>Total:</b>	\$1,600,000.00					

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$1,600,000.00	12/02/2005		12/02/2005
Arch/Engr Advertisement		12/07/2005		12/07/2005
DESIGN				
Arch/Engr Contract		07/24/2006		07/21/2006
Schematic Design Approval		08/29/2006	11/22/2006	12/15/2006
Design Dev Document Approval		08/29/2006	11/22/2006	12/15/2006
Construction Document Approval		11/21/2006	02/16/2007	
BIDDING				
Bidding Approved BoT	\$1,600,000.00	12/01/2006	02/02/2007	
Bid Opening		01/08/2007	04/03/2007	
CONSTRUCTION				
Award of Contracts		03/09/2007	05/11/2007	
Construction Start		04/03/2007	06/11/2007	
Completion			11/13/2007	

**Project Team:**

Project Manager: Mark Stelzer

Project Coordinator: Karen Cogley (cogley.1@osu.edu)

ES ARCHITECTURE AND DEVELOPMENT - Design



Lima Campus - Galvin Hall First Floor Classroom Renovation

315-2005-951

Requesting Agency(s): LIMA CAMPUS

Location(s): Galvin Hall

47,297 ASF/81,719 GSF Age: 1966

Description:

This project will update the first floor classroom in Galvin Hall on the Lima Campus. The renovation will include new furnishings, electrical upgrades, lighting upgrades, asbestos abatement, and installation of new audiovisual equipment.

Project Information:

The project budget has increased due to higher than anticipated hazardous materials costs, additional scope to comply with current building code requirements, and additional equipment costs.

How does this project advance the Academic Plan? This project advances the Academic Plan by providing improved teaching and learning facilities for faculty and students.

Outstanding Funding Issues: None

Timing Issues: Construction must occur during Summer Quarter.

"Ripple effects" of the project: None

Special limitations/risks: None

Deferred Maintenance: This project will begin to address the \$4,821,400 in deferred maintenance on Galvin Hall.

Deferred Renewal: None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
General Funds-Lima	\$51,511.00	\$185,000.00				
HB16 Lima Basic Renovation	\$180,000.00					
Total:	\$231,511.00	\$365,000.00				

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$231,511.00	02/01/2006		02/01/2006
Arch/Engr Advertisement		03/01/2006		03/01/2006
DESIGN				
Design Dev Document Approval				11/22/2006
Construction Document Approval				01/30/2007
BIDDING				
Bidding Approved BoT	\$361,904.00	02/02/2007		
CONSTRUCTION				
Construction Start		06/01/2007		06/11/2007
Completion		09/01/2007		

Project Team:

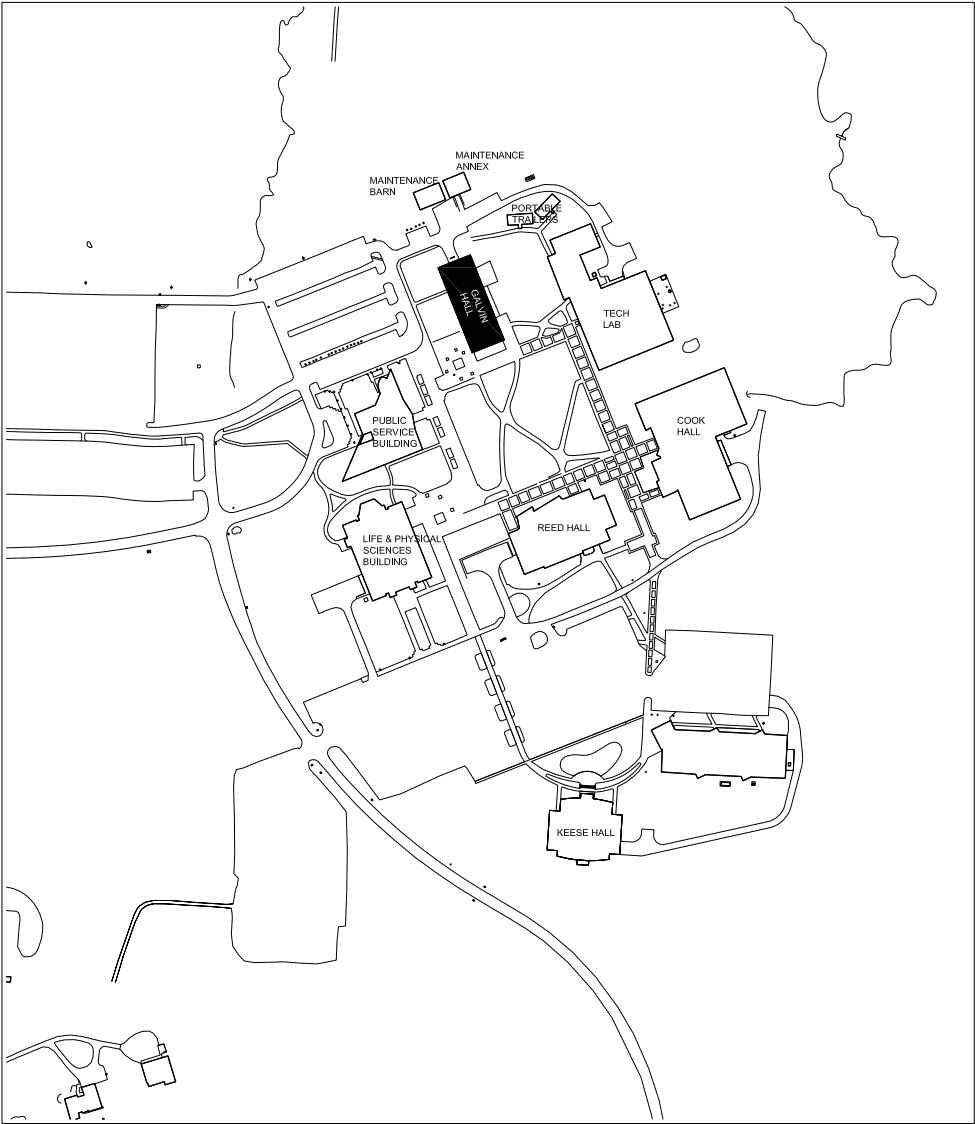
Project Manager: Nikolina Sevis (sevis.2@osu.edu)  
ANNETTE MILLER ARCHITECTS INC. - Design

Project Coordinator: Leeanne Chandler (chandler.63@osu.edu)



# Lima - Projects

- Galvin Hall First Floor Classroom Renovation





# Women's Softball Stadium

315-2005-998

**Requesting Agency(s):** ATHLETICS

**Location(s):** See Project Information

**ASF/0 GSF Age:**

**Description:**

This project will construct a softball stadium for varsity women's athletics with seating for 1,500-2,000 spectators. Support spaces will include, but are not limited to, home and away locker rooms, home team lounge, umpire locker rooms, press box, public restrooms and concessions.

**Project Information:**

The project scope was increased during design to accommodate amenities that are necessary for a fully-functional facility but had not been identified in the feasibility study, including storage space; additional restroom areas; coaches support; and building support spaces.

**How does this project advance the Academic Plan?** The greatly improved facilities will benefit the experience of current student athletes and aid in the recruiting process for future student athletes. A new facility will also allow the University to comply with the NCAA Title IX program requirements.

**Outstanding Funding Issues:** None

**Timing Issues:** Construction must occur during the off-season, from mid-summer to early spring, and must be complete by the 2008 softball season, beginning in March 2008.

**"Ripple effects" of the project:** None

**Special limitations/risks:** None

**Deferred Maintenance:** None

**Deferred Renewal:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Athletics	\$2,517,673.00	\$4,313,202.40				
<b>Total:</b>	\$2,517,673.00	\$4,313,202.40				

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$2,821,055.00	12/02/2005		12/02/2005
Arch/Engr Advertisement		12/20/2005		12/19/2005
DESIGN				
Arch/Engr Contract		05/15/2006	05/30/2006	06/23/2006
Design Dev Document Approval (SD/DD)		10/20/2006		10/20/2006
Construction Document Approval		12/18/2006		12/18/2006
BIDDING				
Bidding Approved BoT	\$4,313,202.40	02/02/2007		
Bid Opening		04/01/2007		
CONSTRUCTION				
Construction Start		06/15/2007		
Completion		03/15/2008		

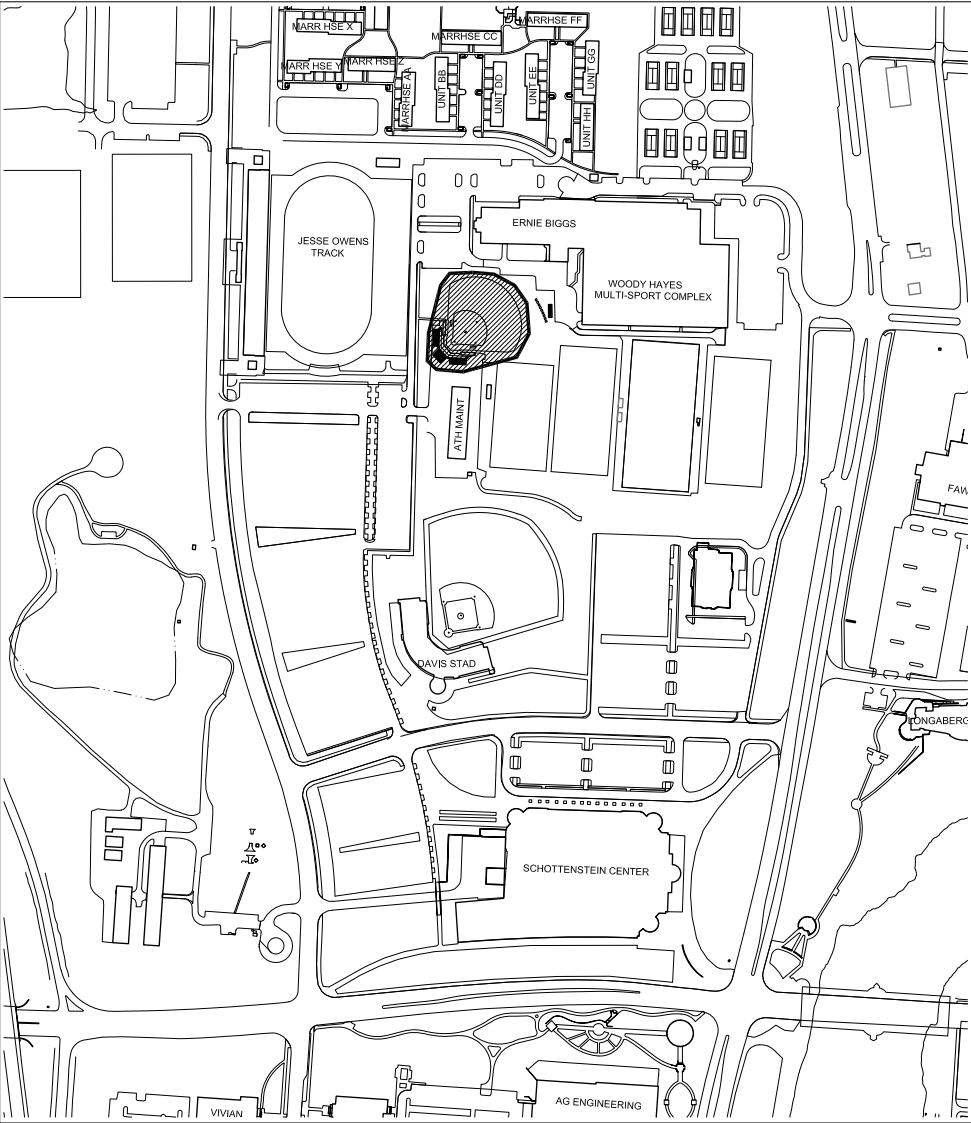
**Project Team:**

Project Manager: Thomas Heretta (heretta.1@osu.edu)  
GBBN ARCHITECTS - Design

Project Coordinator: Curt Handschug (handschug.1@osu.edu)

Midwest Campus Projects

- Women's Softball Stadium



Office of Business and Finance / Board of Trustees Meeting

February 2, 2007





# Newark Campus - Warner Library and Student Center

315-2004-930

**Requesting Agency(s):** NEWARK CAMPUS

**Location(s):** See Project Information

**ASF/0 GSF Age:**

**Description:**

This project will construct a new library that will serve as the gateway to information services for the Newark Campus. The building will also house a student center that will serve as the hub of student life on the campus and meet the emerging need for student life resources.

**Project Information:**

At the time of bidding, the project was 12% over the budget as a result of increases in the construction industry and inflationary increases in construction costs. After undergoing value engineering the project increase is now 8.7% (\$1.6M). COTC and OSU Newark have committed the additional funds necessary to maintain the program scope.

**How does this project advance the Academic Plan?** This project will advance the academic plan by providing facilities for a variety of cultural, educational, social and recreational programs and the new library will improve the teaching and learning facilities.

**Outstanding Funding Issues:** None

**Timing Issues:** The construction schedule allows for relocation of the existing library during spring break or summer quarter 2008.

**"Ripple effects" of the project:** A series of renovation projects to existing space will be proposed following the relocation of functions to the Warner Library and Student Center.

**Special limitations/risks:** None

**Deferred Maintenance:** None

**Deferred Renewal:** None

Source of Funds:	Original	Revised	Uses of Funds:	As Designed	As Bid	Completion
Development-Newark	\$6,750,000.00	\$9,348,000.00				
General Funds-Newark	\$2,550,000.00	\$3,380,234.00				
Univ. Bond Proceeds	\$6,444,000.00	\$5,500,000.00				
Future Capital Appropriations	\$1,070,000.00	\$0.00				
Central Ohio Technical College - State	\$720,000.00	\$721,000.00				
HB16 Line Item Appropriation	\$0.00	\$1,070,766.00				
<b>Total:</b>	\$17,534,000.00	\$20,020,000.00				

Schedule:	BoT Approved Amt.	Projected	Revised	Actual
PLANNING				
Arch/Engr Approved by BoT	\$17,534,000.00	09/22/2004		09/22/2004
Arch/Engr Advertisement		10/01/2004		10/01/2004
DESIGN				
Arch/Engr Contract		03/31/2005	08/01/2005	07/27/2005
Schematic Design Approval		08/05/2005	12/21/2005	12/21/2005
Design Dev Document Approval		11/18/2005	04/17/2006	05/03/2006
Construction Document Approval		05/05/2006	06/15/2006	06/15/2006
BIDDING				
Bidding Approved BoT	\$18,419,766.00	05/05/2006	05/05/2006	05/05/2006
Bid Opening		08/29/2006	11/28/2006	11/28/2006
Bidding Approved BoT (Project Increase)	\$20,020,000.00	02/02/2007		
Bid Opening (Re Bid Package 2)		02/02/2007		
CONSTRUCTION				
Award of Contracts (Package # 2)		08/25/2006	04/02/2007	
Construction Start (Package # 2)		08/25/2006	04/03/2007	
Completion		03/03/2008	02/16/2008	

**Project Team:**

Project Manager: Faye Bodyke (bodyke.3@osu.edu)  
COLLABORATIVE INC., THE - Design

Project Coordinator: Andrea Cuthbert (cuthbert.11@osu.edu)

**SALE OF REAL PROPERTY**

1339 MT. VERNON AVENUE, MARION, OHIO

In 1992, The Ohio State University purchased a 1.1 acre parcel immediately adjacent to the northwest corner of the Marion campus for use as an Early Childhood Educational Center in support of a two-year pre-Kindergarten associate's degree program. After years of subsidizing the operations at the Center and upon review of campus priorities, Ohio State Marion determined that it could no longer afford to keep the center, closing it in mid-2003.

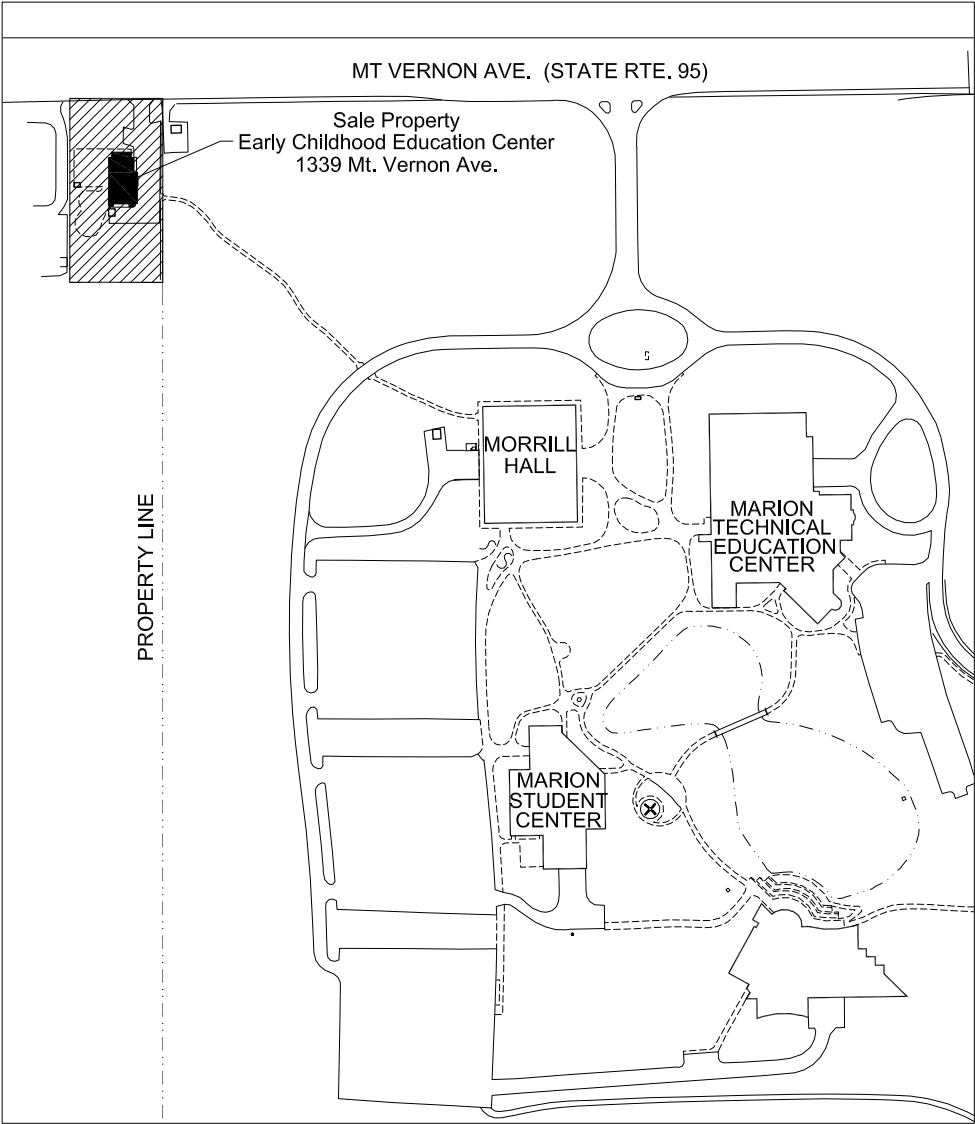
The building has been vacant and unutilized since that time. Various adaptive reuses have been explored but have all proven cost prohibitive. The building, originally built as a McDonald's restaurant in 1973, has mechanical systems nearing the end of their design life, is not constructed to University standards and contains nearly half of the square footage in a non-ADA accessible basement. The planned extension of State Route 529 on the western edge of the campus will physically separate this property from the rest of campus. The June 2005 appraisal of the property indicated a value of \$350,000.

Ohio State Marion has recommended that the property be determined surplus University property and be marketed for sale to the general public for the maximum price possible. This recommendation has been endorsed by the faculty and staff of Ohio State Marion and by The Ohio State University Marion Board of Trustees.

The University has entered into a purchase contract to sell the property to Lu Chivington and Deb Cooper, Buyers, for \$365,000 subject to Board of Trustees approval and legislation being passed by the Ohio General Assembly authorizing the transfer of the property. Ohio State Marion has approved the purchase price and the purchase contract and permission is being requested from the Board of Trustees for the Office of Business & Finance to complete this sale in the best interest of the University.

OSU Marion

Sale of Real Property 1339 Mt. Vernon Avenue Marion, Ohio



## **LONG-TERM LEASE**

LEASED VARSITY TENNIS CENTER  
880 WEST HENDERSON ROAD, COLUMBUS, OHIO 43214

### **Location and Description**

The property is located in northwest Columbus at 880 West Henderson Road, west of Olentangy River Road, with access off of Knightsbridge Road. The proposal provides for an existing indoor soccer facility containing 57,000 SF, formerly used as a tennis building, and a second building containing 24,000 SF used for athletic equipment storage, situated on 5.2 acres, to be acquired by Continental Development, Inc. and converted back to a six-court tennis center with coaches offices, spectator areas, rest rooms, and locker rooms. This leased tennis center will satisfy a long-term requirement of the Department of Athletics to provide both the men's and women's varsity tennis programs with a suitable building and location for its indoor practice and competitive meets.

This proposed tennis facility is in lieu of a previously proposed project to construct an on-campus varsity tennis facility. Costs for construction of the on-campus facility have been estimated to be approximately \$11 million.

All costs, lease payments, and any other expenses related to the proposed off-campus property will be funded by the Department of Athletics using funds from reserves that have been set aside for a tennis project and future fundraising. All costs associated with this project will be the responsibility of the Department of Athletics until the time donor funds have been secured.

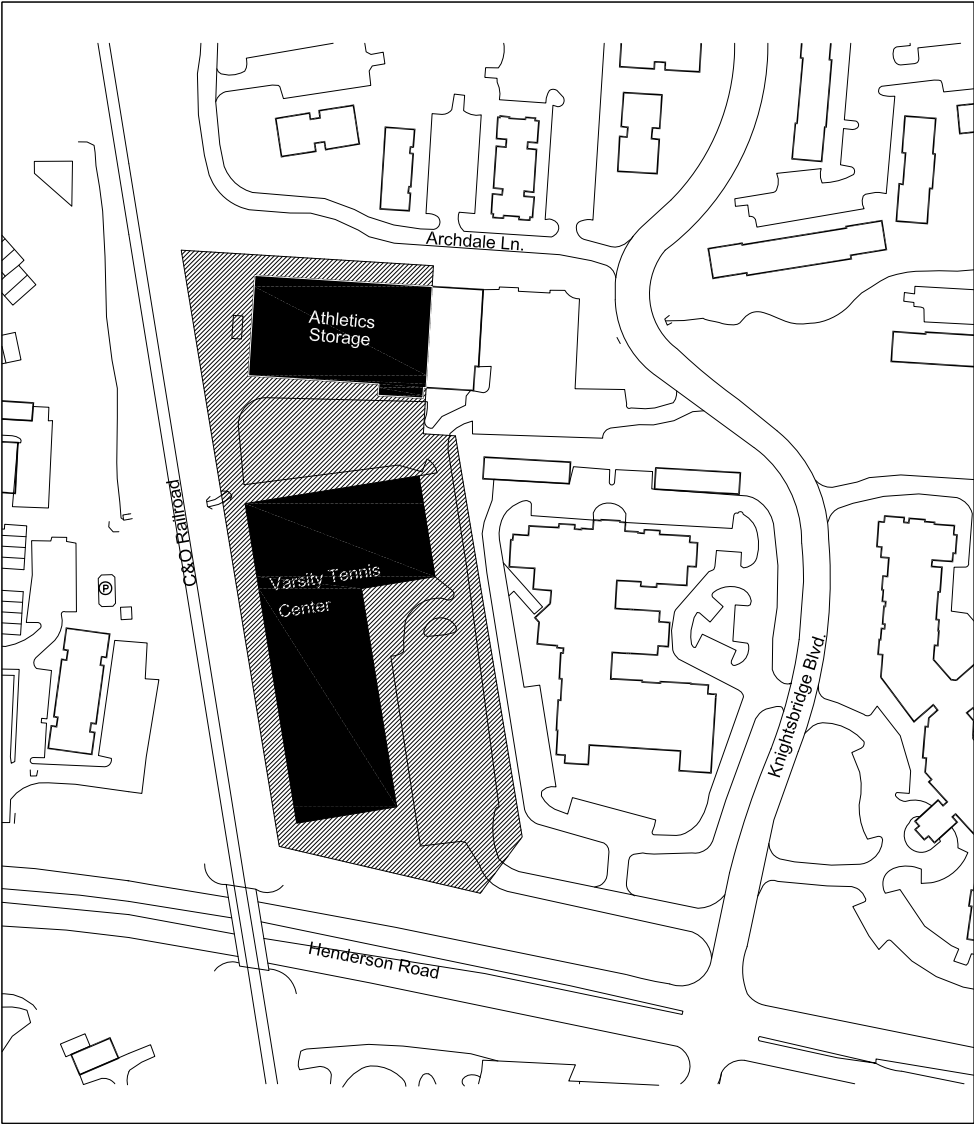
### **Terms of Lease**

The lease term shall be for a period of ten years (10) years with two five-year renewal options and an option to purchase the property. A purchase of the property would require support of a purchase price by two appraisals, approval by the University, the Board of Trustees, and the State Controlling Board. No purchase action is being sought at this time.

Base Rent for the property will be \$20,000 per month during the 10-year term or \$240,000 annually (\$2.96/SF), plus operating expenses associated with the property estimated to be \$15,000 per month or approximately \$2.25/SF. Landlord's initial construction costs to complete alterations and convert the building to a tennis center are estimated to be \$2.9 million. The Department of Athletics will reimburse Continental Development, Inc. up to \$3 million for the approved alterations when completed using donated funds.

Varsity Tennis Center

Long Term Lease for Varsity Tennis Center 880 W. Henderson Road





**FISCAL AFFAIRS COMMITTEE  
THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES**

February 2, 2007

**TOPIC: FY 2007 AND FY 2008 STATE CAPITAL APPROPRIATIONS**

**CONTEXT:**

The state's Capital Appropriations Bill (HB 699) was signed by the governor in December 2006. The total allocation to the Columbus Campus is approximately \$93 million. In addition to our original request of \$83.3 million, the Columbus Campus received an additional \$759,000 for community projects and approximately \$8.9 million in supplemental funding (Attachment A). Regional campuses received a total of approximately \$15 million which included supplemental allocations totaling approximately \$1.6 million. (Attachment B)

The supplemental allocation is in response to the Regents request for Campus Capital Renewal funding. These additional funds are intended to address critical renovation and modernization needs at each campus.

**RECOMMENDATIONS:**

Recommend \$8.9 million in supplemental funding to be used as follows:

- \$1.34 million -- Lord Hall Demolition: 100+ year building. Demolition would address \$13.3 million in deferred maintenance.
- \$7.56 million -- Cunz Hall Renovation: Renovation will provide much needed office and lab space for the College of Public Health. Project addresses \$1.4 million in deferred maintenance.

**CONSIDERATIONS:**

- Are these priorities consistent with the Academic Plan and fiscal realities?
- What role should the ability to privately fund raise play?
- What are the implications for infrastructure?

**REQUESTED OF FISCAL AFFAIRS COMMITTEE:**

Approval of recommendations for supplemental funds.

Project Title	Funding Recommended by BoR	HB 699 as Approved	Variance = HB 699 - BoR Recommendation	Notes
<b>OHIO STATE UNIVERSITY - COLUMBUS</b>				
Instructional & Data Processing Equipment	\$4,287,811	\$4,287,811	\$0	
Basic Renovations	\$17,167,904	\$26,062,119	\$8,894,215	Supplemental request**
Main Library Rehabilitation/Expansion	\$50,841,261	\$50,841,261	\$0	
Brown Hall Renovation/Replacement	\$3,500,000	\$3,500,000	\$0	
Hughes Hall Renovation	\$1,500,000	\$1,500,000	\$0	
COMPH Academic Center	\$5,000,000	\$5,000,000	\$0	
Murray Hall Renovation	\$1,000,000	\$1,000,000	\$0	
Stone Laboratory Research Facility Improvements	\$0	\$500,000	\$500,000	Community project
OSU Extension Safety Improvements in Madison County	\$0	\$94,000	\$94,000	Community project
Camp Clifton Improvements	\$0	\$90,000	\$90,000	Community project
Delaware Speech & Hearing with OSU Medical College	\$0	\$75,000	\$75,000	Community project
<b>Total OSU - Columbus</b>	<b>\$83,296,976</b>	<b>\$92,950,191</b>	<b>\$9,653,215</b>	

<b>** Columbus Campus Supplemental Request funding is planned to be used as follows:</b>		<b>Cunz Hall Renovation</b>	<b>\$7,560,000</b>
		<b>Lord Hall Demolition</b>	<b>\$1,334,215</b>
		Total	\$8,894,215


Project Title	Funding Recommended by BoR	HB 699 as Approved	Variance = HB 699 - BoR Recommendation	Notes
<b>OHIO STATE UNIVERSITY - LIMA</b>				
Instructional & Data Processing Equipment	\$66,066	\$66,066	\$0	
Basic Renovations	\$240,711	\$365,417	\$124,706	Supplemental request
New Student Life Building	\$1,000,000	\$1,000,000	\$0	
<b>Total OSU - Lima</b>	<b>\$1,306,777</b>	<b>\$1,431,483</b>	<b>\$124,706</b>	
<b>OHIO STATE UNIVERSITY - MANSFIELD</b>				
Instructional & Data Processing Equipment	\$71,106	\$71,106	\$0	
Basic Renovations	\$273,272	\$414,847	\$141,575	Supplemental request
<b>Total OSU - Mansfield</b>	<b>\$344,378</b>	<b>\$485,953</b>	<b>\$141,575</b>	
<b>OHIO STATE UNIVERSITY - MARION</b>				
Instructional & Data Processing Equipment	\$67,280	\$67,280	\$0	
Basic Renovations	\$226,316	\$343,564	\$117,248	Supplemental request
<b>Total OSU - Marion</b>	<b>\$293,596</b>	<b>\$410,844</b>	<b>\$117,248</b>	
<b>OHIO STATE UNIVERSITY - NEWARK</b>				
Instructional & Data Processing Equipment	\$91,703	\$91,703	\$0	
Basic Renovations	\$241,931	\$367,268	\$125,337	Supplemental request
Founders Hall and Hopewell Hall Renovations	\$1,960,080	\$1,960,080	\$0	
<b>Total OSU - Newark</b>	<b>\$2,293,714</b>	<b>\$2,419,051</b>	<b>\$125,337</b>	
<b>OHIO STATE UNIVERSITY - ATI</b>				
Instructional & Data Processing Equipment	\$50,341	\$50,341	\$0	
Basic Renovations	\$497,089	\$754,617	\$257,528	Supplemental request
<b>Total OSU - ATI</b>	<b>\$547,430</b>	<b>\$804,958</b>	<b>\$257,528</b>	
<b>OHIO STATE UNIVERSITY – OARDC (not allocated I&amp;DP formula funds)</b>				
Basic Renovations	\$1,667,732	\$2,531,738	\$864,006	Supplemental request
Agricultural and Biological Engineering Building Renovation	\$4,000,000	\$4,000,000	\$0	
Selby Hall Phytotron Facility Renovation	\$2,000,000	\$2,000,000	\$0	
Supplemental Renovations	\$829,170	\$829,170	\$0	
<b>Total OSU - OARDC</b>	<b>\$8,496,902</b>	<b>\$9,360,908</b>	<b>\$864,006</b>	





**MEMORANDUM**

Date: January 19, 2007

From: Alvin C. Rodack, Associate Treasurer 

To: William J. Shkurti, Senior Vice President for Business and Finance

Subject: Appointment/Reappointment of Investment Managers

The Office of the Treasurer is recommending to the Investment Committee of the Board of Trustees, the hiring of two new investment managers. One of the new managers is in the 'Venture/Private Equity' category and one is in 'Real Estate'. Attached is a summary for each of the recommended managers.

The managers were recommended by our consultant, Cliffwater LLC. The Office of the Treasurer did additional due diligence including reviewing the investment management agreements and interviewing each of the firms. The addition of the two managers will provide greater diversification to those segments of the portfolio. It also moves the portfolio closer to the new asset allocation targets.

Please let me know if you have any questions.

cc: Pranab Bhattacharya  
Timothy A. Michel  
Maureen T. Sharkey

## Private Real Estate Investment Opportunity- Executive Summary

<b>Fund Name:</b>	<b>Carlyle Realty Partners V</b>	<b>Date:</b>	<b>February, 2007</b>
<b>Fund Size:</b>	<b>\$2.75 billion</b>	<b>GP Commitment:</b>	<b>5%</b>
<b>Term of Fund:</b>	<b>10 Years</b>	<b>Investment Period:</b>	<b>Up to Four Years</b>
<b>Strategy:</b>	<b>Opportunistic Real Estate</b>	<b>Due Diligence:</b>	<b>Staff/Cliffwater</b>

**Organization & People:** The Carlyle Group (Carlyle) was founded in 1987 and is based in Washington, DC. Carlyle has become one of the largest private equity firms in the world and manages over \$39 billion in capital commitments. In addition to real estate, Carlyle invests in buyouts, leveraged finance and venture capital on a global basis. Carlyle Realty Partners (Carlyle Realty) was established in 1993 to invest opportunistically in real estate. Carlyle Realty launched its first institutional fund in 1997 and has invested over \$2.5 billion across four private real estate funds.

Carlyle Realty has a staff of 52 professionals dedicated to managing real estate investments. There are fifteen senior investment managers that have an average tenure of over eight years at Carlyle. All of the senior managers have been involved in making investments in the previous four funds.

**Strategy & Investment Process:** The investment strategy for Carlyle Realty Partners V (Carlyle V) will first focus on analyzing property and market fundamentals in order to source well-located assets in large, undersupplied markets in North America. Carlyle V will focus on markets where management has identified forecasted vacancies that are trending downward and forecasted new construction that is unlikely to satisfy demand. Additionally, Carlyle analyzes the capital flows that influence asset pricing in order to identify inefficiently-priced assets. Once these opportunities are identified, Carlyle seeks to stabilize and/or redevelop the asset and sell it for a premium after a relatively short holding period.

Carlyle Realty uses a team approach to identify, complete, manage and sell its assets. Prospective investments are developed, analyzed and negotiated by the real estate professionals. Recommendations are then submitted to the investment committee which is comprised of five managing directors. The investment committee will evaluate all proposed investments, monitor performance and oversee the operations of Carlyle Realty. After completing analysis on the potential investments, the investment committee will make recommendations to the management committee. The management committee is comprised of the three founding partners of the Carlyle Group and the chairman of the investment committee. The management committee is responsible for making all final investment decisions for Carlyle V. These levels of oversight help ensure that the investments of the fund are thoroughly scrutinized.

**Portfolio Construction & Diversification:** Carlyle V will make real estate investments across various property types and geographical locations throughout North America. The fund will target primarily large metropolitan markets including New York, Boston, Chicago, Denver, San Francisco, Los Angeles and Washington, D.C. Carlyle V will have a six-sector property type focus including office, retail, hotel, multi-family, residential and senior living. Carlyle Realty will have a four year investment period in order to obtain maximum diversification through a disciplined process. Additional diversification and risk management will be obtained through the following fund management activities. 1) Investing in a number of small, control-oriented investments. Carlyle Realty has made approximately 150 investments in the previous four funds. 2) Restricting the size of a single investment to no more than 20% of the total fund equity. Fund III's largest investment was 7% and Fund IV's largest investment was approximately 8% of the total fund. 3) Managing leverage levels in a prudent manner. The majority of real estate opportunity funds will employ leverage in the 75-85% loan-to-value ratio. Carlyle's experience and future objective is to utilize leverage at a more conservative 55-65% loan-to-value ratio.

**Performance:** Carlyle Realty has invested four previous opportunistic real estate funds since 1997. The combined performance of those funds through June 30, 2006 is a net IRR of 19.3%. Over the same time period, the NCREIF Property Index produced an 11.8% net IRR. Additionally, Carlyle Realty has been successful in managing downside risk potential. Overall, net losses have been limited to 7% of the total realizations in Funds I-IV, which includes 80 investments.

It should be noted that the NCREIF Property Index returns do not take into account the use of leverage. Due to the use of leverage and active management, private real estate funds should be expected to outperform the index on a net of fees basis. Historically, the Carlyle Realty series of funds have been able to consistently achieve significant excess performance versus the NCREIF Index.

### **Private Real Estate Investment Opportunity- Executive Summary**

**Management Fees/Expenses:** The management fee for Carlyle V will be 1.5% of total fund commitments during the investment period. After the investment period, the fee will be 1.5% of invested capital (funded commitments at cost less distributions). Carlyle Realty will receive 20% of the net profits (carried interest) after the investors receive 100% of their capital contributions plus a 9% net of fees return compounded annually (preferred return). Organizational expenses will be charged at the fund level, but will be capped at \$2.5 million for the life of the fund. The fees for Carlyle V are somewhat favorable compared to similar opportunistic funds offered by competing firms.

**Conclusion:** Carlyle Realty has established themselves as a premier real estate investor capable of producing strong performance across different market cycles. The team is deep, experienced and stable. Carlyle Realty manages risk appropriately, maintains a strong market presence and has a control-oriented investment approach. Carlyle V will provide additional diversification to the Ohio State University real estate portfolio. Carlyle V will consist of a portfolio of opportunistic, private market real estate investments. Currently, the OSU portfolio does not contain any such investments. Carlyle V will provide the opportunity to achieve higher expected returns with a measured level of risk. *Carlyle Realty Partners V is recommended for a \$10 million commitment.*

## Private Equity Investment Opportunity- Executive Summary

<b>Fund Name:</b>	<b>OCM Principal Opportunities Fund IV</b>	<b>Date:</b>	<b>February, 2007</b>
<b>Fund Size:</b>	<b>\$3.5 billion</b>	<b>GP Commitment:</b>	<b>2%</b>
<b>Term of Fund:</b>	<b>10 Years</b>	<b>Investment Period:</b>	<b>Five Years</b>
<b>Strategy:</b>	<b>Distressed Debt</b>	<b>Due Diligence:</b>	<b>Staff/Cliffwater</b>

**Organization & People:** Oaktree Capital Management (OCM) was founded in 1995 and is based in Los Angeles with additional offices in New York, Stamford (CT), London, Tokyo, Singapore and Frankfurt. OCM was founded by seven principals from the Trust Company of the West (TCW). OCM currently manages over \$31 billion in assets. The firm specializes in managing debt investments including distressed, high yield, convertibles and mezzanine strategies. OCM manages distressed debt investments through two separate strategies, control-oriented and non-control oriented. Steve Kaplan and Ron Beck are co-head of the principal opportunities group, which makes control-oriented distressed debt investments. The firm is currently raising its fourth control-oriented distressed debt private equity fund, the OCM Principal Opportunities Fund IV (POF IV).

OCM employs approximately 315 people in the various offices mentioned above, 130 of which are investment and legal professionals. The principal opportunities group consists of 37 employees, including 29 investment professionals. The eight-member management team has an average tenure of over eight years at OCM.

**Strategy & Investment Process:** POF IV will make control-oriented investments primarily in equity and debt obligations in companies that are financially distressed, owned by individuals who are motivated sellers, in industries that are in transition or that lack the capital to respond to problems or opportunities. OCM believes that these types of opportunities will provide ample undervalued opportunities. In general, POF IV will invest only in situations where management believes that (a) the price of the company is supported by substantial asset value; (b) the cash-generating ability of the investment provides meaningful downside protection. OCM feels that the upside potential of these types of investments will rely on the successful implementation of two strategies: (a) restructuring the balance sheets to return the company to a sustainable level of profitability, (b) providing operational, strategic and financial advice.

Once a potential investment is identified, the investment process begins with a thorough analysis of the sector and opportunity including an initial legal review. The initial idea will be shared with analysts from other areas of the firm who may have insight into the sector, management team or competitors. If the initial analysis is positive, the deal team will enter into a confidentiality agreement, hold meetings with management and conduct quantitative, legal and accounting due diligence. The entire principal opportunities team meets weekly to review potential investments and address areas in need of additional due diligence. If the team reaches a consensus, it is presented to senior management. Every investment decision requires the approval of both Steve Kaplan and Ron Beck.

**Portfolio Construction & Diversification:** POF IV will target investments in distressed and undervalued companies with less than \$1.25 billion in debt on their balance sheet. POF IV will invest in approximately 20-30 companies diversified across industry sectors, investment periods and geographically. The primary industry sectors that the fund will target include media, energy, consumer, healthcare, transportation and manufacturing companies. Investments outside of North America are limited to 35% of the total fund's capital commitments. Additionally, no more than 30% of the fund may be invested in a single issuer.

**Performance:** OCM has invested three previous funds with the same strategy as POF IV since 1996. As of June 30, 2006, the combined performance of these funds was 11.7% net of fees. Performance for the most relevant benchmark, the Lehman High Yield Bond Index, produced a return of 7.1% over the same time period. Below is a breakdown of the performance of each individual fund through June 30, 2006:

Fund	Vintage Year	Net IRR	Quartile Rank (Thomson) <sup>1</sup>	Quartile Rank (Cambridge) <sup>2</sup>	Lehman HY Index <sup>3</sup>
POF I	1996	4.8%	Second	Third	5.4%
POF II	2001	19.3%	First	Second	10.7%
POF III	2004	26.7%	First	First	5.7%
1) Rank vs. buyout and mezzanine debt related funds in Thomson Venture Economics database for respective vintage year of fund.					
2) Rank vs. buyout, mezzanine debt and distressed debt in Cambridge associates database for respective vintage year of fund.					
3) Comparative return of Lehman High Yield Index for same time frame (Source- Cliffwater).					



## Private Equity Investment Opportunity- Executive Summary

Performance of the individual funds by vintage year was compared vs. two different private equity databases, Thomson Venture Economics and Cambridge Associates. There is no specific breakdown for distressed debt funds in either database. Therefore, the funds were compared vs. a combination of leveraged buyout and debt-related funds. Generally, leveraged buyout funds will have more volatility and higher return expectations than distressed debt partnerships. Overall, the POF funds compare favorably versus both benchmarks.

**Management Fees/Expenses:** The management fee for POF IV will be a combination of the following during the investment period: (a) 1.75% annually on total fund commitments up to \$2.5 billion; (b) 1.5% annually on commitments greater than \$2.5 billion. For example, if the total fund size of POF IV is \$3 billion, the management fee will equal 1.71%. After the investment period, the fee will be based on invested capital (funded commitments at cost less distributions). OCM will receive 20% of the net profits (carried interest) after limited partners receive 100% of their capital contributions plus an 8% net of fees return compounded annually (preferred return). Organizational expenses will be charged at the fund level, but will be capped at \$2.5 million for the life of the fund. The fees for POF IV are consistent with similar funds offered by competing firms.

**Conclusion:** OCM has a deep and seasoned team of investment professionals dedicated to managing distressed debt. The team has produced solid performance and proven an ability to preserve investors' capital through various investing environments. OCM has a consistent, team-oriented approach that focuses on achieving superior performance with a carefully managed level of risk. POF IV will be a good fit for the OSU private equity portfolio. The investment provides exposure to a less-traditional segment of the market, complements existing commitments in the portfolio and has lower correlation relative to venture capital and leveraged buyout investments. Additionally, distressed debt investments have the potential to hedge the leveraged buyout market. Lastly, POF IV will be included in the special situations portion of the private equity portfolio. Special situation investments consist of secondary, debt and opportunistic private equity strategies. *The OCM Principal Opportunities Fund IV is recommended for a \$10 million commitment.*